

Find eigenvalues of

$$\begin{pmatrix} 7 & 0 & +3 \\ -3 & 2 & \cancel{4} \cancel{1} \cancel{3} \\ 4 & 2 & 0 \end{pmatrix}$$

$$\det \begin{pmatrix} 7-\lambda & 0 & -3 \\ -3 & 2-\lambda & -3 \\ 4 & 2 & -\lambda \end{pmatrix}$$

$$= +(7-\lambda) \det \begin{pmatrix} 2-\lambda & -3 \\ 2 & -\lambda \end{pmatrix}$$

$$\begin{aligned} & -14 + 4\lambda \\ & -6 - 8 + 4\lambda \\ & -6 - 4(2-\lambda) \end{aligned}$$

$$- 0 . ? + (-3) \det \begin{pmatrix} -3 & 2-\lambda \\ 4 & 2 \end{pmatrix}$$

$$= (7-\lambda)(\lambda^2 - 2\lambda + 6) + 3(-14 + 4\lambda)$$

Technique #1. Don't multiply out

Doesn't work here - no common factor.

So multiply out:

$$\begin{aligned} & -42 + 12x \\ 7\lambda^2 - 14\lambda + 42 - \lambda^3 + 2\lambda^2 - 6\lambda + \cancel{42 + 12x} \\ & - \lambda^3 + 9\lambda^2 - 8\lambda \end{aligned}$$

Technique #2. Guess a root, factor

Tech 2a. No const term  $\Rightarrow 0$  a factor  
Tech 2b... The problem tells you one eigenval.  
Tech 2c... Rational root theorem: try  
factor of const term  
factor of leading term.

not explicitly tested.  
this semester.

In our case:

$$-\lambda(\lambda^2 - 9\lambda + 8)$$

$$-\lambda(\lambda - 8)(\lambda - 1)$$

$$\leadsto \lambda = 0, 8, 1$$

Using Tech 2b:

If  $\lambda = 2$  is a root,  
factor out  $(\lambda - 2)$

Using Tech 2c:  $+1-3+2$

$$-\lambda^3 + 3\lambda + 2$$

Factors of const term:  $\pm 1, \pm 2$

Factors of leading term:  $\pm 1$

So only possible rational roots are:

$$\boxed{\pm 1, \pm 2}$$

Plug in

1	X
-1	✓
2	✓
-2	X

So:  $\lambda=2$  is an eigenvalue.

$$\begin{aligned} & -\lambda^2 - 2\lambda - 1 \\ \lambda - 2 \sqrt{-\lambda^3 + 0\lambda^2 + 3\lambda + 2} & - (-\lambda^3 + 2\lambda^2) \\ & - \frac{-2\lambda^2 + 3\lambda + 2}{-2\lambda^2 + 4\lambda} \\ & - \frac{-\lambda + 2}{-\lambda + 2} \end{aligned}$$

$$-(\lambda - 2)(\lambda^2 + 2\lambda + 1)$$

$$\lambda = 2, \frac{-2 \pm \sqrt{4-4}}{2} = -1$$

$$\begin{pmatrix} 1 & 7 & 0 & +3 \\ -3 & 2 & -3 & 4/13 \\ 4 & 2 & 0 & \end{pmatrix}$$

$$(-1)^3 \lambda^3 + (-1)^2 \operatorname{tr}(A) \lambda^2$$

$$+ ? \lambda + \det A$$

$$-7 \det \begin{pmatrix} 2 & -3 \\ 2 & 0 \end{pmatrix}$$

$$+ 3 \det \begin{pmatrix} -3 & 2 \\ 4 & 2 \end{pmatrix}$$

$$= -\lambda^3 + \operatorname{tr}(A) \lambda^2 + \underline{\quad} + \det A$$

$$= -\lambda^3 + 9\lambda^2 + \underline{\quad} + 2$$

$$= 7 \cdot 6 + 3 \cdot (-14)$$

$$= 2$$

## Diagonalization

$$\frac{1}{4} \begin{pmatrix} 5 & 3 \\ 3 & 5 \end{pmatrix}$$

Step 1 Find eigenvalues

$$\det \begin{pmatrix} 5-\lambda & 3 \\ 3 & 5-\lambda \end{pmatrix} \xrightarrow{\lambda^2 - 10\lambda + 16} (\lambda-8)(\lambda-2) \xrightarrow{\lambda=2, 8}$$

Step 2 Find eigenvectors

$$\underline{\lambda=2} \begin{pmatrix} 3 & 3 \\ 3 & 3 \end{pmatrix} \xrightarrow{\text{RREF}} \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \quad \text{eigenvect: } \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

Fact from 5.1  $v \neq 0$  is a  $\lambda$ -eigenvec

$$\iff v \text{ solves } (A - \lambda I)v = 0$$

$$\iff v \in \text{Nul}(A - \lambda I)$$

$$\begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} \begin{pmatrix} b \\ -a \end{pmatrix} = \begin{pmatrix} ab - ba \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$\underline{\lambda=8} \begin{pmatrix} -3 & 3 \\ 3 & -3 \end{pmatrix} \sim \begin{pmatrix} 1 & -1 \\ 0 & 0 \end{pmatrix} \sim \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$A = \frac{1}{4} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 2 & 0 \\ 0 & 8 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix}^{-1}$$

$$= \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix}^{-1}$$

$$\text{or } \frac{1}{4} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}^{-1}$$

If you Diagonalize  $A$  as  $CDC^{-1}$   
then  $kA = C(kD)C^{-1}$

Diagonalize if possible:

$$\begin{pmatrix} 1 & 0 & 2 \\ 0 & 1 & 0 \\ 2 & 0 & 1 \end{pmatrix}$$

$$\det \begin{pmatrix} 1-\lambda & 0 & 2 \\ 0 & 1-\lambda & 0 \\ 2 & 0 & 1-\lambda \end{pmatrix} = +(-\lambda)((-\lambda)^2 - 4) \\ = (-\lambda)(\lambda^2 - 2\lambda - 3) \\ = (-\lambda)(\lambda - 3)(\lambda + 1) \\ \rightarrow \lambda = 1, -1, 3$$

Yes. ...

What about  $\begin{pmatrix} 2 & 0 & 0 \\ 1 & 2 & 1 \\ -1 & 0 & 1 \end{pmatrix}$ ?

$$\det \begin{pmatrix} 2-\lambda & 0 & 0 \\ 1 & 2-\lambda & 1 \\ -1 & 0 & 1-\lambda \end{pmatrix} = (2-\lambda)(2-\lambda)(1-\lambda) \\ \rightarrow \lambda = 2, 1$$

alg mult = 2

This will be diag'able if:

2-eigenspace is... 2-dim.

$$\begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 1 \\ -1 & 0 & -1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$x = -z$   
 $y = y$   
 $z = z$

$\left\{ \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right\}$

2-eigensp is 2-dim!

So the orig. matrix is diagonalizable

$$\begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & -1 \\ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & -1 \end{pmatrix}^{-1}$$

2-eigenv.      ↑      1-eigenv.

1-eigensp:

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ -1 & 0 & 0 \end{pmatrix} \sim \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\sim \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$$

eigenval 1 ← alg mult. 2

1-eigenspace:  $\begin{pmatrix} 0 & 2 \\ 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  dimension = 1

geom. dim of 1  
dim 1-eigenspace < alg. mult. of 1

Always true that:

$$1 \leq \dim \lambda\text{-eigensp} \leq \text{alg mult. of } \lambda$$

For diag'ility: the  $\leq$  must be =  
for all  $\lambda$ .

So  $\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$  not diag'able.

Sample Q.  $A$  is  $3 \times 3$

Eigenvals 5, 7

Dim of 5-eigensp is 2

Must it be true that  $A$  is diag'able?

YES.

Example  $A = 10 \times 10$  matrix (no complex eigenvectors)

$\lambda$	alg. mult	dim of eigensp
3	2	$\leq 2, \geq 1$
5	5	$\leq 5, \geq 1$
6	3	$\leq 3, \geq 1$

premise

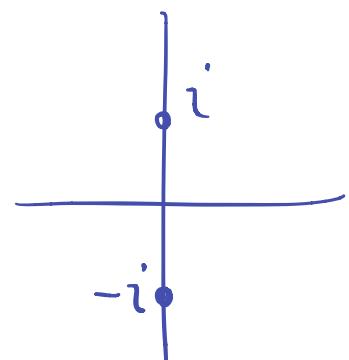
add up to 10

conclude from premise

all of these must be = for diag'ability.

Example from class

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \rightarrow \lambda = \pm i$$



$$i\text{-eigenspace} : \begin{pmatrix} -i & -1 \\ i & -i \end{pmatrix} \rightarrow \begin{pmatrix} -i & -1 \\ 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} -1 \\ +i \end{pmatrix}$$

$-i$  - eigenspace:  $\begin{pmatrix} -1 \\ -i \end{pmatrix}$

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} -1 \\ i \end{pmatrix} = i \cdot \begin{pmatrix} -1 \\ i \end{pmatrix} = \begin{pmatrix} -i \\ i^2 \end{pmatrix} = \begin{pmatrix} -i \\ -1 \end{pmatrix}$$

check  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} -1 \\ i \end{pmatrix} = \begin{pmatrix} -i \\ -1 \end{pmatrix}$

Fact. ① Real eigenvalues: planes stretched.  
 ② Complex eigenvals: planes rotated and stretched.

Example.  $A = 3 \times 3 = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 2 \end{pmatrix}$   
 eigenvalues:  $i, -i, 2$

