

# ALGEBRAIC TOPOLOGY

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What is algebraic topology?

$$\boxed{\text{Space}} \longrightarrow \boxed{\text{Group}}$$

$$X \begin{array}{c} \longrightarrow \\ \longleftarrow \end{array} \pi_1(X) \text{ fundamental group}$$

$$X \longrightarrow H_k(X) \text{ k-th homology group}$$

$$X \longrightarrow H^k(X) \text{ k-th cohomology group}$$

What kinds of questions does it answer?

① When are two spaces the same (or not)?

e.g.  $\mathbb{R}^m \neq \mathbb{R}^n$

what about:  $\mathbb{R}^3 - \text{S}^1$  vs.  $\mathbb{R}^3 - \text{S}^2$

② Embeddings

What is smallest  $N$  s.t. a given manifold embeds in  $\mathbb{R}^N$ ?

Unsolved for  $\mathbb{R}P^n$ .

### ③ Fixed point theorems

Brouwer fixed pt theorem: every  $D^2 \rightarrow D^2$  has a fixed pt.

Borsuk-Ulam theorem.

### ④ Actions

Which finite groups act freely on  $S^n$ ?  
(known in some cases)

Note:  $\mathbb{Z}/n\mathbb{Z} \hookrightarrow S^{2k-1} \quad \forall n, k.$

### ⑤ Sections

What is the largest  $k$  s.t. a given manifold admits a continuously varying  $k$ -plane field?

Hairy ball theorem.

### ⑥ Group theory

Every subgroup of a free group is free.

$[F_n, F_n]$  is not finitely generated.

Braid groups are torsion free.



## ⑦ Algebra


Fundamental theorem of algebra (this week!)

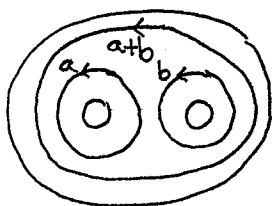
### Basic idea of homology

$H_k(X)$  = abelian group of  $k$ -dim holes in  $X$

computable

↳ prevents a  $k$ -sphere from collapsing

example:  $X$  = pair of pants   
 $H_1(X) \cong \mathbb{Z}^2$



$H^k(X)$  is dual to  $H_k(X)$

↳ consists of functions  $H_k(X) \rightarrow \mathbb{Z}$

Big Goal: Poincaré Duality

For  $X = n$ -manifold  $H^k(X) \cong H_{n-k}(X)$

More precisely: the functions in  $H^k$  look like  
"intersect with this fixed element  
of  $H_{n-k}$ "

What do we mean by a space?

Cell complexes aka CW complexes



C = closure finiteness  
(closure of open cell hits  
finitely many open cells)  
W = weak topology

Quotient topology:  $U \subseteq X/\sim$  is open iff its preimage in  $X$  is open.

We build CW complexes inductively

(i) Start with a discrete set of points  $X^0$ .  
The points are regarded as 0-cells.

(ii) Inductively form  $n$ -skeleton  $X^n$  from  $X^{n-1}$  by attaching  $n$ -cells  $D_\alpha^n$  via  
$$\varphi_\alpha: \partial D_\alpha^n \rightarrow X^{n-1}$$

$X^n$  has quotient topology.

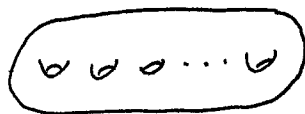
(iii) Either stop at a finite stage, or continue indefinitely.

In latter case, use weak topology: a set is open iff its intersection with each cell is open.

$\dim(X) = \sup$  of  $\dim$  of cells

## Examples of CW Complexes

- ① 1-dim CW complexes are graphs.
- ②  $(4g+2)$ -gon with opposite sides identified



③  $S^n = e^0 \cup e^n$        $e^i = i$ -cell.

④  $\mathbb{R}P^n =$  space of lines in  $\mathbb{R}^{n+1}$   
 $= e^0 \cup e^1 \cup \dots \cup e^n$

To see this:  $\mathbb{R}P^n = \mathbb{R}P^{n-1} \cup S^n / \text{antipodal map}$   
 $= D^n / \text{antipodal map on } \partial D^n = S^{n-1}$   
So on  $\partial D^n$  see  $\mathbb{R}P^{n-1}$ , and we glue  $D^n$  to that.

⑤  $\mathbb{C}P^n = e^0 \cup e^2 \cup \dots \cup e^{2n}$       exercise.

## Subcomplexes

Subcomplex = closed ~~subset~~ union of cells.

A subcomplex of a CW complex is a CW complex.

example:  $k$ -skeleton.

# EQUIVALENCE OF SPACES

Intuition: Two spaces are equivalent if one can be deformed into the other



Special case: A deformation retraction  $X \rightarrow A$  is a continuous family

$$\{f_t: X \rightarrow X \mid t \in I\}$$

s.t.  $f_0 = \text{id}$

$$f_1(X) = A$$

$$f_t|_A = \text{id} \quad \forall t.$$

Continuous means

$$X \times I \rightarrow X$$

$$(x, t) \mapsto f_t(x)$$

is continuous.

Example: Given  $f: X \rightarrow Y$ , the mapping cylinder is

$$M_f = (X \times I) \amalg Y / \sim$$

where  $(x, 1) \sim f(x)$



$X = \text{boundary}$

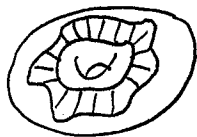
$Y = \text{core}$

Fact:  $M_f$  deformation retracts to  $Y$ .

# Homotopy Equivalence

A homotopy is a continuous family  
 $\{f_t: X \rightarrow Y \mid t \in I\}$

examples: deformation retraction



A map  $f: X \rightarrow Y$  is a homotopy equivalence  
if there is a  $g: Y \rightarrow X$  such that  
 $fg \simeq \text{id}$  and  $gf \simeq \text{id}$   
 $\uparrow$  homotopic

Say:  $X$  &  $Y$  are homotopy equivalent, or  $X \simeq Y$   
have the same homotopy type.

Exercise: This is an equivalence relation.

Fact: If  $A$  is a deformation retract of  $X$ , then  $X \simeq A$

Exercise:  all homotopy equiv.

Exercise:  $\mathbb{R}^n \simeq *$  Say  $\mathbb{R}^n$  is contractible.


Read: House with 2 rooms, Hatcher p. 4.

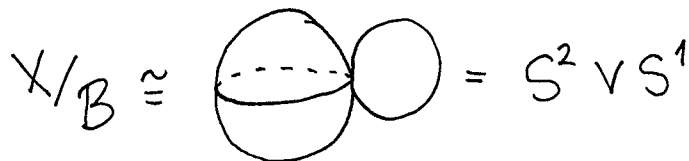
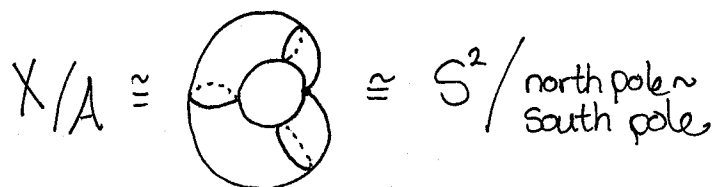
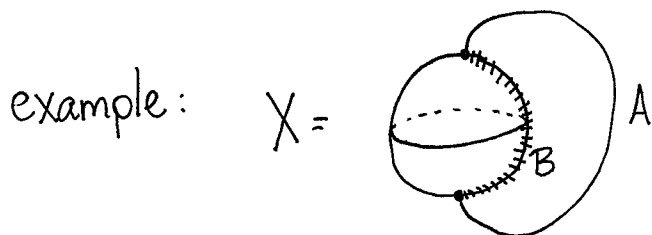


## Two CRITERIA FOR HOMOTOPY EQUIVALENCE

- ①  $(X, A) = \text{CW-pair}$  (i.e.  $A$  subcomplex of  $X$ )  
 $A$  contractible  
 $\Rightarrow X \simeq X/A \leftarrow \text{identify } A \text{ to one point}$

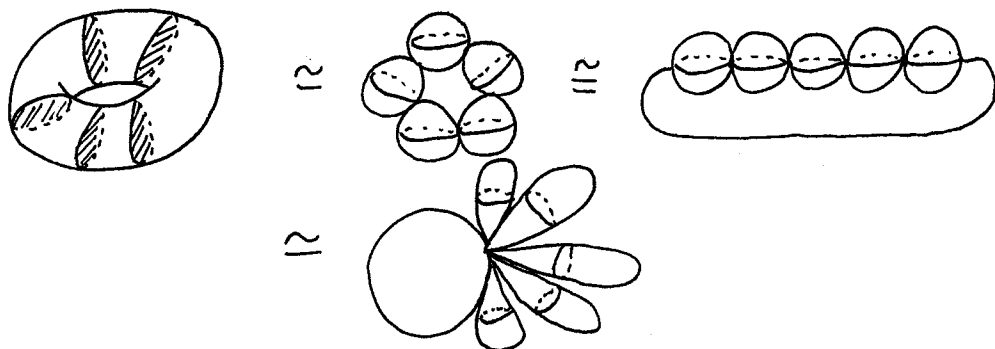
example:  $X = \text{graph}$   
 $A = \text{any edge connecting distinct vertices.}$

Thus any graph  $\simeq$   = wedge of circles  $S^1 \vee \dots \vee S^1$



$$X \simeq X/A \simeq X/B$$

exercise:



②  $(X, A)$  CW-pair  
 $f, g : A \rightarrow Y$  homotopic (i.e.  $\exists$  homotopy  $f_t, f_0 = f, f_1 = g$ )  
 $\Rightarrow X \sqcup_f Y \simeq X \sqcup_g Y$

Note:  $X \sqcup_f Y = (X \sqcup Y) / a \sim f(a)$

exercise: Do last example using Criterion ②

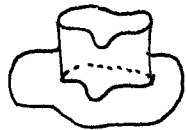
Proofs of both criteria use Homotopy Extension Property.

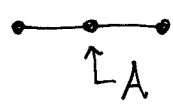
Say a pair of spaces  $(X, A)$  has the homotopy extension property if whenever we have

$$\begin{array}{l} f_0 : X \rightarrow Y \\ f_t : A \rightarrow Y \end{array} \quad \text{homotopy}$$

we can extend  $f_t$  to  $X$ .

In other words every map  $M_i \rightarrow Y$   
 can be extended to  $X \times I \rightarrow Y$   
 where  $M_i =$  mapping ~~is~~ cylinder of  $i : A \rightarrow X$  inclusion.



example.  $X =$    $Y = \mathbb{R}^2$



A retraction of a space  $X$  onto a subspace  $A$  is

$$r: X \rightarrow A$$

$$\text{s.t. } r|_A = \text{id}.$$

Prop:  $(X, A)$  has HEP  $\iff M_i$  is a retract of  $X \times I$   
where  $i: A \rightarrow X$  inclusion.

Proof:  $\implies$  Set  $Y = M_i$ ,  $f_0 = \text{id}$ .

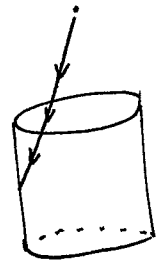
$$\iff X \times I \xrightarrow{r} M_i \xrightarrow{f_t} Y$$

Note:  $f_t$  deformation retract of  $X$  to  $A$   
 $\implies f_1: X \rightarrow A$  a retraction of  $X$  to  $A$

Prop: If  $(X, A) = \text{CW pair}$ , then  $M_i$  is a deformation retract of  $X \times I$  (where  $i: A \rightarrow X$  incl.)

In particular,  $(X, A)$  has HEP.

Proof: First do  $X = D^{2n}$   $A = \partial D^{2n}$  via projection:



Retract each  $n$ -cell of  $X^n - A^n$   
during  $[\frac{1}{2}^{n+1}, \frac{1}{2}^n]$

Continuous since it is on each cell (no problem near  $0$  since each  $n$ -skeleton stationary in  $[0, \frac{1}{2}^{n+1}]$ ).

Prop:  $(X, A)$  has HEP  
 $A$  contractible  
 $\Rightarrow q: X \rightarrow X/A$  is a homotopy equivalence

Idea: Need inverse to  $q$ . Contract  $A$ , extend to  $f_t: X \rightarrow X$ .  
 Since  $f_1(A) = \text{pt.}$  can regard  $f_1: X/A \rightarrow X$ .

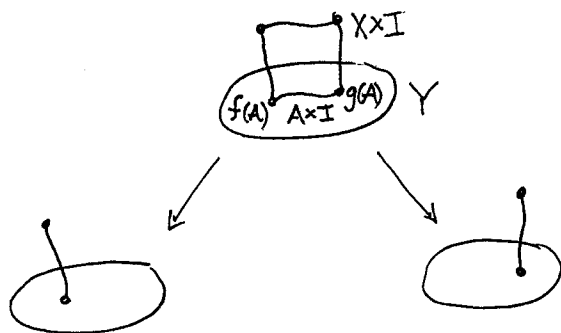
exercise: read/write details.

example.  $X = \mathbb{R}$   $A = [-1, 1]$

Prop:  $(X, A) = \text{CW pair}$   
 $f, g: A \rightarrow Y$  homotopic  
 $\Rightarrow X \sqcup_f Y \cong X \sqcup_g Y$

Idea: Show both are deformation retractions of  
 $(X \times I) \sqcup_f Y$   
 where  $F: A \times I \rightarrow Y$  is homotopy from  $f$  to  $g$ .

example:  $X = \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} A$   $Y = D^2$



exercise: write details

note: use existence of deformation retraction  $X \times I \rightarrow M_i$   
 (stronger than HEP).

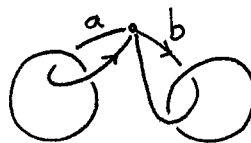
# FUNDAMENTAL GROUP

$\pi_1(X)$  = group of homotopy classes of based paths in  $X$ .

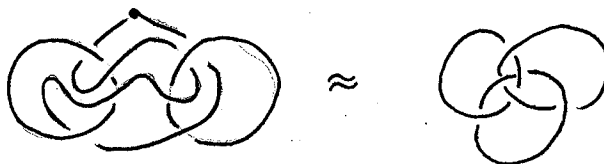
Will see:  $X \cong Y \Rightarrow \pi_1(X) \cong \pi_1(Y)$

Examples: ①  $\mathbb{R}^3$  - unknot  $\leadsto \mathbb{Z}$

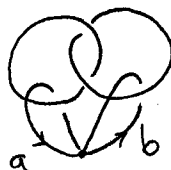
②  $\mathbb{R}^3$  - unlink



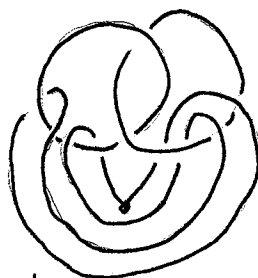
$aba^{-1}b^{-1}$ :



③  $\mathbb{R}^3$  - Hopf link



$aba^{-1}b^{-1}$ :



push  
these two strands  
in tandem around  
the left-hand circle  
to see triviality.

= id  
Is  $\pi_1$  abelian?

## Formal Definitions

A path in a space  $X$  is a map  $I \rightarrow X$

A homotopy of paths is a homotopy  $f_t: I \rightarrow X$  such that  $f_t(0)$  and  $f_t(1)$  are independent of  $t$ .

example. Any two paths  $f_0, f_1$  in  $\mathbb{R}^n$  with same endpoints are homotopic via straight-line homotopy:

$$f_t(s) = (1-t)f_0(s) + tf_1(s)$$

exercise. Homotopy of paths is an equivalence relation.  $\simeq$

The composition of paths  $f, g$  with  $f(1) = g(0)$  is the path

$$fg(s) = \begin{cases} f(2s) & 0 \leq s \leq 1/2 \\ g(2s-1) & 1/2 \leq s \leq 1 \end{cases}$$

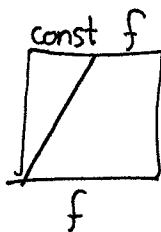
exercise.  $f_0 \simeq f_1, g_0 \simeq g_1 \Rightarrow f_0 g_0 \simeq f_1 g_1$

A loop is a path  $f$  with  $f(0) = f(1)$ .

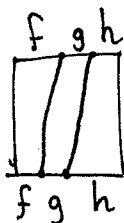
The fundamental group of  $X$  (based at  $x_0$ ) is the group of homotopy classes of loops based at  $x_0$  under composition. Write  $\pi_1(X, x_0)$ .

Prop:  $\pi_1(X, x_0)$  is a group.

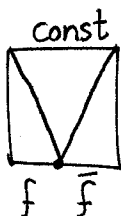
Proof: Identity = constant loop



Associativity:



Inverses:



$$\bar{f}(t) = f(1-t)$$

Prop:  $X =$  path connected,  $x_0, x_1 \in X$   
 $\Rightarrow \pi_1(X, x_0) \cong \pi_1(X, x_1)$

The isomorphism is not canonical!

Say  $X$  is simply connected if

- ①  $X$  is path connected
- ②  $\pi_1(X) = 1$ .

This terminology is explained by:

Prop:  $X$  is simply connected  $\iff$  there is a unique homotopy class of paths joining any two points of  $X$ .

Fact: Contractible  $\Rightarrow$  simply connected.

## FUNDAMENTAL GROUP OF THE CIRCLE

Thm:  $\pi_1(S^1) \cong \mathbb{Z}$

Proof outline: Given a loop  $f: I \rightarrow S^1$ , want to find a lift, that is:

$$\tilde{f}: I \rightarrow \mathbb{R}$$

such that  $\tilde{f}(0) = 0, p\tilde{f} = f$

← ignore the international date line.

The map  $\pi_1(S^1) \rightarrow \mathbb{Z}$  is  
 $f \mapsto \tilde{f}(1)$

Well-definedness: existence/uniqueness of lifts

Multiplicativity: easy

Injectivity: homotopic loops have homotopic lifts

Surjectivity: easy

Remains to show loops lift uniquely and homotopies lift.

Idea: Cover  $S^1$  by small pieces whose preimages in  $\mathbb{R}$  are unions of open intervals.

Given a loop/homotopy, cut it into pieces, lift piece by piece.

Proof thus follows from Lemma below.



Lemma: Given  $F: Y \times I \rightarrow S^1$   
 $\tilde{F}: Y \times \{0\} \rightarrow \mathbb{R}$  lift of  $F|_{Y \times \{0\}}$   
 $\exists!$   $\tilde{F}: Y \times I \rightarrow \mathbb{R}$  lifting  $F$ , extending  $\tilde{F}|_{Y \times \{0\}}$ .

Path lifting:  $Y = \{y_0\}$  Homotopy lifting:  $Y = I$ .

Proof ( $Y = \{y_0\}$  case): Write  $I$  for  $y_0 \times I$ .

Cover  $S^1$  by  $\{U_\alpha\}$  so that  $\forall \alpha$ ,  $p^{-1}(U_\alpha)$  is a disjoint union of open sets, each homeomorphic to  $U_\alpha$ .

$F$  continuous,  $\Rightarrow$  can choose  
 $I$  compact  $0 = t_0 < t_1 < \dots < t_m = 1$   
 so that  $\forall i$ ,  $F([t_i, t_{i+1}])$  is contained in some  
 $U_\alpha$ ; call it  $U_i$ .

Say  $\tilde{F}$  defined on  $[0, t_i]$ ,  $\tilde{F}(t_i) \in \tilde{U}_i$ ,  
 $p|_{\tilde{U}_i}: \tilde{U}_i \rightarrow U_i$  homeo.

Define  $\tilde{F}$  on  $[t_i, t_{i+1}]$  via  
 $(p|_{\tilde{U}_i})^{-1} \circ F|_{[t_i, t_{i+1}]}$

Induct. ▣

Exercise. Prove for general  $Y$ .

Prop:  $\pi_1(X \times Y) \cong \pi_1(X) \times \pi_1(Y)$   
for  $X, Y$  path connected.

Cor:  $\pi_1(T^2) \cong \mathbb{Z}^2$

## APPLICATIONS

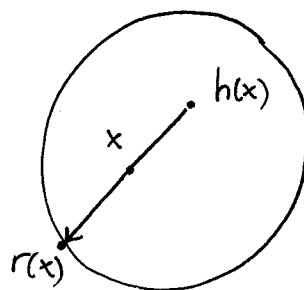
Brouwer Fixed Point Theorem: Every  $h: D^2 \rightarrow D^2$  has a fixed point.

Proof: Say  $h(x) \neq x \quad \forall x \in D^2$ .  
Can define  $r: D^2 \rightarrow S^1$  via  
retraction

Let  $f_0 = \text{loop in } S^1 = \partial D^2$   
 $f_t = \text{any homotopy to a}$   
 $\text{point in } D^2$

$\Rightarrow r f_t = \text{homotopy in } S^1$   
 $\text{of } f_0 \text{ to trivial loop.}$

Thus  $\pi_1(S^1) = 1$ . Contradiction



Also:

Borsuk-Ulam theorem - for any  $f: S^2 \rightarrow \mathbb{R}^2$ ,  $\exists$  antipodal pair  $x, -x$  s.t.  $f(x) = f(-x)$ .

Ham Sandwich theorem.

Thm: If we write  $S^2$  as a union of 3 closed sets, at least one must contain a pair of antipodal points.

Fundamental Theorem of Algebra: Every nonconstant polynomial with coefficients in  $\mathbb{C}$  has a root in  $\mathbb{C}$ .

Proof: Let  $p(z) = z^n + a_1 z^{n-1} + \dots + a_n$

Define  $p_t(z) = z^n + t(a_1 z^{n-1} + \dots + a_n)$ ,

$\pi: \mathbb{C} - 0 \rightarrow S^1$

$\alpha \mapsto \alpha/|\alpha|$ ,

$R > |a_1| + \dots + |a_n| + 1$ ,

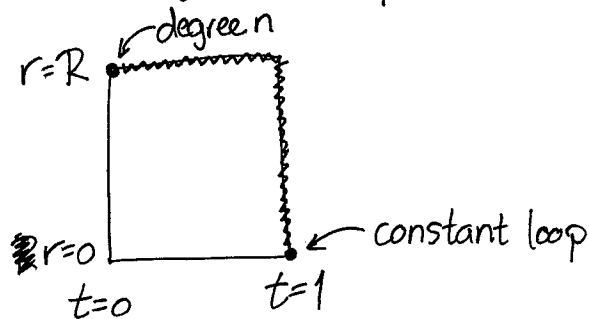
$f_{r,t}(s): S^1 \rightarrow S^1$

$f_{r,t}(s) = \pi \circ p_t(re^{2\pi i s})$

Claim:  $p_t$  has no roots on  $|z|=R$  for  $t \in I$ .

$\Rightarrow f_{R,t}(s)$  defined.

Thus the shaded path gives a homotopy from constant loop in  $S^1$  to degree  $n$  loop  $\Rightarrow n=0$ .



Proof of Claim: For  $|z|=R$ ,

$$|z^n| = R^n = R \cdot R^{n-1} > (|a_1| + \dots + |a_n|) |z^{n-1}|$$

$$\geq |a_1 z^{n-1} + \dots + a_n|$$

(But  $|\alpha| > |\beta| \Rightarrow \alpha + \beta \neq 0$ .)



# INDUCED HOMOMORPHISMS

$$\begin{aligned} \varphi: (X, x_0) &\longrightarrow (Y, y_0) \\ \rightsquigarrow \varphi_*: \pi_1(X, x_0) &\longrightarrow \pi_1(Y, y_0) \\ [f] &\longmapsto [\varphi f] \end{aligned}$$

Functoriality

- ①  $(\varphi\psi)_* = \varphi_*\psi_*$
- ②  $\text{id}_* = \text{id}$

Fact:  $\varphi$  a homeomorphism  $\Rightarrow \varphi_*$  an isomorphism

Proof:  $\varphi_*\varphi_*^{-1} = (\varphi\varphi^{-1})_* = \text{id}_* = \text{id}$

Prop:  $\pi_1(S^n) = 1$  for  $n \geq 2$ .

Proof:  $S^n - \text{pt} \cong \mathbb{R}^n$ , which is contractible.

By Fact, suffices to show any loop in  $S^n$  is homotopic to one that is not surjective.

Prop:  $\mathbb{R}^2$  is not homeomorphic to  $\mathbb{R}^n$ ,  $n > 2$ .

Proof:  $\mathbb{R}^n - \text{pt} \cong S^{n-1} \times \mathbb{R}$

$$\begin{aligned} \pi_1(S^{n-1} \times \mathbb{R}) &\cong \pi_1(S^{n-1}) \times \pi_1(\mathbb{R}) \\ &\cong \begin{cases} \mathbb{Z} & n=2 \\ 1 & n>2 \end{cases} \end{aligned}$$

Apply Fact.

Prop: If  $\varphi: X \rightarrow Y$  homotopy equivalence, then  $\varphi_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, \varphi(x_0))$  isomorphism.

Proof: Let  $\psi: Y \rightarrow X$  homotopy inverse.  
So  $\varphi\psi \simeq \text{id}$ .

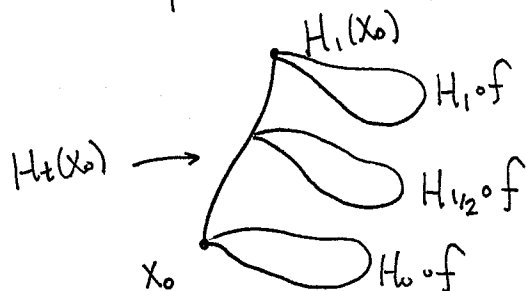
~~What is  $(\varphi\psi)_*$~~

Remains to show:  $H_t: X \rightarrow X$  homotopy  
 $H_0 = \text{id}$

$\Rightarrow (H_1)_*: \pi_1(X, x_0) \rightarrow \pi_1(X, H_1(x_0))$   
an isomorphism.

We already know the path  $H_t(x_0)$  gives  
 $\pi_1(X, x_0) \xrightarrow{\cong} \pi_1(X, H_1(x_0))$   
 $f \mapsto \overline{H_t(x_0)} \circ f \circ H_t(x_0)$

But latter path  $\simeq H_1 \circ f = (H_1)_*(f)$



So  $(H_1)_*$  an isomorphism. □

Prop:  $i: A \rightarrow X$  inclusion.

$X$  retracts to  $A \Rightarrow i_*$  injective

$X$  deformation retracts to  $A \Rightarrow i_*$  isomorphism.

exercise.  $T^2$  retracts to  $S^1$ .

In group theory, a retraction is a homomorphism  $p: G \rightarrow H$ , where  $H < G$ , with  $p|_H = \text{id}$ .  
 $\Rightarrow G \cong H \rtimes \ker p$ .

## FREE GROUPS AND FREE PRODUCTS

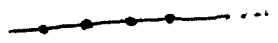
$F_n = \{\text{reduced words in } X_1^{\pm 1}, \dots, X_n^{\pm 1}\}$

multiplication: concatenate, reduce.

associativity  
nontrivial!

$G * H = \{\text{reduced words in } G, H\}$

$*_{\alpha} G_{\alpha}$  similar =  $\{g_1 \dots g_m \mid g_i \in G_{\alpha_i}, \alpha_i \neq \alpha_{i+1}, g_i \neq \text{id}\}$

example. Infinite dihedral group  $\mathbb{Z}/2\mathbb{Z} * \mathbb{Z}/2\mathbb{Z}$   
= symmetries of 

Properties

①  $G_{\alpha} \leq * G_{\alpha}$

②  $\bigcap G_{\alpha} = 1$

③ Any collection  $G_{\alpha} \rightarrow H$

extends uniquely to  $* G_{\alpha} \rightarrow H$

# VAN KAMPEN'S THEOREM

$X = A \cup B$      $A, B$  open, path connected.  
 $A \cap B$  path connected.

$x_0 \in A \cap B$     basepoint for  $X, A, B, A \cap B$ .

The induced  $\pi_1(A) \rightarrow \pi_1(X)$  &  $\pi_1(B) \rightarrow \pi_1(X)$   
 extend to

$$\Phi: \pi_1(A) * \pi_1(B) \rightarrow \pi_1(X)$$

Denote  $i_A: A \rightarrow X$ ,  $i_B: B \rightarrow X$ .

Let  $N =$  normal subgroup of  $\pi_1(A) * \pi_1(B)$   
 generated by the  $i_A(w) i_B(w)^{-1}$  for  $w \in \pi_1(A \cap B)$ .

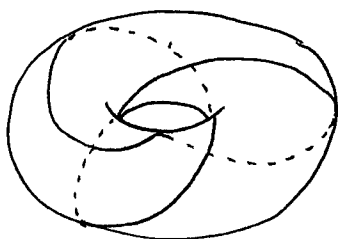
Theorem: ①  $\Phi$  is surjective  
 ②  $\ker \Phi = N$ .

Examples. ①  $\pi_1(S^1 \vee S^1) \cong F_2$

induction  $\rightsquigarrow \pi_1(\bigvee_n S^1) \cong F_n$   
 $\Rightarrow \pi_1(\mathbb{R}^2 - n \text{ pts}) \cong \pi_1(\mathbb{R}^3 - \text{unlink}) \cong F_n$   
 $\pi_1(\text{graph}) \cong F_n$ .

②  $\pi_1(S^n) = 1$   $n \geq 2$ .

③  $\pi_1(S^3 - (p, q)\text{-torus knot}) \cong \langle x, y \mid x^p = y^q \rangle$   
 gluing two solid tori  
 along an annulus.

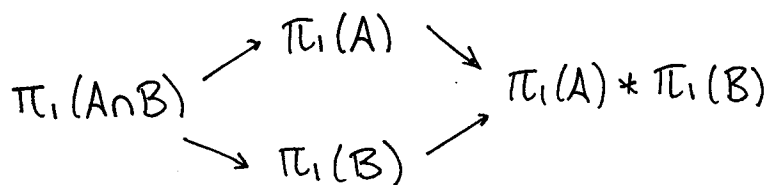


## VAN KAMPEN VIA PRESENTATIONS.

$$\begin{aligned}G_1 &\cong \langle S_1 \mid R_1 \rangle \\G_2 &\cong \langle S_2 \mid R_2 \rangle \\ \Rightarrow G_1 * G_2 &\cong \langle S_1 \cup S_2 \mid R_1 \cup R_2 \rangle\end{aligned}$$

What is a presentation for  $\pi_1(A) * \pi_1(B) / N$ ?

First, a given  $f \in \pi_1(A \cap B)$  gives two elements of  $\pi_1(A) * \pi_1(B)$ :



call them  $f_A$  &  $f_B$ .

Choose a generating set  $S$  for  $\pi_1(A \cap B)$ .

Choose presentations:

$$\pi_1(A) \cong \langle S_1 \mid R_1 \rangle$$

$$\pi_1(B) \cong \langle S_2 \mid R_2 \rangle$$

so each  $S_i$  contains each  $f_A$  or  $f_B$  for  $f \in S$ .

Then:

$$\pi_1(A) * \pi_1(B) / N \cong \langle S_1 \cup S_2 \mid R_1 \cup R_2 \cup R \rangle$$

where  $R$  is the set of relations

$$f_A = f_B$$

for  $f \in S$ .



Proof ① Let  $f: I \rightarrow X$  loop at  $x_0$ .

Choose  $0 = s_0 < s_1 < \dots < s_m = 1$

s.t.  $f|_{[s_i, s_{i+1}]}$  is a path in either  $A$  or  $B$ ;  
call it  $f_i$ .

$\forall i$ , choose path  $g_i$  in  $A \cap B$  from  $x_0$  to  $f(s_i)$

The loop

$$(f_1 \bar{g}_1)(g_1 f_2 \bar{g}_2) \dots (g_{m-1} f_m)$$

is homotopic to  $f$ , and is a composition  
of loops, ~~is~~ each in  $A$  or  $B$ .  $\Rightarrow f \in \text{Im } \Phi$ .

② A factorization of  $f \in \pi_1(X)$  is an element  
of  $\Phi^{-1}(f)$ :

$$f_1 \dots f_m \quad f_i \in \pi_1(A) \text{ or } \pi_1(B)$$

We showed in ① that each  $f$  has a factorization.

Two factorizations are equivalent modulo  $N$   
iff they differ by a sequence of moves:

(i) Combine  $[f_i][f_{i+1}] \rightsquigarrow [f_i f_{i+1}]$

if  $f_i, f_{i+1}$  lie both in  $\pi_1(A)$  or in  $\pi_1(B)$ .

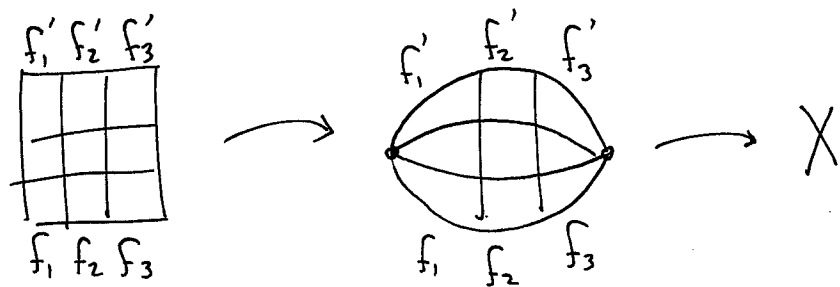
(ii) Regard  $[f_i] \in \pi_1(A)$  as  $[f_i] \in \pi_1(B)$

if  $f_i \in \pi_1(A \cap B)$ .

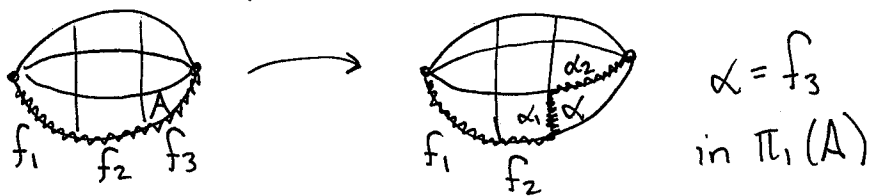
Let  $f_1 \dots f_k, f'_1 \dots f'_\ell$  factorizations of  $f$ .  
To show they are related by (i) & (ii).

Choose a homotopy  $I \times I \rightarrow X$  from one to the other.

Cut  $I \times I$  into small rectangles, each mapping to  $A$  or  $B$ , and so induced partitions of top & bottom edges are finer than those coming from the factorizations.



Push across one square at a time. Show the new factorization differs from old by (i) & (ii).  
E.g. two bottom-right squares.



Then rewrite  $\alpha$  as  $\alpha_1 \alpha_2$  (move (i)).

rewrite  $\alpha_1$  as  $\beta_1 \in \pi_1(B)$  (move (ii)).

Homotope  $f_2 \beta_1 \in \pi_1(B)$  across square. etc.  $\square$

## ATTACHING DISKS

$X$  path connected, based at  $x_0$ .

Attach 2-cell  $D^2$  via  $\varphi: S^1 \rightarrow X$ .

$\leadsto Y$ .

Choose path  $\gamma$  from  $x_0$  to  $\varphi(S^1)$ .

The loop  $\gamma \varphi(S^1) \bar{\gamma}$  is nullhomotopic in  $Y$ .

Let  $N =$  normal subgroup of  $\pi_1(X)$  generated by this loop. Note:  $N$  independent of  $\gamma$ .

Prop. The inclusion  $X \rightarrow Y$  induces a surjection

$$\pi_1(X, x_0) \rightarrow \pi_1(Y, x_0)$$

with kernel  $N$ .

Proof: Choose  $\gamma \in \text{int}(D^2)$

Apply Van Kampen to  $Y - \gamma$ ,  $Y - X$ .

Note:  $Y - \gamma \simeq X$

$Y - X \simeq *$

$$(Y - \gamma) \cap (Y - X) = \text{int}(D^2) - \gamma \simeq S^1. \quad \square$$

Applications. ①  $M_g =$  orientable surface of genus  $g$ .

$$\pi_1(M_g) \cong \langle a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1] \cdots [a_g, b_g] = 1 \rangle$$

$\Rightarrow M_g \neq M_h$   $g \neq h$  as

$$\pi_1(M_g)^{ab} \cong \mathbb{Z}^{2g}.$$

② For any group  $G$ , there is a 2-dim cell complex  $X_G$  with  $\pi_1(X_G) \cong G$ .

To do this, choose a presentation

$$G = \langle g_\alpha \mid r_\beta \rangle$$

$X_G = \bigvee_{\alpha} S^1$  with 2-cells attached along  $r_\beta$ .

## COVERING SPACES.

In our proof of  $\pi_1(S^1) \cong \mathbb{Z}$  we used  $\mathbb{R} \rightarrow S^1$ .  
Can similarly show  $\pi_1(T^2) \cong \mathbb{Z}^2$  using  $\mathbb{R}^2 \rightarrow T^2$   
or  $\pi_1(S^1 \vee S^1) \cong \mathbb{F}_2$  using  $T_4 \rightarrow S^1 \vee S^1$ .

In each case,  $\pi_1(X)$  gives symmetries of the space lying above.

A covering space of  $X$  is an  $\tilde{X}$  with  $\tilde{X}$  <sup>connected.</sup>  
 $p: \tilde{X} \rightarrow X$

satisfying:  $\exists$  open cover  $\{U_\alpha\}$  of  $X$  so that each  $p^{-1}(U_\alpha)$  is a disjoint union of open sets, each homeomorphic to  $U_\alpha$ .

Examples.  $\mathbb{R} \rightarrow S^1$      $\mathbb{R} \times I \rightarrow S^1 \times I$      $\mathbb{R}^2 \rightarrow T^2$      $S^2 \rightarrow \mathbb{R}P^2$   
 $S^1 \xrightarrow{x^n} S^1$      $\mathbb{R} \times I \rightarrow \text{Möbius Strip}$      $\mathbb{R}^2 \rightarrow \text{Klein bottle}$

A universal covering space is a covering space that is simply connected.

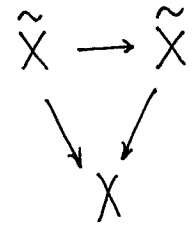
We will see: ①  $\pi_1(X) \leftrightarrow$  symmetries of univ. cover  $\tilde{X}$   
② Subgroups of  $\pi_1(X) \leftrightarrow$  covers of  $X$ .

e.g.  $X = S^1$ .

① via path lifting, ② via path projecting

# FUNDAMENTAL THEOREM

$p: \tilde{X} \rightarrow X$  covering map  
 $G(\tilde{X}) =$  deck transformation group  
 $=$   $p$ -equivariant symmetries of  $\tilde{X}$ :



$H = p_* \pi_1(\tilde{X}), N(H) =$  normalizer in  $\pi_1(X)$ .

Theorem  $1 \rightarrow H \rightarrow N(H) \rightarrow G(\tilde{X}) \rightarrow 1$

The map  $N(H) \rightarrow G(\tilde{X})$  is  
 $f \mapsto$  unique deck trans  
 taking  $\tilde{x}_0$  to  $\tilde{f}(1)$ .

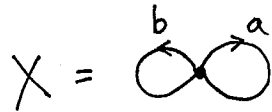
Cor:  $H = 1 \Leftrightarrow G(\tilde{X}) \cong \pi_1(X) \Leftrightarrow \tilde{X} =$  universal cover.

Cor:  $H$  normal  $\Leftrightarrow G(\tilde{X})$  acts transitively on  $p^{-1}(x_0)$ .

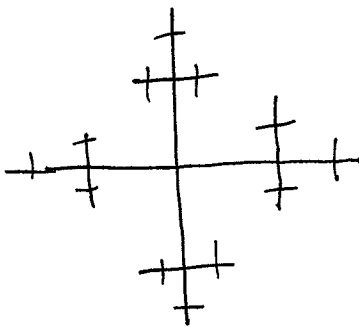
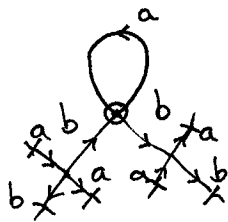
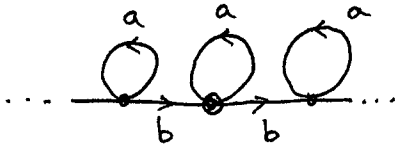
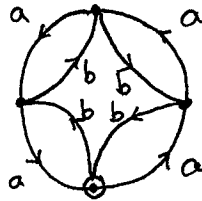
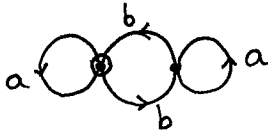
There is a bijection:

$$\left\{ \begin{array}{l} \text{based covering} \\ \text{spaces of } X \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{subgroups} \\ \text{of } \pi_1(X) \end{array} \right\}$$

EXAMPLE



$\tilde{X}$



$$p_*(\pi_1(\tilde{X}))$$

$$\langle a, b^2, bab^{-1} \rangle$$

$$\langle a^2, b^2, ab \rangle$$

$$\langle a^4, b^4, ab, ba, a^2b^2 \rangle$$

$$\langle b^n a b^{-n} \rangle$$

$$\langle a \rangle$$

1

# LIFTING PROPERTIES

$p: \tilde{X} \rightarrow X$  covering space

A lift of  $f: Y \rightarrow X$  is  $\tilde{f}: Y \rightarrow \tilde{X}$  with  $p\tilde{f} = f$ .

Proposition 1 (Homotopy lifting property) Given a homotopy  $f_t: Y \rightarrow X$  and  $\tilde{f}_0: Y \rightarrow \tilde{X}$  lifting  $f_0$ ,  $\exists!$   $\tilde{f}_t$  lifting  $f_t$ .

Proof: Same as  $S^1$  case.

$Y = \text{point} \rightsquigarrow$  path lifting property

$Y = \mathbb{I} \rightsquigarrow$  homotopy lifting for paths

Cor:  $p_*: \pi_1(\tilde{X}) \rightarrow \pi_1(X)$  is injective.

Note:  $p_*(\pi_1(\tilde{X}))$  is the subgroup of  $\pi_1(X)$  consisting of loops that lift to loops.

Degree of a cover:  $|p^{-1}(x)|$  is locally constant, hence constant

Cor:  $X, \tilde{X}$  path connected.

$$\text{degree of } p = [\pi_1(X) : p_*\pi_1(\tilde{X})]$$

Proof: Let  $H = p_*\pi_1(\tilde{X})$ .

Define  $\{\text{cosets of } H\} \rightarrow p^{-1}(x_0)$

$$H[g] \mapsto \tilde{g}(1).$$

Surjective: path proj. Injective: path lifting  $\square$



Proposition 2 (Lifting existence criterion)  $Y =$  connected,  
 locally path connected. We can lift  $f: (Y, y_0) \rightarrow (X, x_0)$   
 to  $\tilde{f}: (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0)$  iff  
 $f_*(\pi_1(Y)) \subseteq p_*\pi_1(\tilde{X})$ .

Proof:  $\Rightarrow$   $\tilde{f}$  exists  $\Rightarrow f = p\tilde{f} \Rightarrow f_* = p_*\tilde{f}_*$   
 $\Rightarrow \text{Im } f_* \subseteq \text{Im } p_*$ .

$\Leftarrow$  Suppose  $\text{Im } f_* \subseteq \text{Im } p_*$ . Want to build  $\tilde{f}$ .

Let  $y \in Y$ ,  $f$  a path from  $y_0$  to  $y$ .

Prop 1  $\Rightarrow f \circ \tilde{f}$  has unique lift  $\tilde{f} \circ \tilde{f}: Y \rightarrow \tilde{X}$ .

Define

$$\tilde{f}(y) = \tilde{f} \circ \tilde{f}(1).$$

Why is  $\tilde{f}$  well-defined?

Let  $f' =$  another path from  $y_0$  to  $y$ .

$\Rightarrow (f \circ f') \circ (\tilde{f} \circ \tilde{f})$  is a loop  $h_0$  at  $x_0$ .

$\Rightarrow h_0 = f \circ (f' \circ \tilde{f} \circ \tilde{f}) \in f_*(\pi_1(Y))$

$\Rightarrow h_0 \in p_*(\pi_1(\tilde{X}))$  by assumption

$\Rightarrow$  the lifted path  $\tilde{h}_0$  is a loop.

Uniqueness of lifted paths  $\Rightarrow \tilde{h}_0 = \tilde{f} \circ \tilde{f} \circ \tilde{f}'$

$\Rightarrow \tilde{f} \circ \tilde{f}, \tilde{f} \circ \tilde{f}'$  share common endpoint.

Exercise:  $\tilde{f}$  continuous.



Proposition 3 (Uniqueness of lifts) Let  $f: Y \rightarrow X$ ,  $Y$  connected.  
 If lifts  $\tilde{f}_1, \tilde{f}_2$  agree at one point, then they are equal.

Proof: Will show

$$A = \{y \in Y : \tilde{f}_1(y) = \tilde{f}_2(y)\}$$

is open and closed in  $Y$ .

Let  $y \in Y$ . Let  $U$  be open nbhd of  $y$  as in definition of covering space.

Let  $\tilde{U}_1, \tilde{U}_2$  be the components of  $p^{-1}(U)$  containing  $\tilde{f}_1(y), \tilde{f}_2(y)$ .

Continuity of  $\tilde{f}_i \Rightarrow \exists$  nbhd  $N$  of  $y$  with  
 $\tilde{f}_i(N) \subseteq \tilde{U}_i$

•  $\tilde{f}_1(y) \neq \tilde{f}_2(y) \Rightarrow \tilde{U}_1 \neq \tilde{U}_2 \Rightarrow \tilde{f}_1(N) \cap \tilde{f}_2(N) = \emptyset$   
 $\Rightarrow A$  closed.

•  $\tilde{f}_1(y) = \tilde{f}_2(y) \Rightarrow \tilde{U}_1 = \tilde{U}_2 \Rightarrow \tilde{f}_1|_N = \tilde{f}_2|_N$

Thus  $A$  open. ▣

# CLASSIFICATION OF COVERING SPACES

$$\{\text{based covers of } X\} \leftrightarrow \{\text{subgroups of } \pi_1(X)\}$$

$$(\tilde{X}, \tilde{x}_0) \mapsto p_*(\pi_1(\tilde{X}, \tilde{x}_0))$$

First step: find a cover corresponding to trivial subgroup.

Theorem:  $X = \text{CW-complex}$  (or any path conn, locally path conn, semilocally simply conn.)  
Then  $X$  has a universal cover  $\tilde{X}$ .

Proof: We construct  $\tilde{X}$  directly.

Points in  $\tilde{X} \leftrightarrow$  homotopy classes of paths from  $x_0$   
(simple connectivity)  
 $\leftrightarrow$  homotopy classes of paths from  $x_0$   
(homotopy lifting)

So define:

$$\tilde{X} = \{[\gamma] : \gamma \text{ a path in } X \text{ at } x_0\}$$

$$p: \tilde{X} \rightarrow X$$
$$[\gamma] \mapsto \gamma(1)$$

## Topology on $\tilde{X}$

$\mathcal{U} = \{U \subseteq X : U \text{ path conn., } \pi_1(U) \rightarrow \pi_1(X) \text{ trivial}\}$

For  $U \in \mathcal{U}$ ,  $f$  with  $f(1) \in U$ , define

$$U_{[f]} = \{[f \cdot \eta] : \eta \text{ a path in } U, \eta(0) = f(1)\} \\ = \text{open neighborhood of } [f] \text{ in } \tilde{X}.$$

exercise: The  $U_{[f]}$  form a basis.

We now check the properties of a covering space.

• Continuity.  $p^{-1}(U)$  is a union of  $U_{[f]}$

• Path connectivity. Let  $[f] \in \tilde{X}$ .

$$f_t = \begin{cases} f \text{ on } [0, t] \\ \text{const. on } [t, 1] \end{cases}$$

is a path from  $[\text{const}]$  to  $[f]$ .

• Simple connectivity.  $p_*$  injective, so suffices to show

$$p_* \pi_1(\tilde{X}) = 1.$$

Let  $f \in \text{Im } p_* \Rightarrow f$  lifts to a loop.

The lift of  $f$  is  $\{[f_t]\}$

$$\text{loop} \Rightarrow [f_1] = [f_0]$$

$$\text{or } [f] = [\text{const}]$$

$$\Rightarrow f = 1 \text{ in } \pi_1(X).$$

• Covering Space.

Note: If  $[\gamma'] \in U[\gamma]$  then  $U[\gamma] = U[\gamma']$   
 Thus, for fixed  $U \in \mathcal{U}$ , the  $U[\gamma]$   
 partition  $p^{-1}(U)$

$p: U[\gamma] \rightarrow U$  homeomorphism since it  
 gives a bijection of open sets

$$V[\gamma] \subseteq U[\gamma] \iff V \subseteq U$$

for  $V \in \mathcal{U}$ . □

Theorem: For every  $H \leq \pi_1(X)$  there is a <sup>(based)</sup> covering space

$$p: \tilde{X}_H \rightarrow X$$

with  $p_* \pi_1(\tilde{X}_H, \tilde{x}_0) = H$ .

Proof: We realize  $\tilde{X}_H$  as a quotient  $\tilde{X}_H = \tilde{X} / \sim$  :

$$[\gamma] \sim [\gamma'] \text{ if } \gamma(1) = \gamma'(1)$$

$$\text{and } [\gamma \cdot \bar{\gamma}'] \in H.$$

exercise:  $\sim$  is an equivalence relation.

Check  $\tilde{X}_H$  a covering space:

Say  $[\gamma] \sim [\gamma']$  with  $\gamma(1) = \gamma'(1) \in U \in \mathcal{U}$ .

Then  $[\gamma \cdot \eta] \sim [\gamma' \cdot \eta]$  for any path  $\eta$  in  $U$ .

$\Rightarrow U[\gamma]$  identified with  $U[\gamma']$

Check  $p_* \pi_1(\tilde{X}_H) = H$  :

Let  $\tilde{x}_0 = [\text{const}]$ .

$\gamma \in \text{Im } p_* \iff \{[\gamma_t]\}$  a loop in  $\tilde{X}_H$

$$\iff [\gamma_0] \sim [\gamma_1]$$

i.e.  $[\text{const}] \sim [\gamma]$

$$\iff \gamma \in H.$$
□

To finish classification, need to show  $\tilde{X}_H$  unique.

Def: Covering spaces  $p_1: \tilde{X}_1 \rightarrow X$  and  $p_2: \tilde{X}_2 \rightarrow X$  are isomorphic if there is a homeomorphism  $f: \tilde{X}_1 \rightarrow \tilde{X}_2$  with  $p_1 = p_2 f$  (i.e.  $f$  preserves fibers).

Prop: Two path connected covering spaces  $p_1: (\tilde{X}_1, \tilde{x}_1) \rightarrow X$  and  $p_2: (\tilde{X}_2, \tilde{x}_2) \rightarrow X$  are isomorphic if and only if  $\text{Im}(p_1)_* = \text{Im}(p_2)_*$ .

Proof:  $\Rightarrow$  easy.

$\Leftarrow$  Lifting criterion  $\leadsto$  lift  $p_1$  to  $\tilde{p}_1: (\tilde{X}_1, \tilde{x}_1) \rightarrow (\tilde{X}_2, \tilde{x}_2)$   
with  $p_2 \tilde{p}_1 = p_1$

By symmetry  $\leadsto \tilde{p}_2$  with  $p_1 \tilde{p}_2 = p_2$ .

Note  $\tilde{p}_1, \tilde{p}_2$  is a lift of  $p_2$ :

$$p_2 \tilde{p}_1 \tilde{p}_2 = p_1 \tilde{p}_2 = p_2$$

Unique lifting +  $\tilde{p}_1 \tilde{p}_2(\tilde{x}_2) = \tilde{x}_2 \Rightarrow \tilde{p}_1 \tilde{p}_2 = \text{id}$ .

Symmetry:  $\tilde{p}_2 \tilde{p}_1 = \text{id}$ .

$\Rightarrow \tilde{p}_1$  a homeo. ▣

Cor: Every subgroup of a free group is free.

# SOME EXAMPLES OF COVERING SPACES

$$S^1 \times \mathbb{R} \rightarrow T^2$$

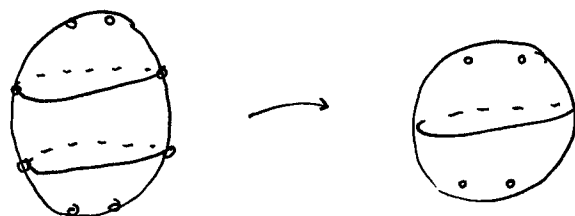
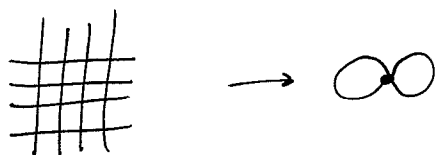
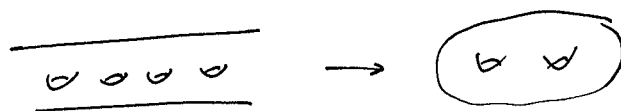
$$T^2 \xrightarrow{(x, y)} T^2$$

Annulus  $\rightarrow$  Möbius strip

$$S^2 \rightarrow \mathbb{R}P^2$$

$$\mathbb{C}^* \xrightarrow{\mathbb{Z}^n} \mathbb{C}^*$$

$$\mathbb{C}^* \rightarrow T^2$$



## THE FUNDAMENTAL THEOREM

$$\text{Fix } p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$$

$$H = p_* \pi_1(\tilde{X}, \tilde{x}_0)$$

$$N(H) = \text{normalizer in } \pi_1(X, x_0)$$

$$G(\tilde{X}) = \text{group of deck transformations.}$$

Say  $p$  is regular if  $G(\tilde{X})$  acts transitively on  $p^{-1}(x_0)$ .

Regard  $\tilde{x}_0$  as  $[\text{const}]$

$$\text{Then } p^{-1}(x_0) = \{[\gamma] : \gamma \text{ a loop}\}$$

By lifting criterion,

$\exists$  deck trans taking  $[\text{const}]$  to  $[\gamma]$

$$\Leftrightarrow p_* \pi_1(\tilde{X}, [\gamma]) = p_* \pi_1(\tilde{X}, [\text{const}])$$

$$\text{or } \exists p_* \pi_1(\tilde{X}, [\text{const}]) \gamma^{-1} = p_* \pi_1(\tilde{X}, [\text{const}])$$

$$\text{i.e. } \gamma \in N(H).$$

We thus have:

$$N(H) \rightarrow G(\tilde{X})$$

$$\gamma \mapsto \tau_\gamma$$

Note: well-defined by uniqueness of lifts.

Prop:  $\tilde{X}$  regular  $\Leftrightarrow H$  normal.

Theorem:  $G(\tilde{X}) \cong N(H)/H$

Both are exercises.



# COVERING SPACES VIA ACTIONS

An action of a group  $G$  on a space  $Y$  is a homom:  
 $G \rightarrow \text{Homeo}(Y)$

This is a covering space action if  
 $\forall y \in Y \exists$  neighborhood  $U$  with  
 $\{g(U) : g \in G\}$   
 all distinct, disjoint.

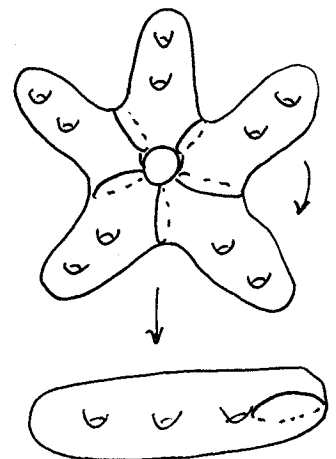
Fact: The action of  $G(\tilde{X})$  on  $\tilde{X}$  is a covering space action.

Prop:  $Y =$  connected CW-complex  
 (or any path conn, locally path conn)  
 $G \curvearrowright Y$  via covering space action. Then:  
 (i)  $p: Y \rightarrow Y/G$  a regular covering space.  
 (ii)  $G \cong \pi_1(Y/G)$

In particular •  $G \cong \pi_1(Y/G) / p_* \pi_1(Y)$   
 •  $Y$  simply connected  $\Rightarrow \pi_1(Y/G) \cong G$ .

Examples.

- $\mathbb{Z} \curvearrowright \mathbb{R} \rightsquigarrow S^1$
- $\mathbb{Z} \curvearrowright \mathbb{R} \times I \rightsquigarrow \text{Möbius strip}$
- $\mathbb{Z}^2 \curvearrowright \mathbb{R}^2 \rightsquigarrow T^2$
- Klein bottle
- $\mathbb{Z}/2\mathbb{Z} \curvearrowright S^n \rightsquigarrow \mathbb{R}P^n$
- $\mathbb{Z}/m\mathbb{Z} \curvearrowright M_{mk+1} \rightsquigarrow M_{k+1}$



## $K(G,1)$ Spaces

Goal: groups  $\leftrightarrow$  spaces (up to homotopy equiv.)  
homomorphisms  $\leftrightarrow$  continuous maps (up to homotopy)

A  $K(G,1)$  space is a space with fundamental group  $G$   
and contractible universal cover.

Examples.  $S^1, T^2$  in general  $\mathbb{Z}^n \leftrightarrow T^n$

What about  $G = \mathbb{Z}/m\mathbb{Z}$ ?

$\mathbb{Z}/m\mathbb{Z}$  acts on  $S^\infty =$  unit sphere in  $\mathbb{C}^\infty$  via  
 $(z_i) \mapsto e^{2\pi i t m} (z_i)$

which is a covering space action.  
(When  $m=2$ , quotient is  $\mathbb{R}P^\infty$ ).

Why is  $S^\infty$  contractible?

Step 1:  $f_t(x_1, x_2, \dots) = (1-t)(x_i) + t(0, x_1, x_2, \dots)$

Step 2: Straight line projection to  $(1, 0, 0, \dots)$ .

Later: Any  $K(\mathbb{Z}/m\mathbb{Z}, 1)$  is  $\infty$ -dim!

## CONSTRUCTION OF $K(G,1)$ spaces

Prop: Every group  $G$  has a  $K(G,1)$

Proof: Define a  $\Delta$ -complex  $EG$  with:

$$n\text{-simplices} \leftrightarrow \begin{array}{l} \text{ordered} \\ (n+1)\text{-tuples} \\ [g_0, \dots, g_n] \quad g_i \in G \end{array}$$

To see  $EG$  contractible, slide each  $x \in [g_0, \dots, g_n]$  along line segment in  $[e, g_0, \dots, g_n]$  from  $x$  to  $[e]$

(Note: This is not a deformation retraction since it moves  $[e]$  around  $[e, e]$ .)

$G \curvearrowright EG$  by left multiplication.

exercise: This is a covering space action.

$$\rightsquigarrow BG = EG/G \text{ is a } K(G,1).$$

This gives one  $K(G,1)$ , and it is always  $\infty$ -dim.

To study a group  $G$ , need a good  $K(G,1)$ ,

e.g.  $K(PB_n, 1) = \mathbb{C}^n \setminus \Delta.$

## HOMOMORPHISMS AS MAPS

Prop:  $X =$  connected CW-complex  
 $Y = K(G, 1)$   $\pi_1(Y, y_0)$   
Every homomorphism  $\pi_1(X, x_0) \rightarrow \overset{''}{G}$  is induced  
by a map  $(X, x_0) \rightarrow (Y, y_0)$ .  
The map is unique up to homotopy fixing  $y_0$ .

This implies:

Prop: The homotopy type of a CW-complex  $K(G, 1)$   
is uniquely determined by  $G$ .

Proof of 1<sup>st</sup> Prop: Assume first  $X$  has one 0-cell,  $x_0$ .

Let  $\varphi: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$ . Want  $f: X \rightarrow Y$ .

Step 0.  $f(x_0) = y_0$

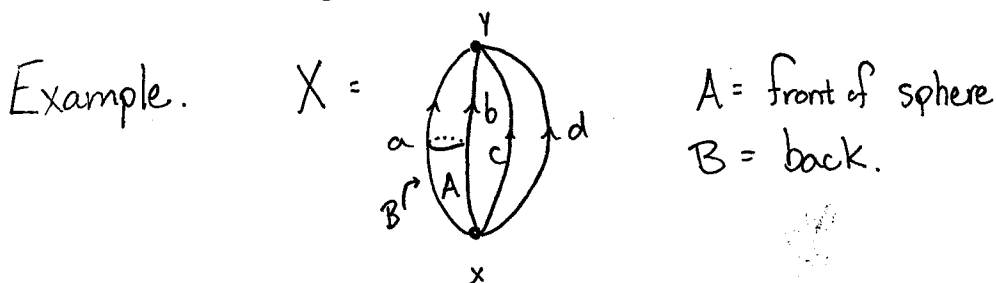
Step 1. Each edge<sup>e</sup> of  $X$  is an element of  $\pi_1(X, x_0)$ . Define  $f(e)$  via  $\varphi$ .

Step 2. Let  $\Delta = 2$ -cell with  $\psi: \partial\Delta \rightarrow X^{(1)}$   
 $f\psi$  null-homotopic, since  $\varphi$  a homom.  
 $\leadsto$  can extend  $f$  to  $\Delta$ .

# HOMOLOGY

Fundamental groups are good at telling spaces apart, but it is not so easy to compute, and the higher dimensional analogs are very hard to compute. Indeed: computing  $\pi_m(S^n)$  is a huge open problem.

Homology is an analogue that is computable. We will lose some information, but it will still be possible to tell many spaces apart.



$C_0 =$  free abelian group on  $x, y$

$C_1 =$  free abelian group on  $a, b, c, d$

$C_2 =$  free abelian group on  $A, B$ .

An element of  $H_1(X)$  is a 1-cycle: an element of  $C_1$  with no boundary, e.g.  $ab^{-1}$ .

Since  $C_1$  abelian,  $ab^{-1} = b^{-1}a$  so we think of  $ab^{-1}$  as a loop with no basepoint.

A 1-cycle is trivial if it is the boundary of a 2-cell, or a collection of 2-cells, so:

$ab^{-1}$  trivial,  $cd^{-1}$  not.

In other words,  $H_1(X) = \text{1-cycles} / \text{1-boundaries}$ .

Can compute with linear algebra.

$$\begin{aligned} \partial_1: C_1 &\rightarrow C_0 && \text{"boundary map"} \\ a, b, c, d &\mapsto y - x \end{aligned}$$

$$\text{1-cycles} = \ker \partial_1.$$

$$\begin{aligned} \partial_2: C_2 &\rightarrow C_1 \\ A, B &\mapsto a - b \end{aligned}$$

$$\text{1-boundaries} = \text{im } \partial_2.$$

$$\text{So: } H_1(X) = \ker \partial_1 / \text{im } \partial_2$$

$$\text{Exercise: } \ker \partial_1 = \langle a-b, b-c, c-d \rangle \cong \mathbb{Z}^3$$

$$\text{im } \partial_2 = \langle a-b \rangle$$

$$\Rightarrow H_1(X) \cong \mathbb{Z}^2$$

↑ essentially  
lin. alg.

$$\text{Also: } H_2(X) = \ker \partial_2 / \text{im } \partial_3 = \langle A-B \rangle / 1 \cong \mathbb{Z}.$$

# SIMPLICIAL HOMOLOGY

$X = \Delta$ -complex

$\Delta_n(X) =$  free abelian group on  $n$ -simplices of  $X$ .

$$\partial_n : \Delta_n(X) \rightarrow \Delta_{n-1}(X)$$

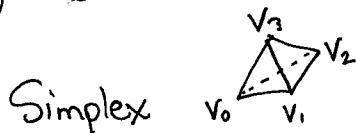
$$H_n(X) = \ker \partial_n / \text{im } \partial_{n+1}$$

There is also singular homology:  $X =$  any space

$C_n(X) =$  free abelian group on all maps  $\Delta^n \rightarrow X$ .

More complicated, but more powerful. Will turn out to be equivalent.

$\Delta$ -complexes



ordering of vertices  $\rightsquigarrow$  ordering of vertices for each face.

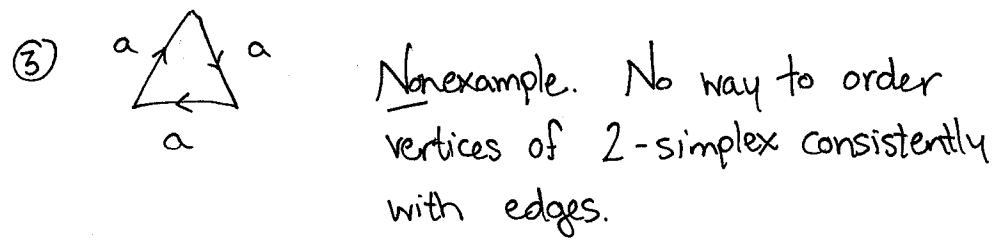
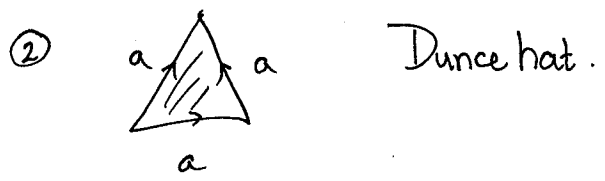
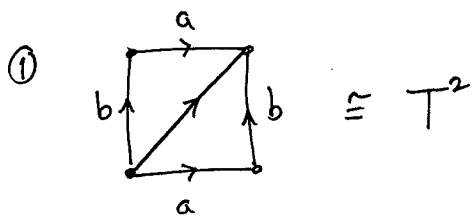
To build a  $\Delta$ -complex:

- Start with a discrete set of vertices
- Attach edges to produce a graph.
- Attach 2-simplices along edges, respecting orderings of vertices
- etc.

$\Delta_n(X) =$  free abelian group on  $n$ -simplices.

Exercise: every simplicial complex has the structure of a  $\Delta$ -complex.

Examples.



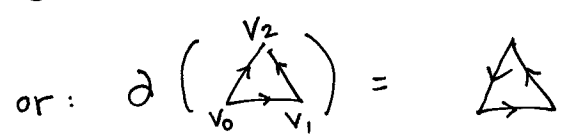
Here is a  $\Delta$ -complex structure on same space:



Boundary homomorphism

$$\partial([v_0, \dots, v_n]) = \sum (-1)^i [v_0, \dots, \hat{v}_i, \dots, v_n]$$

e.g.  $\partial([v_0, v_1, v_2]) = [v_1, v_2] - [v_0, v_2] + [v_0, v_1]$



where  $[v_0, \dots, v_n]$  is  $\Delta^n =$  standard  $n$  simplex.

For a simplex  $\sigma: \Delta^n \rightarrow X$  in  $\Delta$ -complex:  
 $\partial\sigma(\Delta^n) = \sigma(\partial\Delta^n).$



Lemma:  $\partial_{n-1} \circ \partial_n = 0$ .

Proof: Check on one simplex  $\Delta = [v_0, \dots, v_n]$

$$\partial_n(\Delta) = \sum (-1)^i [v_0, \dots, \hat{v}_i, \dots, v_n]$$

$$\partial_{n-1} \partial_n(\Delta) = \sum_{j < i} (-1)^{i+j} [v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]$$

$$+ \sum_{j > i} (-1)^{i+j-1} [v_0, \dots, \hat{v}_i, \dots, \hat{v}_j, \dots, v_n]$$

$$= 0. \quad (\text{switch roles of } i \text{ \& } j \text{ in last sum}).$$

We now have:

$$\dots \rightarrow \Delta_n(X) \xrightarrow{\partial_n} \Delta_{n-1}(X) \xrightarrow{\partial_{n-1}} \dots \xrightarrow{\partial_2} \Delta_1(X) \xrightarrow{\partial_1} \Delta_0(X) \rightarrow 0$$

with  $\partial_n \partial_{n+1} = 0 \quad \forall n$ . i.e.  $\text{Im } \partial_{n+1} \subseteq \text{Ker } \partial_n$

This is called a chain complex.

$\leadsto$  can define:  $H_n(X) = \text{Ker } \partial_n / \text{Im } \partial_{n+1} = n\text{-cycles} / n\text{-boundaries}$

" $n^{\text{th}}$  homology group of  $X$ "

EXAMPLES. ①  $X = S^1 = \text{circle with vertex } v \text{ and edge } e$

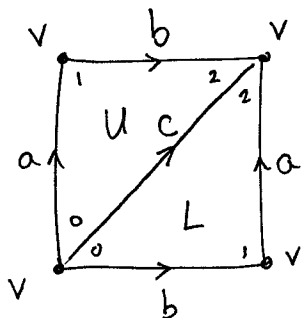
$$\Delta_0(X) = \langle v \rangle \cong \mathbb{Z}$$

$$\Delta_1(X) = \langle e \rangle \cong \mathbb{Z}$$

$$\partial_1 = 0 \quad \partial_1(e) = v - v = 0.$$

$$\leadsto H_n(X) = \begin{cases} \mathbb{Z} & n=0,1 \\ 0 & \text{otherwise.} \end{cases}$$

②  $X = T^2$



$$\partial_1 = 0 \quad \partial_0 = \partial_3 = 0.$$

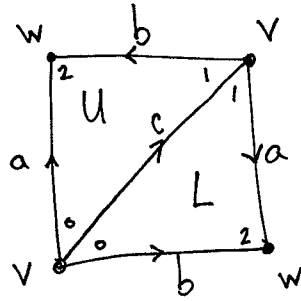
$$\partial_2(U) = \partial_2(L) = a + b - c$$

$$H_0(X) = \langle v \rangle / 0 \cong \mathbb{Z}$$

$$H_1(X) = \langle a, b, c \rangle / \langle a + b - c \rangle \cong \langle a, b \rangle \cong \mathbb{Z}^2$$

$$H_2(X) = \langle U - L \rangle / 0 \cong \mathbb{Z}.$$

③  $X = \mathbb{RP}^2$



$$H_0(X) = \langle v, w \rangle / \langle v-w \rangle = \mathbb{Z}$$

$$\ker d_1 = \langle a-b, c \rangle = \langle c, a-b+c \rangle \cong \mathbb{Z}^2$$

$$\text{im } d_2 = \langle a+b+c, a-b+c \rangle = \langle a-b+c, 2c \rangle \cong \mathbb{Z}^2$$

$$\leadsto H_1(X) = \langle c, a-b+c \rangle / \langle 2c, a-b+c \rangle \cong \mathbb{Z}/2\mathbb{Z}$$

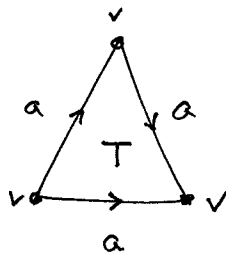
Next:  $\ker d_2$

$$d_2(pU+qL) = (q-p)a + (p-q)b + (p+q)c$$

$$\Rightarrow \ker d_2 = 0.$$

$$\leadsto H_2(X) = 0.$$

④  $X = \text{Dunce cap}$



$X$  is contractible  
but not collapsible.

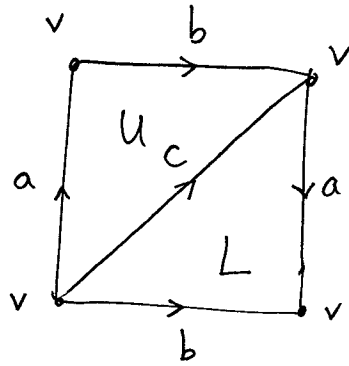
$$H_1(X) = \langle a \rangle / \langle a \rangle = 0$$

$$H_0(X) = \langle v \rangle / 0 \cong \mathbb{Z}$$

$$H_2(X) = 0$$

Exercise:  $X \cong *$  (it is mapping cone of  $\text{deg } 1 \text{ map } S^1 \rightarrow S^1$ ).

⑤  $X = \text{Klein bottle}$



$$H_0(X) = \langle v \rangle / 0 \cong \mathbb{Z}$$

$$H_2(X) = 0.$$

$$\ker \partial_1 = \langle a, b, c \rangle$$

$$\text{Im } \partial_2 = \langle a+b-c, a-b+c \rangle$$

How to compute quotient? Find Smith normal form of:

$$\begin{bmatrix} 1 & 1 \\ -1 & 1 \\ 1 & -1 \end{bmatrix}$$

i.e. use row/col ops to get diagonal matrix where each diagonal entry divides the next.

$$\begin{pmatrix} 1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 \\ 0 & 2 \\ 0 & -2 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 \\ 0 & 2 \\ 0 & 0 \end{pmatrix}$$

$$\begin{aligned} H_1(X) &\cong \mathbb{Z}/\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/0\mathbb{Z} \\ &\cong \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}. \end{aligned}$$

Will prove:  $H_1(X) \cong \pi_1(X)^{ab}$

# SINGULAR HOMOLOGY

Simplicial homology is very computable, but:

- ① It is not obvious that homeomorphic  $\Delta$ -complexes have isomorphic simplicial homology.
- ② Hard to prove general facts about spaces.

So: ~~144~~ A singular  $n$ -simplex in  $X$  is a map  $\sigma: \Delta^n \rightarrow X$

Let  $C_n(X) =$  free abelian group on these.

= group of  $n$ -chains

$$= \left\{ \sum n_i \sigma_i \mid n_i \in \mathbb{Z}, \sigma_i: \Delta^n \rightarrow X \right\}$$

Boundary map  $\partial_n: C_n(X) \rightarrow C_{n-1}(X)$

$$\sigma \mapsto \sum (-1)^i \sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_n]}$$

Still have  $\partial_{n-1} \circ \partial_n = 0$ .

$$H_n(X) = \ker \partial_n / \operatorname{Im} \partial_{n+1}$$

" $n$ th singular homology group"

Singular homology hard to compute. For example, not obvious that

①  $H_n(X) = 0$  for  $n > \dim X$

②  $H_n(X)$  finitely gen.

On other hand, easy to prove general facts like:

Fact: Homeomorphic spaces have isomorphic singular hom. groups.

Will show: singular = simplicial.

Note. Elements of  $H_1(X)$  rep. by maps  $S^1 \rightarrow X$  (easy)

$H_2(X)$  rep. by maps  $Mg \rightarrow X$  (less easy)

$H_n(X)$  rep. by maps  $n$ -manifold  $\rightarrow X$  (only true over  $\mathbb{Q}$ )

Prop:  $X =$  space with path components  $X_\alpha$   
 $\Rightarrow H_n(X) \cong \bigoplus H_n(X_\alpha)$

Prop:  $X =$  nonempty, path conn.  $\Rightarrow H_0(X) \cong \mathbb{Z}$   
 $X$  has  $n$  path comp.  $\Rightarrow H_0(X) \cong \mathbb{Z}^n$

Proof: Say  $X$  path conn.

$$C_1(X) \xrightarrow{\partial_1} C_0(X) \xrightarrow{\partial_0} 0$$

$$H_0(X) = C_0(X) / \text{Im } \partial_1$$

Given  $v, w \in X$ ,  $v - w \in \text{Im } \partial_1 \Rightarrow v = w$  in  $H_0(X)$ .

Also,  $nv \neq 0$  in  $H_0(X)$  since  $\text{Im } \partial_1 \subseteq \ker(C_0(X) \xrightarrow{\epsilon} \mathbb{Z})$   
 where  $\epsilon(\sum n_i v_i) = \sum n_i$ .  $\square$

Prop:  $X = \text{pt.}$   
 $\Rightarrow H_i(X) \cong \begin{cases} \mathbb{Z} & i=0 \\ 0 & i>0 \end{cases}$

Pf:  $C_n(X) \cong \mathbb{Z} \forall n$ .

$$\partial(\sigma_n) = \sum (-1)^i \sigma_{n-1} = \begin{cases} 0 & n \text{ odd} \\ \sigma_{n-1} & n \text{ even} \end{cases}$$

$$\dots \rightarrow \mathbb{Z} \xrightarrow{\cong} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{\cong} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{0} 0 \quad \square$$

### Reduced Homology

Looking at last Prop, seems more elegant to replace last 0 map with  $\cong$ .

$$\tilde{H}_n(X) = \text{homology of } \dots \rightarrow C_1(X) \rightarrow C_0(X) \xrightarrow{\epsilon} \mathbb{Z} \rightarrow 0$$

where  $\epsilon(\sum n_i \sigma_i) = \sum n_i$   
 $=$  reduced homology of  $X$ .

Exercise:  $H_0(X) \cong \tilde{H}_0(X) \oplus \mathbb{Z}$

In particular:  $\tilde{H}_i(X) = 0 \forall i$  when  $X = \text{pt.}$

# HOMOTOPY INVARIANCE

Goal:  $f: X \rightarrow Y \rightsquigarrow f_*: H_n(X) \rightarrow H_n(Y)$   
and

~~Goal~~  $f$  homotopy equivalence  $\Rightarrow f_*$  an isomorphism.

First,  $f \rightsquigarrow f_\# : C_n(X) \rightarrow C_n(Y)$   
 $\sigma \mapsto f\sigma$

with  $f_\# \partial = \partial f_\# \rightsquigarrow$

$$\begin{array}{ccccccc} \dots & \rightarrow & C_{n+1}(X) & \xrightarrow{\partial} & C_n(X) & \xrightarrow{\partial} & C_{n-1}(X) \rightarrow \dots \\ & & \downarrow f_\# & & \downarrow f_\# & & \downarrow f_\# \\ \dots & \rightarrow & C_{n+1}(Y) & \xrightarrow{\partial} & C_n(Y) & \xrightarrow{\partial} & C_{n-1}(Y) \rightarrow \dots \end{array}$$

"chain map"

$f_\#$  takes cycles to cycles, boundaries to boundaries.

$\Rightarrow f_\#$  induces  $f_* : H_n(X) \rightarrow H_n(X)$

Facts:  $(fg)_* = f_* g_*$   
 $\text{id}_* = \text{id}$

Theorem.  $f, g: X \rightarrow Y$  homotopic  $\Rightarrow f_* = g_*$

Cor:  $f: X \rightarrow Y$  homotopy equiv.  $\Rightarrow f_*$  an isomorphism.

example.  $X$  contractible  $\Rightarrow \tilde{H}_i(X) = 0 \forall i$ .

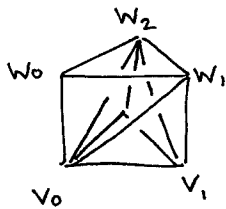
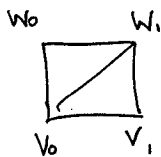
Proof of Theorem: We will define  $P: C_n(X) \rightarrow C_{n+1}(Y)$  with  
 $\partial P = g_{\#} - f_{\#} - P\partial$  "prism operator"  
 $P$  is the homotopy from  $f$  to  $g$ .

The theorem follows:

If  $\alpha \in C_n(Y)$  is a cycle, then  
 $g_{\#}(\alpha) - f_{\#}(\alpha) = \partial P(\alpha) + P\partial(\alpha) = \partial P(\alpha)$   
 $\Rightarrow (g_{\#} - f_{\#})(\alpha)$  a boundary  
 $\Rightarrow \cancel{g_{\#}(\alpha)} = f_{\#}(\alpha)$

Remains to define  $P$  and check  $\partial P = g_{\#} - f_{\#} - P\partial$ .

Main ingredient. Cutting  $\Delta^n \times I$  into  $(n+1)$ -simplices  
 Label vertices of  $\Delta^n \times 0$  by  $v_0, \dots, v_n$   
 $\Delta^n \times 1$  by  $w_0, \dots, w_n$ .



$\Delta^n \times I$  decomposes as sum of  
 $[v_0, \dots, v_i, w_i, \dots, w_n]$

Define  $P(\sigma) = \sum (-1)^i F \circ (\sigma \times \text{id}) | [v_0, \dots, v_i, w_i, \dots, w_n]$

where  $F =$  homotopy from  $f$  to  $g$ .

and  $\Delta^n \times I \xrightarrow{\sigma \times \text{id}} X \times I \xrightarrow{F} Y$

exercise:  $\partial P = g_{\#} - f_{\#} - P\partial$  (like proof that  $\partial_n \circ \partial_{n+1} = 0$ ). ▣

The relationship  $\partial P + P\partial = g_{\#} - f_{\#}$  is expressed as:

$P$  is a chain homotopy from  $f_{\#}$  to  $g_{\#}$

Prop: Chain homotopic maps between exact sequences  
 induce the same map on homology.



# EXACT SEQUENCES

A sequence of homomorphisms

$$\cdots \rightarrow A_{n+1} \rightarrow A_n \rightarrow A_{n-1} \rightarrow \cdots$$

is exact if  $\ker \alpha_n = \text{im } \alpha_{n+1}$

chain complex if  $\text{im } \alpha_{n+1} \subseteq \ker \alpha_n$  i.e.  $\alpha_n \circ \alpha_{n+1} = 0$ .

Facts: (i)  $0 \rightarrow A \xrightarrow{\alpha} B \iff \alpha$  injective

(ii)  $A \xrightarrow{\alpha} B \rightarrow 0 \iff \alpha$  surjective

(iii)  $0 \rightarrow A \xrightarrow{\alpha} B \rightarrow 0 \iff \alpha$  isomorphism.

(iv)  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0 \iff C \cong B/A$

"short  
exact  
sequence"

## COLLAPSING A SUBCOMPLEX

Theorem:  $(X, A) = \text{CW-pair}$ .

There is an exact sequence

$$\begin{aligned} \cdots \rightarrow \tilde{H}_n(A) \xrightarrow{i_*} \tilde{H}_n(X) \xrightarrow{q_*} \tilde{H}_n(X/A) \\ \partial \rightarrow \tilde{H}_{n-1}(A) \xrightarrow{i_*} \tilde{H}_{n-1}(X) \xrightarrow{q_*} \tilde{H}_{n-1}(X/A) \rightarrow \cdots \\ \cdots \rightarrow \tilde{H}_0(X/A) \rightarrow 0. \end{aligned}$$

where  $i: A \hookrightarrow X$ ,  $q: X \rightarrow X/A$ .

Cor:  $\tilde{H}_i(S^n) = \begin{cases} \mathbb{Z} & i=n \\ 0 & i \neq n \end{cases}$

Proof: Induction on  $n$ .

$$\tilde{H}_0(S^0) \cong \mathbb{Z} \quad \checkmark$$

$$\text{For } n > 0: (X, A) = (D^n, S^{n-1}) \rightsquigarrow X/A \cong S^n.$$

By theorem:

$$\cdots \rightarrow \tilde{H}_i(D^n) \rightarrow \tilde{H}_i(S^n) \rightarrow \tilde{H}_{i-1}(S^{n-1}) \rightarrow \tilde{H}_{i-1}(D^n) \rightarrow \cdots$$

$$\Rightarrow \tilde{H}_i(S^n) \cong \tilde{H}_{i-1}(S^{n-1}).$$

□

To prove the Theorem, will do something more general...

## RELATIVE HOMOLOGY

$$A \subseteq X \rightsquigarrow C_n(X, A) \cong C_n(X) / C_n(A)$$

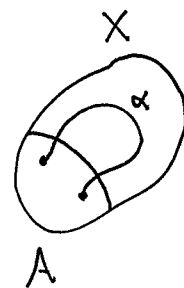
Since  $\partial$  takes  $C_n(A)$  to  $C_{n-1}(A)$ , have chain complex

$$\dots \rightarrow C_n(X, A) \rightarrow C_{n-1}(X, A) \rightarrow \dots$$

$\rightsquigarrow$  relative homology groups  $H_n(X, A)$ .

Elements of  $H_n(X, A)$  are rep by relative cycles:

$$\alpha \in C_n(X) \quad \text{s.t.} \quad \partial\alpha \in C_{n-1}(A)$$



A relative cycle is trivial in  $H_n(X, A)$  iff  
it is a relative boundary:

$$\alpha \in C_n(X) \quad \alpha = \partial\beta + \gamma \quad \text{some } \beta \in C_{n+1}(X), \gamma \in C_n(A)$$

Will show:  $H_n(X, A) \cong H_n(X/A)$ .

Goal: Long exact sequence

$$\begin{aligned} \dots \rightarrow H_n(A) &\rightarrow H_n(X) \rightarrow H_n(X, A) \\ &\rightarrow H_{n-1}(A) \end{aligned}$$

Proof is "diagram chasing."

To start:

$$\begin{array}{ccccccc}
 0 & \rightarrow & C_n(A) & \xrightarrow{i_*} & C_n(X) & \xrightarrow{q_*} & C_n(X,A) \rightarrow 0 \\
 & & \partial \downarrow & & \partial \downarrow & & \partial \downarrow \\
 0 & \rightarrow & C_{n-1}(A) & \xrightarrow{i_*} & C_{n-1}(X) & \xrightarrow{q_*} & C_{n-1}(X,A) \rightarrow 0
 \end{array}$$

→ short exact sequence of chain complexes:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 \dots & \rightarrow & C_{n+1}(A) & \xrightarrow{\quad} & C_n(A) & \xrightarrow{\quad} & C_{n-1}(A) \rightarrow \dots \\
 & & \downarrow i & & \downarrow & & \downarrow \\
 \dots & \rightarrow & C_{n+1}(X) & \xrightarrow{\quad} & C_n(X) & \xrightarrow{\quad} & C_{n-1}(X) \rightarrow \dots \\
 & & \downarrow q & & \downarrow & & \downarrow \\
 \dots & \rightarrow & C_{n+1}(X,A) & \xrightarrow{\quad} & C_n(X,A) & \xrightarrow{\quad} & C_{n-1}(X,A) \rightarrow \dots \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

Commutativity of squares  $\Rightarrow i_*, q_*$  chain maps  
 $\rightarrow$  induced maps on homology.

Need to define  $\partial: H_n(X,A) \rightarrow H_{n-1}(A)$

Let  $c \in C_n(X,A)$  a cycle.

$$c = q_*(\tilde{c}) \quad \tilde{c} \in C_n(X)$$

$\partial \tilde{c} \in \ker q$  by commutativity.

$\Rightarrow \tilde{c} = i_*(a)$  some  $a \in C_{n-1}(A)$  by exactness.

and  $\partial a = 0$  by commut:  $i_* \partial a = \partial i_*(a) = \partial \partial(\tilde{c}) = 0$ .

$i$  inj.

Set  $\partial[c] = [a] \in H_{n-1}(A)$ .

Claim:  $\partial: H_n(X, A) \rightarrow H_{n-1}(A)$  is a well-defined homomorphism.

Well-defined. •  $a$  determined by  $\partial \tilde{c}$  since  $i$  injective

• different choice  $\tilde{c}'$  for  $\tilde{c}$  would have

$$\tilde{c}' - \tilde{c} \in C_n(A), \text{ i.e. } \tilde{c}' = \tilde{c} + i(a')$$

$\Rightarrow a$  changes to  $a + \partial a'$

$$\text{since } i(a + \partial a') = i(a) + i(\partial a') = \partial \tilde{c} + \partial i(a') = \partial(\tilde{c} + i(a'))$$

• different choice for  $\tilde{c}$  in  $[c]$  is of form  $c + \partial b c'$

$$\text{Since } c' = q(b) \text{ some } b' \rightsquigarrow c + \partial c' = c + \partial j(b')$$

$$b' \rightsquigarrow \tilde{c}'$$

$$= c + q(\partial b') = q(\tilde{c} + \partial b')$$

so  $\tilde{c}$  replaced by  $\tilde{c} + \partial b'$

$\rightsquigarrow \partial \tilde{c}$  unchanged.

Homomorphism. Say  $\partial[c_1] = [a_1]$ ,  $\partial[c_2] = [a_2]$  via  $\tilde{c}_1, \tilde{c}_2$ .

$$\text{Then } q(\tilde{c}_1 + \tilde{c}_2) = c_1 + c_2$$

$$i(a_1 + a_2) = \partial(\tilde{c}_1 + \tilde{c}_2)$$

$$\text{so } \partial([c_1] + [c_2]) = [a_1] + [a_2] \quad //$$

Theorem. The following sequence is exact:

$$\dots \rightarrow H_n(A) \xrightarrow{i_*} H_n(X) \xrightarrow{q_*} H_n(X, A) \xrightarrow{\partial} H_{n-1}(A) \rightarrow \dots$$

Proof. More diagram chasing. We'll do 2 of the 6 inclusions needed.

$$\text{Im } \partial \subset \ker i_* \text{ i.e. } i_* \partial = 0:$$

$$i_* \partial \text{ takes } [c] \text{ to } \cancel{[c]} [i \partial \tilde{c}] = 0.$$

$$\ker i_* \subset \text{Im } \partial: \text{ Say } a \in C_{n-1}(A), a \in \ker i_* \Rightarrow i(a) = \partial b \text{ } b \in C_n(X)$$

$$\Rightarrow q(b) \text{ a cycle since } \partial q(b) = q \partial b = q i(a) = 0.$$

&  $\partial$  takes  $[q(b)]$  to  $[a]$ .  $\square$

## EXACT SEQUENCES

A sequence of homomorphisms

$$\cdots \rightarrow A_{n+1} \rightarrow A_n \rightarrow A_{n-1} \rightarrow \cdots$$

is exact if  $\ker \alpha_n = \text{im } \alpha_{n+1}$

chain complex if  $\text{im } \alpha_{n+1} \subseteq \ker \alpha_n$

i.e.  $\alpha_n \circ \alpha_{n+1} = 0$ .

Facts: (i)  $0 \rightarrow A \xrightarrow{\alpha} B \iff \alpha$  injective

(ii)  $A \xrightarrow{\alpha} B \rightarrow 0 \iff \alpha$  surjective

(iii)  $0 \rightarrow A \xrightarrow{\alpha} B \rightarrow 0 \iff \alpha$  isomorphism.

(iv)  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0 \iff C \cong B/A$

"short exact sequence"

## FOUR THEOREMS

- ① Long exact seq. for collapsing subcomplex.
- ① ~~②~~ Long exact seq. for pair
- ③ Excision
- ② ~~③~~ Mayer-Vietoris.

## COLLAPSING A SUBCOMPLEX

Theorem:  $(X, A) = \text{CW-pair}$ .

① There is an exact sequence

$$\begin{aligned} \cdots \rightarrow \tilde{H}_n(A) \xrightarrow{i_*} \tilde{H}_n(X) \xrightarrow{q_*} \tilde{H}_n(X/A) \\ \xrightarrow{\partial} \tilde{H}_{n-1}(A) \xrightarrow{i_*} \tilde{H}_{n-1}(X) \xrightarrow{q_*} \tilde{H}_{n-1}(X/A) \rightarrow \cdots \\ \cdots \rightarrow \tilde{H}_0(X/A) \rightarrow 0. \end{aligned}$$

where  $i: A \hookrightarrow X$ ,  $q: X \rightarrow X/A$ .

Cor:  $\tilde{H}_i(S^n) = \begin{cases} \mathbb{Z} & i=n \\ 0 & i \neq n \end{cases}$

Proof: Induction on  $n$ .

$$\tilde{H}_0(S^0) \cong \mathbb{Z} \quad \checkmark$$

$$\text{For } n > 0: (X, A) = (D^n, S^{n-1}) \rightsquigarrow X/A \cong S^n$$

By theorem:

$$\cdots \rightarrow \tilde{H}_i(D^n) \rightarrow \tilde{H}_i(S^n) \rightarrow \tilde{H}_{i-1}(S^{n-1}) \rightarrow \tilde{H}_{i-1}(D^n) \rightarrow \cdots$$

$$\Rightarrow \tilde{H}_i(S^n) \cong \tilde{H}_{i-1}(S^{n-1}). \quad \square$$

To prove the Theorem, will do something more general...

Cor (Brouwer Fixed Pt Thm): Every  $f: D^n \rightarrow D^n$  has a fixed point.

Proof: If not, exists retraction  $r: D^n \rightarrow \partial D^n$

$$\text{Consider } \tilde{H}_{n-1}(\partial D^n) \xrightarrow{i_*} \tilde{H}_{n-1}(D^n) \xrightarrow{r_*} \tilde{H}_{n-1}(\partial D^n)$$

composition is id & 0 contradiction.  $\square$

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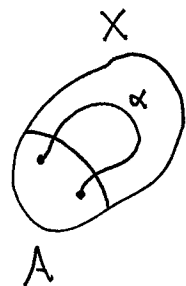
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 since  $i(a + \partial a') = i(a) + i(\partial a') = \partial \tilde{c} + \partial i(a') = \partial(\tilde{c} + i(a'))$
- different choice for  $c$  in  $[c]$  is of form  $c + \partial c'$ 

$$c' = q(\tilde{c}') \text{ some } \tilde{c}' \rightsquigarrow c + \partial c' = c + \partial q(\tilde{c}')$$

$$= c + q(\partial \tilde{c}') = q(\tilde{c} + \partial \tilde{c}')$$
 so  $\tilde{c}$  replaced by  $\tilde{c} + \partial \tilde{c}' \rightsquigarrow \partial \tilde{c}$  unchanged.

Homomorphism: Say  $\partial c_1 = a_1, \partial c_2 = a_2$  via  $\tilde{c}_1, \tilde{c}_2$   
 Then  $q(\tilde{c}_1 + \tilde{c}_2) = c_1 + c_2$   
 $i(a_1 + a_2) = \partial(\tilde{c}_1 + \tilde{c}_2)$   
 so  $\partial(c_1 + c_2) = a_1 + a_2$ .

Theorem. The following sequence is exact:

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$$\Rightarrow q(b) \text{ a cycle since } \partial q b = q \partial b = q i(a) = 0$$

$$\& \partial \text{ takes } [q(b)] \text{ to } [a] \quad \square$$

Some facts about relative homology.

Prop:  $H_n(X, A) = 0 \quad \forall n \iff H_n(A) = H_n(X) \quad \forall n.$

Can define reduced relative homology

$$\rightsquigarrow \tilde{H}_n(X, A) = H_n(X, A) \quad \text{whenever } A \neq \emptyset.$$

Prop: If  $f, g: (X, A) \rightarrow (Y, B)$  are homotopic through maps of pairs then  $f_* = g_*$ .

For triples  $B \subseteq A \subseteq X$ , have

$$0 \rightarrow C_n(A, B) \rightarrow C_n(X, B) \rightarrow C_n(X, A) \rightarrow 0$$

and so:

$$\dots \rightarrow H_n(A, B) \rightarrow H_n(X, B) \rightarrow H_n(X, A) \rightarrow H_{n-1}(A, B) \rightarrow \dots$$

Then spectral sequences.

# MAYER-VIETORIS

Theorem  $A, B \subseteq X$  interiors cover  $X$ . There is long exact seq:

$$\textcircled{2} \quad \dots \rightarrow H_n(A \cap B) \rightarrow H_n(A) \oplus H_n(B) \rightarrow H_n(X) \rightarrow H_{n-1}(A \cap B) \rightarrow \dots$$

$$x \mapsto x \oplus -x$$

$$x \oplus y \mapsto x - y$$

$$x = x_A + x_B \mapsto \partial x_A$$

- Reduced version formally identical.
- Mayer-Vietoris is abelian version of Van Kampen: For  $A \cap B$  path conn

$$MV \Rightarrow H_1(X) = H_1(A) \oplus H_1(B) / H_1(A \cap B)$$

Examples  $\textcircled{1}$   $X = S^n$   $A, B =$  (neighborhoods of) hemispheres

$$\tilde{H}_i(A) \oplus \tilde{H}_i(B) = 0 \quad \forall i.$$

$$\Rightarrow \tilde{H}_i(S^n) \cong \tilde{H}_{i-1}(S^{n-1})$$

$\textcircled{2}$   $X =$  Klein bottle  $A, B =$  (nbhds of) Möbius bands

$$A, B, A \cap B \simeq S^1 \rightsquigarrow$$

$$0 \rightarrow H_2(X) \rightarrow H_1(A \cap B) \rightarrow H_1(A) \oplus H_1(B) \rightarrow H_1(K) \rightarrow 0$$

$$1 \mapsto 2 \oplus -2$$

$$\rightarrow H_2(K) = 0$$

$$H_1(K) \cong H_1(A) \oplus H_1(B) / H_1(A \cap B) = (1,0) \oplus (1,1) / (-2,2)$$

$$\cong \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$$

## Excision

Theorem. Let  $Z \subseteq A \subseteq X$  closure  $Z \subseteq$  interior  $A$

③ Then  $(X - Z, A - Z) \hookrightarrow (X, A)$   
induces an isomorphism on homology.

Equivalently:  $A, B \subseteq X$ , interiors cover  $X$ .  
 $(B, A \cap B) \hookrightarrow (X, A)$  induces  $\cong$  on  $H_*$   
translation  $B = X - Z, Z = X - B$ .

APPLICATION: Invariance of ~~Dimension~~ Dimension

Theorem: If nonempty open sets  $U \subseteq \mathbb{R}^m, V \subseteq \mathbb{R}^n$  are homeomorphic, then  $m = n$ .

Proof: Let  $x \in U$ .  $H_k(U, U - x) \cong H_k(\mathbb{R}^m, \mathbb{R}^m - x)$  by Excision.

Long exact seq. for  $(\mathbb{R}^m, \mathbb{R}^m - x)$ :

$$\dots \rightarrow H_k(\mathbb{R}^m) \rightarrow H_k(\mathbb{R}^m, \mathbb{R}^m - x) \rightarrow H_{k-1}(\mathbb{R}^m - x) \rightarrow H_{k-1}(\mathbb{R}^m) \rightarrow \dots$$

$$\Rightarrow H_k(\mathbb{R}^m, \mathbb{R}^m - x) \cong H_{k-1}(\mathbb{R}^m - x)$$

But  $H_{k-1}(\mathbb{R}^m - x) \cong H_{k-1}(S^{m-1})$  since  $\mathbb{R}^m - x \stackrel{\text{def.}}{\text{ret}}$  to  $S^{m-1}$

Thus:

$$H_k(U, U - x) = \begin{cases} \mathbb{Z} & k = m \\ 0 & \text{o.w.} \end{cases}$$

In other words, can detect  $m$  from homology groups.  $\square$

Excision also used to show  $H_n(X, A) \cong \tilde{H}_n(X/A)$ , so Theorem 2 implies Theorem 1. See Hatcher Prop 2.22

Remains to prove Excision and Mayer-Vietoris.

Idea: Subdivide.

Another homology:  $X = \text{space}$

$\mathcal{U} = \{U_j\}$  collection of subspaces whose interiors cover  $X$ .

$C_n^{\mathcal{U}}(X) = \text{chains } \sum n_i \sigma_i$  so each  $\sigma_i$  has image in some  $U_j$

$\partial(C_n^{\mathcal{U}}(X)) \subseteq C_{n-1}^{\mathcal{U}}(X) \rightsquigarrow \text{chain complex}$

$\rightsquigarrow H_n^{\mathcal{U}}(X)$

Prop:  $H_n^{\mathcal{U}}(X) \cong H_n(X)$

Specifically, there is a subdivision operator  $p: C_n(X) \rightarrow C_n^{\mathcal{U}}(X)$   
that is a chain homotopy inverse to  $L: C_n^{\mathcal{U}}(X) \rightarrow C_n(X)$ .

Proof of Excision. To show  $H_n(B, A \cap B) \cong H_n(X, A)$ .

Let  $\mathcal{U} = \{A, B\}$

Note  $C_n^{\mathcal{U}}(A)$  naturally identified with  $C_n(A)$ . by  $p$  and  $L$ .

$$\Rightarrow C_n^{\mathcal{U}}(X) / C_n^{\mathcal{U}}(A) \rightarrow C_n(X) / C_n(A)$$

induces isomorphism  $H_n^{\mathcal{U}}(X, A) \cong H_n(X, A)$ .

But: ~~But:~~  $C_n(B) / C_n(A \cap B) \rightarrow C_n^{\mathcal{U}}(X) / C_n^{\mathcal{U}}(A)$

obviously an isomorphism: both are free on simplices lying in  $B$  but not  $A$ . So  $H_n(B, A \cap B) \cong H_n^{\mathcal{U}}(X, A)$ .

□

Proof of Mayer-Vietoris. Recall  $X = A \cup B$ .

Let  $\mathcal{U} = \{A, B\}$

There is a short exact seq. of chain complexes:

$$0 \rightarrow C_n(A \cap B) \rightarrow C_n(A) \oplus C_n(B) \rightarrow C_n^{\mathcal{U}}(X) \rightarrow 0$$

$$x \mapsto \begin{matrix} x \oplus -x \\ x \oplus y \end{matrix} \mapsto x + y$$

$\leadsto$  long exact seq. in homology as before.

Substituting  $H_n(X)$  for  $H_n^{\mathcal{U}}(X)$  (Proposition)

$\leadsto$  Mayer-Vietoris sequence. □

A description of  $\partial: H_n(X) \rightarrow H_{n-1}(A \cap B)$ :

$\alpha \in H_n(X)$  rep. by cycle  $Z$

$Z = x + y$   $x \in C_n(A), y \in C_n(B)$

$\partial x = -\partial y$  since  $\partial Z = 0$ .

Set  $\partial \alpha = \partial x$ .

Proof of Prop.

Let  $S =$  barycentric subdivision.

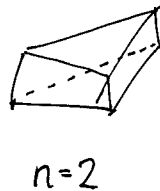
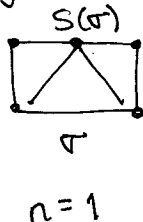
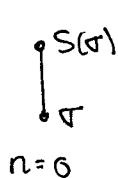
First show  $S$  is a chain homotopy equiv.

then take  $p = S^N$ .

Want  $T: C_n(X) \rightarrow C_{n+1}(X)$  s.t.  $T\partial + \partial T = S - \text{id}$ .

i.e. for any  $n$ -simplex  $\sigma$  want  $(n+1)$ -chain  $T\sigma$  with

boundary  $S(\sigma) - \sigma - T\partial\sigma$



Do  $n=1$  case on all 3 sides. Then join all simplices to barycenter on top.

4+ pages in Hatcher!

# HOMOLOGY AND FUNDAMENTAL GROUP

In many examples, can see  $H_1(X) = \pi_1(X)^{ab}$ ,  
 e.g. surfaces,  $S^1 \vee S^1$ ,  $S^n$

Theorem.  $H_1(X) = \pi_1(X)^{ab}$

Proof. Regarding loops as 1-cycles, there is a map  
 $h: \pi_1(X) \rightarrow H_1(X)$

To show  $h$  a well-defined, surjective homomorphism  
 with kernel  $[\pi_1(X), \pi_1(X)]$

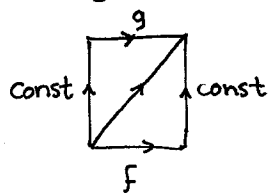
Write  $\cong$  for homotopy,  $\sim$  for homology.

Fact 1.  $\text{const} \sim 0$

Pf.  $H_1(\text{pt}) = 0$  also:  $\text{const loop} = \partial \text{const. 2-simplex}$

Fact 2.  $f \cong g \Rightarrow f \sim g$

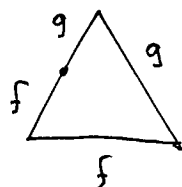
Pf.



boundary =  $f - g$

Fact 3.  $f \cdot g \sim f + g$

Pf.



boundary =  $-g - f \cdot g + f$



Fact 4.  $\bar{f} \sim -f$

Pf.  $f + \bar{f} \stackrel{\textcircled{3}}{\sim} f \cdot \bar{f} \stackrel{\textcircled{2}}{\sim} \text{const} \stackrel{\textcircled{1}}{\sim} 0$

Well-defined. Facts 2 and 3.

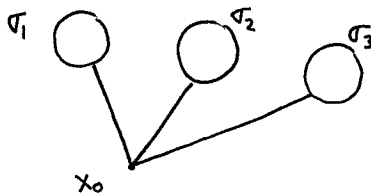
Surjective. Let  $\sum n_i \sigma_i = 1\text{-cycle}$

Relabel.  $\sum \pm \sigma_i$

By Fact 4, rewrite as  $\sum \sigma_i$

Use Fact 3 to organize into loops, relabel  $\sum \sigma_i$

Use Facts 3 and 4 to combine into one loop  $\sigma$ :



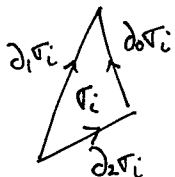
The loop  $\sigma$  is in image of  $h$ .

Note  $[\pi_1(X), \pi_1(X)] \subseteq \text{Ker } h$  since  $H_1(X)$  abelian.

So say  $h(f) \sim 0$ . To show  $f \in [\pi_1(X), \pi_1(X)]$ , i.e.  $f = 0$  in  $\pi_1(X)^{ab}$ .

$$h(f) \sim 0 \Rightarrow f = \partial(\sum \sigma_i) \quad \sigma_i = \overset{\text{Singular}}{2\text{-simplex}}$$

$$= \sum (\partial_0 \sigma_i - \partial_1 \sigma_i + \partial_2 \sigma_i)$$



Modify all  $\sigma_i$  by homotopy so all vertices map to basepoint for  $\pi_1(X) \Rightarrow$  Can regard the sum in  $\pi_1(X)^{ab}$

In  $\pi_1(X)$  have  $(\partial_2 \sigma_i) \cdot (\partial_0 \sigma_i) = (\partial_1 \sigma_i)$  see picture

$\Rightarrow$  each term of sum is 0 in  $\pi_1(X)^{ab}$   $\square$

Alternate ending. Want to show

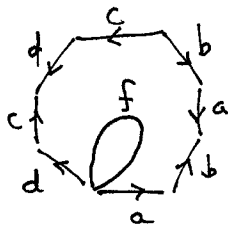
$$h(f) = 0 \Rightarrow f \in [\pi_1(X), \pi_1(X)]$$

$$h(f) = 0 \Rightarrow f = \partial \sum \tau_i$$

Claim:  $\sum \tau_i$  represents an orientable surface with one boundary, namely  $f$ .

Pf: Adjacent triangles must have both  $\partial$ 's clockwise or both counterclockwise.

Classification of surfaces  $\Rightarrow \sum \tau_i$  is



$\Rightarrow f$  a product of  $g$  commutators. ▣

## SOME HISTORY

An  $n$ -manifold is a Hausdorff space where each point has a neighborhood homeomorphic to  $\mathbb{R}^n$ .

Poincaré's First Conjecture. If  $X$  is a 3-manifold with  $H_1(X) = 0$ , then  $X$  is homeomorphic to  $S^3$ .

Counterexample: Poincaré Dodecahedral Space.

Take a solid dodecahedron, glue opposite faces with  $2\pi/10$  clockwise twist. This has same homology as  $S^3$  ("homology sphere")

This led Poincaré to develop  $\pi_1$ .  $\leadsto |\pi_1(\text{PDS})| = 120$ .

The last theorem shows  $\pi_1$  has more information than  $H_1$ . Sometimes this is important information!

# APPLICATIONS OF HOMOLOGY

① Jordan Curve Theorem, etc.

↙ homeo onto image. in this case, any injective continuous map.

Theorem. Let  $h: S^1 \rightarrow \mathbb{R}^2$  embedding.

Then  $\mathbb{R}^2 - h(S^1)$  has exactly 2 connected components.

Easy for nice curves (e.g. polygonal). Must consider things like Osgood curves, which have positive (exterior) area (these are obtained by perturbing space filling curves).

Prop: (a) If  $h: D^k \rightarrow S^n$  an embedding, then

$$\tilde{H}_i(S^n - h(D^k)) = 0 \quad \forall i$$

(b) If  $h: S^k \rightarrow S^n$  an embedding,  $k < n$ , then

$$\tilde{H}_i(S^n - h(S^k)) = \begin{cases} \mathbb{Z} & i = n - k - 1 \\ 0 & \text{otherwise} \end{cases}$$

(b) implies any  $S^{n-1}$  in  $S^n$  divides  $S^n$  into two components, each with homology of a point.

For  $n=2$ , Jordan Curve Thm.

For  $n=3$ , it is possible for one component to be not simply connected. (Alexander horned sphere.)

(b) also implies  $H_1(S^3 - \text{knot}) \cong \mathbb{Z}$ .

Proof of Prop: (a) Induct on  $k$

$$k=0 \rightsquigarrow S^n - h(D^k) \cong \mathbb{R}^n \checkmark$$

Replace  $D^k$  with  $I^k$

$$\text{Let } A = S^n - h(I^{k-1} \times [0, 1/2])$$

$$B = S^n - h(I^{k-1} \times [1/2, 1])$$

$$\text{Induction} \Rightarrow \tilde{H}_i(A \cup B) = \tilde{H}_i(S^n - h(I^{k-1} \times 1/2)) = 0.$$

Mayer-Vietoris  $\Rightarrow$

$$\Phi: \tilde{H}_i(S^n - h(D^k)) \rightarrow \tilde{H}_i(A) \oplus \tilde{H}_i(B) \text{ isomorphism } \forall i.$$

So if  $[\alpha] \neq 0$  in  $\tilde{H}_i(S^n - h(D^k))$  then  
 $\alpha \neq 0$  in  $H_i(S^n - \text{half of } h(I^k))$

Say these halves converge to  $I^{k-1} \times \{p\}$ .

By above,  $\alpha$  a boundary in  $\tilde{H}_i(S^n - h(I^{k-1} \times \{p\}))$

Say  $\alpha = \partial\beta$ .

$\beta$  compact  $\Rightarrow [\alpha] = 0$  at some finite stage.

$\rightsquigarrow$  contradiction. ▣

(b) Induct on  $k$ .

$$k=0 \rightsquigarrow S^n - h(S^0) \cong S^{n-1} \times \mathbb{R} \checkmark$$

$$\text{Let } S^k = D_+^k \cup_{S^{k-1}} D_-^k$$

$$A = S^n - h(D_+^k), \quad B = S^n - h(D_-^k)$$

Mayer-Vietoris plus (a)  $\Rightarrow$

$$\tilde{H}_{i+1}(S^n - h(S^{k-1})) \cong \tilde{H}_i(S^n - h(S^k)) \quad \square$$

Exercise. Examine the case  $k=n$

$\rightsquigarrow S^n$  cannot embed in  $\mathbb{R}^n$

$\mathbb{R}^m$  cannot embed in  $\mathbb{R}^n \quad m > n.$

Aside: Alexander Horned Sphere

The Alexander Horned Ball is the intersection  $\bigcap_{i=1}^{\infty} X_i$



$$\pi_1(\text{AHB}^c) = \langle \alpha_0, \alpha_1, \dots \mid \begin{array}{l} [\alpha_1, \alpha_2] = \alpha_0 \\ [\alpha_3, \alpha_4] = \alpha_1 \quad [\alpha_5, \alpha_6] = \alpha_2 \\ \dots \end{array} \rangle$$

This group is nontrivial — it is an increasing union of free groups. But since each  $\alpha_i$  is a commutator, the abelianization is trivial.

## ② Invariance of Domain

Theorem  $U$  open in  $\mathbb{R}^n$ ,  $h: U \rightarrow \mathbb{R}^n$  embedding  
 $\Rightarrow h(U)$  open in  $\mathbb{R}^n$ .

Proof Think of  $\mathbb{R}^n$  as  $S^n$ -pt.

Equivalent to show  $h(U)$  open in  $S^n$ .

Let  $x \in U$ ,  $D^n =$  disk about  $x$  in  $U$ .

Suffices to show  $h(\text{int } D^n)$  open in  $S^n$

Prop (b)  $\Rightarrow S^n - h(D^n)$  has 2 path components.

The components are  $h(\text{int } D^n)$ ,  $S^n - h(D^n)$ . Indeed:

• Since  $h(\text{int } D^n)$  path conn, these sets are disjoint

•  $S^n - h(D^n)$  path conn by Prop (b)

Since  $S^n - h(\partial D^n)$  open in  $S^n$  ( $h(\partial D^n)$  compact in Hausdorff),  
its path components = connected components (true for loc. comp.)

An open set with finitely many comp. must have  
each comp. open

$\Rightarrow h(\text{int } D^n)$  open in  $S^n - h(\partial D^n)$

$\Rightarrow$  open in  $S^n$  ▣

Cor:  $M =$  compact  $n$ -manifold,  $N =$  connected  $n$ -manifold  
Then any embedding  $M \xrightarrow{h} N$  is surjective, hence a homeo.

Proof:  $h(M)$  closed in  $N$  (compact in Hausdorff)

Since  $N$  conn, suffices to show  $h(M)$  open in  $N$ .

Let  $x \in M$ . Choose neighborhood  $V$  of  $h(x)$  homeo to  $\mathbb{R}^n$ .

Choose nbhd  $U$  of  $x$  in  $h^{-1}(V)$  homeo to  $\mathbb{R}^n$

$h|_U$  an embedding into  $V$ . Thm  $\Rightarrow h(U)$  open in  $V$ ,

hence open in  $N$ . ▣

### ③ Division Algebras

An algebra over  $\mathbb{R}$  is  $\mathbb{R}^n$  with bilinear multiplication

$$\mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}^n$$

$$(a, b) \mapsto ab$$

So:  $a(bt+c) = ab+ac$ ,  $(a+b)c = ac+bc$ ,  $\alpha(ab) = (\alpha a)b = a(\alpha b)$

It is a division algebra if  $ax=b$ ,  $xa=b$  always

Solvable for  $a \neq 0$ . ("no zero divisors")

Four classical examples:  $\mathbb{R}$ ,  $\mathbb{C}$ , Quaternions, Octonions

Theorem.  $\mathbb{R}$  &  $\mathbb{C}$  are the only finite dimensional division algebras over  $\mathbb{R}$  that are commutative and have id.

Proof. We'll show: a fin. dim. comm. div alg. has  $\dim \leq 2$ .

Suppose  $\mathbb{R}^n$  has a comm. div. alg. structure.

Define  $f: S^{n-1} \rightarrow S^{n-1}$  by  $f(x) = x^2/|x|^2$

$\rightsquigarrow$  induced map  $\bar{f}: \mathbb{R}P^{n-1} \rightarrow S^{n-1}$

Claim:  $\bar{f}$  injective

$$\text{Pf: } f(x) = f(y) \Rightarrow x^2 = \alpha^2 y^2 \Rightarrow x^2 - \alpha^2 y^2 = 0$$

$$\Rightarrow (x + \alpha y)(x - \alpha y) = 0 \quad (\text{commutativity})$$

$$\text{No zero div} \Rightarrow x = \pm \alpha y \Rightarrow x = \pm y.$$

$\bar{f}$  injective map of compact Hausdorff  $\Rightarrow$   $\mathbb{R}$  embedding

Cor  $\Rightarrow f$  surjective if  $n > 1$ .

$$\Rightarrow \mathbb{R}P^{n-1} \cong S^{n-1} \Rightarrow n=2 \quad (\text{compare } \pi_1)$$

A little more algebra to get full theorem. □



## DEGREE

$$f: S^n \rightarrow S^n \rightsquigarrow f_*: H_n(S^n) \rightarrow H_n(S^n)$$
$$\alpha \mapsto d\alpha$$

$d$  = degree of  $f$ .

Facts (i)  $\deg \text{id} = 1$

(ii)  $\deg f = 0$  if  $f$  not surjective

(iii)  $\deg f = \deg g \iff f \simeq g \implies$  due to Hopf.

(iv)  $\deg fg = \deg f \deg g$

(v)  $\deg f = -1$   $f$  = reflection along equator

(vi)  $\deg(\text{antipodal}) = (-1)^n$

### ④ Hairy Ball Theorem

Theorem.  $S^n$  has a continuous field of nonzero tangent vectors iff  $n$  is odd.

Proof.  $\Rightarrow$  Let  $v(x)$  = vector field on  $S^n$ . Translate  $v(x)$  to origin

$$\rightsquigarrow v(x) \perp x \text{ in } \mathbb{R}^{n+1}$$

$v(x) \neq 0 \forall x \rightsquigarrow$  can replace  $v(x)$  with  $v(x)/|v(x)|$

$\Rightarrow (\cos t)x + (\sin t)v(x)$  = unit  $S^1$  in  $x, v(x)$  plane

$f_t(x) = (\cos t)x + (\sin t)v(x)$  a homotopy from  $\text{id}$  ( $t=0$ )

to antipodal map ( $t=\pi$ )

(iii)  $\Rightarrow \deg \text{id} = \deg \text{antip.}$

(i), (vi)  $\Rightarrow n$  odd.

$\Leftarrow$  For  $n=2k-1$  set  $v(x_1, \dots, x_{2k}) = (-x_2, x_1, \dots, -x_{2k}, x_{2k-1})$ .  $\square$

One more fact about degree:

(vi) If  $f$  has no fixed points, then  $\deg f = (-1)^{n+1}$

proof: find homotopy to antipodal map (straight line)

⑤ Prop:  $\mathbb{Z}/2\mathbb{Z}$  is only group that can act freely on  $S^n$   
if  $n$  is even.

Pf: Say  $G \curvearrowright S^n \rightsquigarrow d: G \rightarrow \{\pm 1\}$  homomorphism by (iv)

Action free  $\Rightarrow d(g) = (-1)^{n+1} g \neq \text{id}$  by (vi)

$n$  even  $\Rightarrow \ker d = 1 \Rightarrow G \cong \mathbb{Z}/2\mathbb{Z}$ .  $\square$

Can also use degree to <sup>define/</sup> compute cellular homology

$\rightsquigarrow$  compute homology of  $\mathbb{C}P^n$ ,  $S^n \times S^n$ ,  $T^n$ ,  $\mathbb{R}P^n$ ,  $L(p,q)$ , etc.  
see text.

⑥ Borsuk-Ulam Theorem

Prop: Say  $f: S^n \rightarrow S^n$ ,  $f(-x) = -f(x) \forall x$  (odd map).

Then  $f$  has odd degree.

Theorem:  $g: S^n \rightarrow \mathbb{R}^n \Rightarrow \exists x$  s.t.  $g(x) = g(-x)$ .

Proof: Let  $f(x) = g(x) - g(-x)$ , say  $f(x) \neq 0 \forall x$ .

Replace  $f(x)$  by  $f(x)/|f(x)|$

$\rightsquigarrow f: S^n \rightarrow S^{n-1}$  odd

Prop  $\Rightarrow f|_{\text{equator}}$  has odd degree.

But either hemisphere gives a nullhomotopy.

CONTRADICTION.  $\square$

## ⑦ Lefschetz Fixed Point Theorem

Trace: for  $\varphi: A \rightarrow A$   $A = \text{f.g. abelian group}$   
 $\text{tr } \varphi = \text{tr}(A/\text{torsion} \rightarrow A/\text{torsion})$

$X = \text{space with finitely generated homology, trivial } H_i \text{ for } i \geq N.$   
 e.g. finite simplicial complex.

The Lefschetz number of  $f: X \rightarrow X$  is  

$$L(f) = \sum (-1)^i \text{tr}(f_*: H_i(X) \rightarrow H_i(X))$$

Theorem  $L(f) = \text{sum of indices of fixed points}$

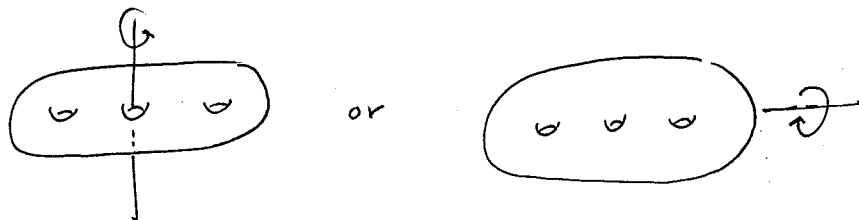
(assume fixed points are isolated)

In particular  $L(f) \neq 0 \Rightarrow \text{fixed points}$   
 Brouwer FPT is corollary.

~~Real~~ Index of fixed point  $p$  is  $\deg(\bar{f}: (X, X-p) \rightarrow (X, X-p))$

Linear maps. Modulo torsion,  $\mathbb{R}P^n$   $n$  even has homology of pt.  
 $\Rightarrow$  every map has a fixed point  
 $\Rightarrow$  every linear map  $\mathbb{R}^n \rightarrow \mathbb{R}^n$ ,  $n$  odd has an eigenvector (can also use elementary reasoning).

Can do many examples of LFPT with surfaces, e.g.



Preparation: Approximation by simplicial maps

Simplicial maps.  $K, L$  simplicial complexes  
 $K \rightarrow L$  simplicial if simplices  $\mapsto$  simplices, linearly.

Theorem.  $K =$  finite simplicial complex,  $L =$  simplicial complex.  
Any  $f: K \rightarrow L$  is homotopic to a map that is simplicial w.r.t. some subdivision of  $K$ .

Idea of Proof that  $\tau(f) \neq 0 \Rightarrow \exists$  fixed points.

Assume  $f: X \rightarrow X$  has no fixed points

Simplicial approx  $\rightsquigarrow g: X \rightarrow X$  simplicial, homotopic to  $f$   
 $g(\sigma) \cap \sigma = \emptyset \quad \forall$  simplices  $\sigma$ .

Note  $\tau(f) = \tau(g)$ .

To show  $\text{tr}(g_*) = 0$  in all dim.

Key:  $\tau(g) = \sum (-1)^n \text{tr}(g_*: H_n(X^n, X^{n-1}) \rightarrow H_n(X^n, X^{n-1}))$

Use the fact that  $g$  takes  $X^n$  to  $X^n$  plus some algebra.

Since  $g$  <sup>crushes/</sup> permutes cells without fixing any, all of these traces are 0. □

# COHOMOLOGY

Same basic information as homology, but get

- multiplicative structure
- pairing with homology
- contravariance

Quick idea:

$X = \Delta$ -complex

$G =$  abelian group, say  $\mathbb{Z}$

$\Delta^i(X) =$  functions from  $i$ -simplices of  $X$  to  $G$ .

$=$  homomorphisms  $\Delta^i(X) \rightarrow G$

$\delta: \Delta^i(X, G) \rightarrow \Delta^{i+1}(X, G)$  coboundary  $(-1)^k f(\partial_k \sigma)$

For  $f \in \Delta^i$ ,  $\sigma$  an  $(i+1)$ -simplex,  $\delta f(\sigma) = \sum_{k=0}^i (-1)^k f(\partial_k \sigma)$

$H^*(X; G)$  is homology of this chain complex

~~Example~~ Graphs.  $X = 1$ -dim  $\Delta$ -complex = oriented graph

Let  $f \in \Delta^0(X, G)$

$\delta f(e) = f(v_1) - f(v_0)$

$=$  change of  $f$  over  $e$  "derivative"

think:  $f =$  elevation

$\rightarrow$  chain complex:

$$0 \rightarrow \Delta^0(X, G) \xrightarrow{\delta} \Delta^1(X, G) \rightarrow 0$$

$$H^0(X, G) = \ker \delta$$

$=$  functions constant on each component

$=$  direct product of components

(as opposed to direct sum in homology case)

$$H^1(X, G) = \Delta^1(X, G) / \text{Im } \delta$$

So for  $f \in \Delta^1(X, G)$ , have  $[f] = 0$  in  $H^1(X, G)$  iff  $f$  has an antiderivative.

Examples.

①  $X = \text{tree}$

Antiderivatives always exist

$$\Rightarrow H^1(X, G) = 0.$$

②  $X = \bigcirc$

$$\Delta^1(X, G) \cong G$$

No nontrivial function has an antiderivative

$$\rightsquigarrow H^1(X, G) \cong G$$

③  $X = \bigvee_{\alpha} S^1$

$$\rightsquigarrow H^1(X, G) \cong \prod_{\alpha} G$$

More generally.  $X = \text{any } \text{tree graph.}$

Let  $T = \text{maximal tree (or forest)}$ ,  $E = \text{edges outside } T$

$$\rightsquigarrow H^1(X, G) = \prod_E G \quad (\text{again, instead of direct sum}).$$

Why? First consider  $\{f \mid f|_T = 0\}$

Two of these are cohomologous  $\iff$  they are equal

(only possible antiderivative is  $F = \text{const}$ ).

Next show any  $f' \in \Delta^1$  is cohomologous to some  $f$  with  $f|_T = 0$ . Modify  $f'$  by making one edge<sup>e</sup> of  $T$  evaluate to 0, say add  $g$  to  $f'(e)$ .

Then for any edge  $e'$  of  $X - T$ , either add or subtract  $g$ , depending on whether loop through  $e, e'$  traverses them in same or diff directions.

Check new  $f'$  cohomologous to  $0$ .

Two dimensions.  $X = 2\text{-dim } \Delta\text{-complex}$

$$\delta: \Delta^1(X, G) \rightarrow \Delta^2(X, G)$$

$$\delta f([v_0, v_1, v_2]) = f([v_1, v_2]) - f([v_0, v_2]) + f([v_0, v_1])$$

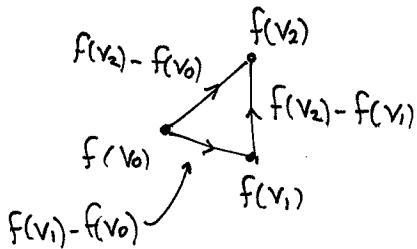
Check that

$$0 \rightarrow \Delta^0(X, G) \rightarrow \Delta^1(X, G) \rightarrow \Delta^2(X, G) \rightarrow 0$$

is a chain complex: say  $f \in \Delta^0(X, G)$ .

$$\delta \delta f([v_0, v_1, v_2]) = (f(v_1) - f(v_0)) + (f(v_2) - f(v_1)) - (f(v_2) - f(v_0))$$

i.e. if you hike a loop, total elevation change is zero.



1-cocycles:  $\delta f = 0$  iff

$$f([v_0, v_2]) = f([v_0, v_1]) + f([v_1, v_2])$$

so  $\delta f$  measures failure of additivity.

This is the local obstruction to  $f$  being in  $\text{im } \delta$

And  $f \neq 0$  in  $H^1(X) \iff$  does not come from  $F \in \Delta^0$ .

i.e. if there is a global obstruction.

Analogue with calculus. 1-forms on  $\mathbb{R}^3 \iff$  vector fields

Want to know if vector field is  $\nabla f$

local obstruction:  $\text{curl} = 0$ . (closed)

global obstruction: line integrals = 0. (exact)

In  $\mathbb{R}^n$ , all closed forms are exact.

Not true in other spaces, e.g.  $\mathbb{R}^2 - \{0\}$

de Rham cohomology: closed forms / exact forms.



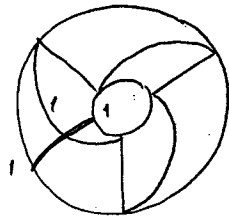
# Geometric interpretation of 1-cocycles, $X$ a surface.

Take  $G = \mathbb{Z}_2$ .  $\delta f = 0$  means  $f$  takes value 1 on even # of edges in each  $\Delta$ .

$\rightarrow$  collection of curves, arcs

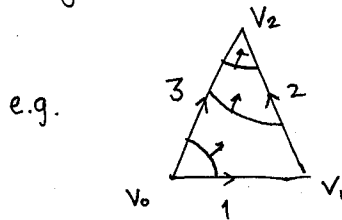
$[f] = 0 \iff$  can color regions black & white.

examples. disk, annulus:

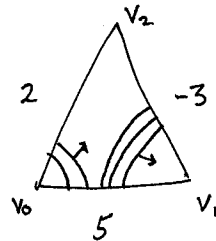


unlabeled = 0.

Take  $G = \mathbb{Z}$ . Again  $\delta f = 0 \rightarrow$  collection of curves



or



arrows point up.

$[f] = 0 \iff$  can assign elevation to each vertex consistently.

exercise. Construct nontrivial cocycle on annulus.

So: in annulus, can walk in a loop and change your elevation!  
cf. international dateline.

Exercise: Find geometric interpretations of 1- & 2-cocycles in a 3-manifold.



# COHOMOLOGY GROUPS (Some Abstract Algebra)

Start with a chain complex of abelian groups  $C$ :

$$\dots \rightarrow C_n \xrightarrow{\partial_n} C_{n-1} \rightarrow \dots$$

$$\rightsquigarrow H_n(C) = \ker \partial_n / \operatorname{im} \partial_{n+1}$$

To get cohomology, we dualize: replace each  $C_n$  with its dual

$$C_n^* = \operatorname{Hom}(C_n, G)$$

replace each  $\partial$  with  $\delta = \partial^* : C_{n-1}^* \rightarrow C_n^*$

$$\text{Notice: } \delta\delta = \partial^*\partial^* = (\partial\partial)^* = 0^* = 0.$$

$$\rightsquigarrow H^n(C, G) = \ker \delta / \operatorname{im} \delta$$

Guess:  $H^n(C, G) \cong \operatorname{Hom}(H^n(C), G)$

Too optimistic, but almost true.  
It is true for graphs.

$$\text{Example. } C: \quad \begin{array}{ccccccc} & & C_3 & & C_2 & & C_1 & & C_0 \\ & & \mathbb{Z} & \xrightarrow{0} & \mathbb{Z} & \xrightarrow{2} & \mathbb{Z} & \xrightarrow{0} & \mathbb{Z} & \rightarrow 0 \end{array}$$

$$\rightsquigarrow H_0(C) = \mathbb{Z}, H_1(C) = \mathbb{Z}/2\mathbb{Z}, H_2(C) = 0, H_3(C) = \mathbb{Z}$$

$$C^*: \quad 0 \leftarrow \mathbb{Z} \xleftarrow{0} \mathbb{Z} \xleftarrow{2} \mathbb{Z} \xleftarrow{0} \mathbb{Z} \leftarrow 0$$

$$\rightsquigarrow H^0(C, \mathbb{Z}) = \mathbb{Z}, H^1(C, \mathbb{Z}) = 0, H^2(C, \mathbb{Z}) = \mathbb{Z}/2\mathbb{Z}, H^3(C, \mathbb{Z}) = \mathbb{Z}$$

See: Torsion shifts up one dimension.

use formula  
 $\delta\varphi = \varphi\partial$

This holds in general, since any chain complex of finitely generated <sup>free</sup> abelian groups splits as a direct sum of

$$0 \rightarrow \mathbb{Z} \rightarrow 0$$

$$\text{and } 0 \rightarrow \mathbb{Z} \xrightarrow{m} \mathbb{Z} \rightarrow 0$$

## UNIVERSAL COEFFICIENT THEOREM FOR COHOMOLOGY

$$C: \cdots \rightarrow C_n \rightarrow C_{n-1} \quad \text{chain complex.}$$
$$\rightsquigarrow H_n(C)$$

$T_n(C)$  = torsion subgroup of  $H_n(C)$ .

We just showed: If the  $H_n(C)$  are finitely generated, and each  $C_i$  is free abelian, then

$$H^n(C, \mathbb{Z}) \cong H_n(C) / T_n(C) \oplus T_{n-1}(C)$$

This is a special case of:

Theorem. There is a split short exact sequence:

$$0 \rightarrow \text{Ext}(H_{n-1}(C), G) \rightarrow H^n(C, G) \rightarrow \text{Hom}(H_n(C), G) \rightarrow 0$$

The group  $\text{Ext}(H_{n-1}(C), G)$  is explicit. It describes all extensions of  $H_{n-1}(C)$  by  $G$ . Some properties: If  $H$  is finitely gen,

then

$$\textcircled{1} \text{Ext}(H \oplus H', G) \cong \text{Ext}(H, G) \oplus \text{Ext}(H', G)$$

$$\textcircled{2} \text{Ext}(H, G) = 0 \text{ if } H \text{ is free}$$

$$\textcircled{3} \text{Ext}(\mathbb{Z}/n\mathbb{Z}, G) \cong G/nG$$

These imply the special case of UCT above.

Universal coefficient theorem for homology:

$$H_n(X, \mathbb{Q}) \cong H_n(X, \mathbb{Z}) \otimes \mathbb{Q} \quad (\text{later}).$$

# COHOMOLOGY OF SPACES

$X$  = space,  $G$  = abelian group

$C^n(X, G)$  (= singular  $n$ -chains with coefficients in  $G$ , except allow  $\infty$  sums)

= dual of  $C_n(X)$

=  $\text{Hom}(C_n(X), G)$

Coboundary  $\delta$  is  $\partial^*$ : for  $\varphi \in C^n(X, G)$

$$\delta\varphi: C_{n+1}(X) \xrightarrow{\partial} C_n(X) \xrightarrow{\varphi} G.$$

Again,  $\delta^2 = 0$ .

$\leadsto H^n(X, G)$  cohomology group with coefficients in  $G$ .

$$= \ker \delta / \text{im } \delta = \text{cocycles} / \text{coboundaries}$$

Cocycles. A cochain  $\varphi$  is a cocycle iff  $\delta\varphi = \varphi\partial = 0$ ,  
i.e.  $\varphi$  vanishes on all boundaries.

It is a coboundary if it has an "antiderivative."

Since  $C_n(X)$  free, UCT gives:

$$0 \rightarrow \text{Ext}(H_{n-1}(X), G) \rightarrow H^n(X, G) \rightarrow \text{Hom}(H_n(X), G) \rightarrow 0$$

"Cohomology groups of  $X$  with arbitrary coefficients is determined by the homology groups of  $X$  with  $\mathbb{Z}$  coefficients."

What is  $\text{Ext}$ ?

Let  $B_n = \text{im } \partial_{n+1}$  (boundaries)

$Z_n = \ker \partial_n$  (cycles)

$$\leadsto i_n: B_n \rightarrow Z_n$$

$$\text{Ext}(H_{n-1}(X), G) = \text{Coker } i_{n-1}^*$$

$\uparrow$  dual to  $i_{n-1}$

## COHOMOLOGY IN LOW DIMENSIONS

$n=0$  Ext term is trivial, so

$$H^0(X, G) \cong \text{Hom}(H_0(X), G)$$

Can see directly from definitions:

sing. 0-simplices  $\leftrightarrow$  points of  $X$

cochains  $\leftrightarrow$  functions  $X \rightarrow G$  (not continuous)

cocycles  $\leftrightarrow$  vanish on boundaries

$\leftrightarrow$  const. on each path component

$$\begin{aligned} \Rightarrow H^0(X, G) &= \text{functions } \{\text{path components of } X\} \rightarrow G \\ &= \text{Hom}(H_0(X), G). \end{aligned}$$

$n=1$  Ext = 0 since  $H_0(X)$  free

$$\Rightarrow H^1(X, G) \cong \text{Hom}(H_1(X), G)$$

$$\cong \text{Hom}(\pi_1(X), G) \text{ if } X \text{ path conn.}$$

## COEFFICIENTS IN A FIELD

$H_n(X, F)$  = homology gps of chain complex of  $F$ -vector spaces  $C_n(X, F)$

Dual complex  $\text{Hom}_F(C_n(X, F), F) = \text{Hom}(C_n(X), F)$

$$\rightsquigarrow H^n(X, F)$$

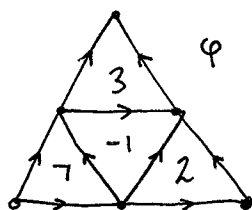
Can generalize UCT to fields (or pid's)  $\rightsquigarrow$  Ext vanishes for fields

$$\rightsquigarrow H^n(X, F) \cong \text{Hom}_F(H_n(X, F), F)$$

For  $F = \mathbb{Z}/p\mathbb{Z}$  or  $\mathbb{Q}$ ,  $\text{Hom}_F = \text{Hom}$

# Examples of 2-cocycles

①  $X = D^2$

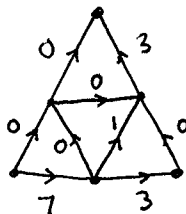


We know  $H^2(D^2, \mathbb{Z}) = 0$

so  $\varphi = \delta\psi$ .

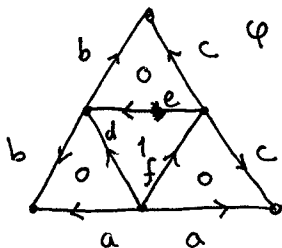
What is  $\psi$ ?

Solution:



No obstructions.

②  $X = S^2$



Want to show  $[\varphi] \neq 0$   
in  $H^2(S^2, \mathbb{Z})$

i.e. no antiderivative  $\psi$ .

Any  $\psi$  with  $\delta\psi = \varphi$  must satisfy:

writing  
a for  $\psi(a)$

$$b + d = a$$

$$e + c = a$$

$$b + f = c$$

$$e + f = d + 1$$

$$\left. \begin{array}{l} b + d = a \\ e + c = a \\ b + f = c \\ e + f = d + 1 \end{array} \right\} \Rightarrow (b + d) - (e + c) = 1$$

$$\Rightarrow a - a = 1.$$

③  $X = T^3, G = \mathbb{Z}/2\mathbb{Z}$ .

Realize  $T^3$  as  $\Delta$ -complex by subdividing cube into 6 tetrahedra, identifying opp faces of the cube. Let  $L$  = line segment in cube that is a loop in  $T^3$ , misses 1-skeleton. Declare  $\varphi(T) = 1$  if  $T \cap L \neq \emptyset$ . Show  $[\varphi] \neq 0$  in  $H^2(T^3, \mathbb{Z}/2\mathbb{Z})$ .

# COHOMOLOGY THEORY

Reduced groups, relative groups, long exact seq of pair, excision, Mayer-Vietoris, all work for cohomology.

## Induced Homomorphisms - Contravariance

Given  $f: X \rightarrow Y$ , get chain maps  $f_{\#}: C_n(X) \rightarrow C_n(Y)$

Dualize:  $f^{\#}: C^n(Y, G) \rightarrow C^n(X, G)$

$f_{\#}\partial = \partial f_{\#}$  dualizes to  $\delta f^{\#} = f^{\#}\delta$

$\leadsto f^*: H^n(Y, G) \rightarrow H^n(X, G)$

with:  $(fg)^* = g^*f^*$  &  $(id)^* = id$

Say  $X \mapsto H^n(X, G)$  is a contravariant functor.

## Homotopy Invariance

$f \simeq g: X \rightarrow Y \Rightarrow f^* = g^*: H^n(Y) \rightarrow H^n(X)$ .

Dualize the proof for homology

Recall there is a chain homotopy  $P$  s.t.  $g_{\#} - f_{\#} = \partial P + P\partial$

Dualize:  $g^{\#} - f^{\#} = P^*\delta + \delta P^*$

$\leadsto P^*$  a chain homotopy between  $f^{\#}$  &  $g^{\#}$

So all the work has been done.

# PRODUCT STRUCTURES

There are three natural products with homology & cohomology:

① Evaluation pairing:

$$H^k(X) \times H_k(X) \rightarrow \mathbb{Z}$$

Can use this to show cocycles, or cycles, are nontrivial!

② Cup product:

$$H^p(X) \times H^q(X) \rightarrow H^{p+q}(X)$$

$$(\varphi, \psi) \mapsto \varphi \cup \psi$$

$\leadsto H^*(X)$  is a graded ring.

③ Cap product:

$$H^p(X) \times H_n(X) \rightarrow H_{n-p}(X)$$

$$(\varphi, \alpha) \mapsto \varphi \cap \alpha$$

Big Goal:

Poincaré Duality Theorem.

Let  $M =$  compact, connected, oriented  $n$ -manifold. Then

$$H^p(M) \rightarrow H_{n-p}(M)$$

$$\varphi \mapsto \varphi \cap [M]$$

is an isomorphism.

We have already since examples of  $\overset{p-}{\text{cocycles}}$  in  $\overset{n-}{\text{manifolds}}$  of the form "intersect with this  $(n-p)$ -cycle". These are Poincaré duals.

Will see: under PD, cap product is intersection.

## CUP PRODUCT

Want to define a product on  $H_*(X)$ .

There is a cross product  $H_i(X) \times H_j(Y) \rightarrow H_{i+j}(X \times Y)$

$$(e_i, e_j) \mapsto e_i \times e_j$$

Taking  $X=Y$ :  $H_i(X) \times H_j(X) \rightarrow H_{i+j}(X \times X) \xrightarrow{?} H_{i+j}(X)$

Need a natural map  $X \times X \rightarrow X$ .

If  $X$  is a group, can multiply  $\leadsto$  Pontryagin product.

Otherwise only natural map is projection  $\leadsto$  stupid product.

For  $H^*$ , situation is better. Want

$$\cancel{H^i(X)} \times \cancel{H^j(X)} \mapsto \cancel{H^{i+j}(X)}$$

$$H^i(X) \times H^j(X) \rightarrow H^{i+j}(X \times X) \xrightarrow{?} H^{i+j}(X)$$

This requires a natural map  $X \rightarrow X \times X \leadsto$  diagonal!

This is the cup product.

We can also define cup product from scratch:

For  $\varphi \in C^k(X, R)$ ,  $\psi \in C^l(X, R)$   $R = \text{ring}$ .

the cup product  $\varphi \cup \psi \in C^{k+l}(X, R)$  is

given by:  $(\varphi \cup \psi)(\sigma) = \varphi(\sigma|_{[v_0, \dots, v_k]}) \psi(\sigma|_{[v_k, \dots, v_{k+l}]})$

for a simplex  $\sigma: \Delta^{k+l} \rightarrow X$ .



To show cup product induces a product on cohomology.

Lemma  $\delta(\varphi \cup \psi) = \delta\varphi \cup \psi + (-1)^k \varphi \cup \delta\psi$

Pf Say  $\varphi \in C^k(X, \mathbb{R}), \psi \in C^l(X, \mathbb{R}), \tau: \Delta^{k+l+1} \rightarrow X$ .

$$(\delta\varphi \cup \psi)(\tau) = \sum_{i=0}^{k+l} (-1)^i \varphi(\tau|_{[v_0, \dots, \hat{v}_i, \dots, v_{k+l}]}) \psi(\tau|_{[v_{k+1}, \dots, v_{k+l+1}]})$$

$$(-1)^k (\varphi \cup \delta\psi)(\tau) = \sum_{i=k}^{k+l+1} (-1)^i \varphi(\tau|_{[v_0, \dots, v_k]}) \psi(\tau|_{[v_k, \dots, \hat{v}_i, \dots, v_{k+l+1}]})$$

Last term of first sum cancels first sum of second.

Rest is  $\delta(\varphi \cup \psi)(\tau) = (\varphi \cup \psi)(\partial\tau)$ . ▣

Since  $\delta(\varphi \cup \psi) = \delta\varphi \cup \psi \pm \varphi \cup \delta\psi$

$\rightarrow$  product of cocycles is a cocycle.

Also, the product of a cocycle and a coboundary is a coboundary:

$$\begin{aligned} \psi = \delta\theta, \delta\varphi = 0 &\implies \delta(\varphi \cup \theta) = \delta\varphi \cup \theta \pm \varphi \cup \delta\theta \\ &= \pm \varphi \cup \psi. \end{aligned}$$

We thus have an induced cup product

$$H^k(X, \mathbb{R}) \times H^l(X, \mathbb{R}) \xrightarrow{\cup} H^{k+l}(X, \mathbb{R})$$

It is associative and distributive, since it is on cochain level.

If  $\mathbb{R}$  has 1 then  $H^*(X, \mathbb{R})$  has identity, namely:

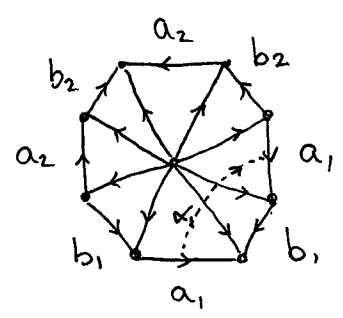
$1 \in H^0(X, \mathbb{R})$  taking value  $1 \in \mathbb{R}$  on each 0-simplex.

Note: The canonical isomorphism between simplicial/singular  $H^*$  preserves  $\cup$ , so can switch back & forth.

# EXAMPLE: SURFACES

$X = M_g$ . Will show  $\cup : H^1(M_g, \mathbb{Z}) \times H^1(M_g, \mathbb{Z}) \rightarrow H^2(M_g, \mathbb{Z}) = \mathbb{Z}$  is algebraic intersection.

$a_i, b_i$  form a basis for  $H_1(M_g, \mathbb{Z})$ .  
 UCT  $\Rightarrow H^1(M_g) \cong \text{Hom}(H_1(M_g), \mathbb{Z})$   
 Basis for  $H_1 \rightsquigarrow$  dual basis for  $H^1$



$$a_i \rightsquigarrow \varphi_i \quad a_1 \mapsto 1 \quad \text{others} \mapsto 0.$$

Can represent  $\varphi_i, \psi_i$  by simplicial cocycle  $\rightsquigarrow$  dotted arc.  $\alpha_i, \beta_i$ .  
 $\alpha_i$  evaluates to 1 on an edge like  $\begin{matrix} \nearrow \alpha_i \\ \rightarrow \\ \searrow \end{matrix}$   
 -1 on an edge like  $\begin{matrix} \nearrow \\ \rightarrow \alpha_i \\ \searrow \end{matrix}$

Compute  $\varphi_1 \cup \psi_1$  from definition.

Takes value 0 on all cells but SE, where it takes value 1.

We know  $H_2(M_g) = \mathbb{Z} = \langle [M_g] \rangle$  ← Fundamental class

UCT  $\Rightarrow H^2(M_g, \mathbb{Z}) \cong \text{Hom}(H_2(M_g), \mathbb{Z})$ .

So which elt of  $H^2(M_g, \mathbb{Z})$  is  $\varphi_1 \cup \psi_1$ ?

We check  $(\varphi_1 \cup \psi_1)([M_g]) = 1$

- This tells us both that (i)  $[M_g]$  generates  $H_2(M_g)$   
 (ii)  $\varphi_1 \cup \psi_1$  is dual to  $[M_g]$ , hence a gen. for  $H^2(M_g, \mathbb{Z})$ .

In general, identifying  $H^2(M_g, \mathbb{Z})$  with  $\mathbb{Z}$ :

$$\cup = \hat{\quad} \quad \leftarrow \text{algebraic intersection.}$$

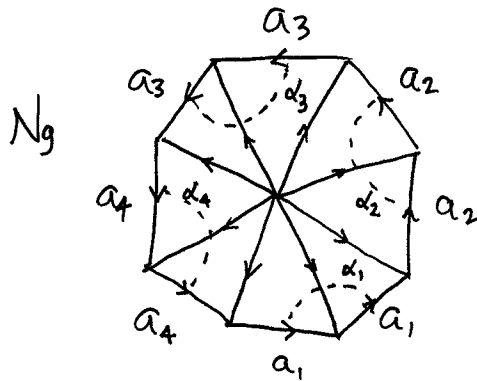
Suffices to check on generators.

## EXAMPLE: NONORIENTABLE SURFACES

Use  $\mathbb{Z}/2\mathbb{Z}$  coefficients since

$$H_2(N_g) = 0$$

$$H_2(N_g; \mathbb{Z}/2\mathbb{Z}) = \mathbb{Z}/2\mathbb{Z}$$



Claim:  $H^2(N_g, \mathbb{Z}/2\mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z}$ .

Pf: Any single  $\Delta$  gives a cocycle.  $\varphi$

Any two adjacent triangles are cohomologous

$\rightarrow$  any cocycle is  $k\varphi$ .

Can also use UCT and  $\text{Ext}(\mathbb{Z}/n\mathbb{Z}, G) \cong G/nG$ .

Can check:  $\alpha_i \cup \alpha_i = 1$

$$\alpha_i \cup \alpha_j = 0$$

This is again intersection number: if you push off  $\alpha_i$  it intersects itself in one point.

The  $g=1$  case is  $\mathbb{R}P^2$ .

$$\begin{aligned} \rightarrow H^*(\mathbb{R}P^2) &= \{1, \alpha, \alpha \cup \alpha\} \\ &= \mathbb{Z}/2\mathbb{Z}[\alpha] / \langle \alpha^2 \rangle. \end{aligned}$$

## NATURALITY

Prop: For  $f: X \rightarrow Y$ , the induced  $f^*: H^n(Y, \mathbb{R}) \rightarrow H^n(X, \mathbb{R})$  satisfies:

$$f^*(\alpha \cup \beta) = f^*(\alpha) \cup f^*(\beta)$$

Pf: Already true on cochain level:  $f^\#(\varphi) \cup f^\#(\psi) = f^\#(\varphi \cup \psi)$ .

$$\begin{aligned} (f^\#(\varphi) \cup f^\#(\psi))(\sigma) &= f^\# \varphi(\sigma|_{[v_0, \dots, v_k]}) \cup f^\# \psi(\sigma|_{[v_k, \dots, v_{k+l}]})) \\ &= \varphi(f\sigma|_{[v_0, \dots, v_k]}) \cup \psi(f\sigma|_{[v_k, \dots, v_{k+l}]}) \\ &= (\varphi \cup \psi)(f\sigma) \\ &= f^\#(\varphi \cup \psi)(\sigma). \end{aligned}$$

□

## RELATIVE VERSION

$C^k(X, A; \mathbb{R})$  = cochains that vanish on  $A$   
(more natural than  $C_k(X, A)$  since it is a subgroup, not a quotient).

Have cup products:

$$\begin{array}{l} H^k(X; \mathbb{R}) \times H^l(X, A; \mathbb{R}) \\ H^k(X, A; \mathbb{R}) \times H^l(X; \mathbb{R}) \\ H^k(X, A; \mathbb{R}) \times H^l(X, A; \mathbb{R}) \end{array} \begin{array}{l} \searrow \\ \rightarrow \\ \nearrow \end{array} H^{k+l}(X, A; \mathbb{R})$$

And:  $H^k(X, A; \mathbb{R}) \times H^l(X, B; \mathbb{R}) \rightarrow H^{k+l}(X, A \cup B; \mathbb{R})$ .

# THE COHOMOLOGY RING

Define  $H^*(X, R) = \bigoplus H^k(X, R)$

Elements are finite sums  $\sum \alpha_i$  with  $\alpha_i \in H^i(X, R)$ .

The product is  $\sum \alpha_i \sum \beta_j = \sum \alpha_i \beta_j$

(writing  $xy$  for  $x \cup y$ ).

$\leadsto H^*(X, R)$  is a ring. It has 1 if  $R$  has 1.

We saw:  $H^*(\mathbb{R}P^2, \mathbb{Z}/2\mathbb{Z}) = \{a_0 + a_1 \alpha + a_2 \alpha^2 : a_i \in \mathbb{Z}/2\mathbb{Z}\}$   
 $= \mathbb{Z}/2\mathbb{Z}[\alpha] / (\alpha^3)$  nice!

One can also show:  $H^*(\mathbb{R}P^n, \mathbb{Z}/2\mathbb{Z}) = \mathbb{Z}/2\mathbb{Z}[\alpha] / (\alpha^{n+1})$ .  $|\alpha| = 1$

$H^*(\mathbb{R}P^\infty, \mathbb{Z}/2\mathbb{Z}) = \mathbb{Z}/2\mathbb{Z}[\alpha]$

and  $H^*(\mathbb{C}P^n, \mathbb{Z}) = \mathbb{Z}[\alpha] / (\alpha^{n+1})$

$|\alpha| = 2$ .

$H^*(\mathbb{C}P^\infty, \mathbb{Z}) = \mathbb{Z}[\alpha]$ .

$H^*$  is a graded ring, a ring of form  $\bigoplus A_k$  with

$A_k =$  additive subgroup,  $A_k \times A_l \subseteq A_{k+l}$ .

Write  $|\alpha|$  for the degree (i.e. which  $A_k$  it lives in).

There are spaces with same  $H_k$  &  $H^k$  groups, but

different  $H^*$ :  $S^1 \vee S^1 \vee S^2$ ,  $T^2$

There are distinct spaces with identical  $H^*$ :

$H^*(S^3 \vee S^5) \cong H^*(S(\mathbb{C}P^2)) \cong \mathbb{Z}_{(2)} \oplus \mathbb{Z}_{(3)} \oplus \mathbb{Z}_{(5)}$  ← degree.

Prop:  $\alpha \cup \beta = (-1)^{k+l} (\beta \cup \alpha)$  if  $R$  commutative.

## KÜNNETH FORMULA

Next goal:  $H^*(T^n, \mathbb{Z}) =$  free abelian gp with basis

$$\alpha_{i_1} \cup \dots \cup \alpha_{i_k} \quad i_1 < \dots < i_k$$

where  $\alpha_i \in H^1(T^n, \mathbb{Z})$  is  $p_i^*(\alpha)$  for  $\alpha$  a gen of  $H^1(S^1, \mathbb{Z})$ .  
and  $p_i$  is projection to  $i^{\text{th}}$  factor.

Cross Product (aka external cup product)

$$H^*(X, \mathbb{Z}) \times H^*(Y, \mathbb{Z}) \longrightarrow H^*(X \times Y, \mathbb{Z})$$

$$(a, b) \longmapsto p_1^*(a) \cup p_2^*(b)$$

bilinear.

## Tensor Products

Bilinear maps are not linear/homomorphisms

e.g.  $\mathbb{R}^2 \times \mathbb{R}^2 \rightarrow \mathbb{R}$

$$(e_1, e_1) \mapsto 1$$

$$\Rightarrow (-e_1, -e_1) \mapsto 1$$

$\rightarrow$  replace  $\times$  with  $\otimes$

The tensor product of abelian groups  $A, B$  is the abelian group  $A \otimes B$  with generators  $a \otimes b$   $a \in A, b \in B$

and relations  $(a+a') \otimes b = a \otimes b + a' \otimes b$

$$a \otimes (b+b') = a \otimes b + a \otimes b'$$

Identity:  $0 \otimes 0 = 0 \otimes b = a \otimes 0$

Inverses:  $-(a \otimes b) = -a \otimes b = a \otimes -b$ .

## Universal Property

$$\left\{ \begin{array}{l} \text{Bilinear maps} \\ \text{from } A \times B \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Homomorphisms} \\ \text{from } A \otimes B \end{array} \right\}$$

## Basic Properties

- (i)  $A \otimes B \cong B \otimes A$
- (ii)  $(\bigoplus A_i) \otimes B \cong \bigoplus (A_i \otimes B)$
- (iii)  $(A \otimes B) \otimes C \cong A \otimes (B \otimes C)$
- (iv)  $\mathbb{Z} \otimes A \cong A$
- (v)  $\mathbb{Z}/n\mathbb{Z} \otimes A \cong A/nA$
- (vi)  $f: A \rightarrow A', g: B \rightarrow B' \rightsquigarrow f \otimes g: A \otimes B \rightarrow A' \otimes B'$
- (vii)  $\varphi: A \times B \rightarrow C$  bilinear  $\rightsquigarrow f: A \otimes B \rightarrow C$

## Back to Cross Product

Property (vii)  $\rightsquigarrow$  homomorphism

$$H^*(X, \mathbb{Z}) \otimes H^*(Y, \mathbb{Z}) \rightarrow H^*(X \times Y, \mathbb{Z})$$

$$a \otimes b \longmapsto a \times b$$

The left hand side has multiplication

$$(a \otimes b)(c \otimes d) = (-1)^{|b||c|} ac \otimes bd$$

Check: The above map is a ring homomorphism.

THEOREM. (Künneth)  $H^*(X, \mathbb{Z}) \otimes H^*(Y, \mathbb{Z}) \xrightarrow{\text{cross}} H^*(X \times Y, \mathbb{Z})$  ring isomorphism  
 if  $H^*(X, \mathbb{Z})$  or  $H^*(Y, \mathbb{Z})$  is fin. gen., free.

## Exterior Algebras

$\Lambda[\alpha_1, \alpha_2, \dots]$  = graded tensor product of  $\Delta$  the  $\Lambda[\alpha_i]$ ,  $|\alpha_i|$  odd  
 As an abelian group, gen by  $\alpha_{i_1} \cdots \alpha_{i_k}$   $i_1 < \dots < i_k$   
 Multiplication given by  $\alpha_i \alpha_j = -\alpha_j \alpha_i$   $i \neq j$   
 $\Rightarrow \alpha_i^2 = 0$ .

Cor:  $H^*(T^n, \mathbb{Z}) \cong \Lambda[\alpha_1, \dots, \alpha_n]$   $|\alpha_i| = 1$ .

$\leadsto$  elts of  $H^*$  are sums of: intersect with <sup>oriented</sup> coordinate tori

More generally, if  $X$  is product of odd-dim spheres  
 $H^*(X) \cong \Lambda[\alpha_1, \dots, \alpha_n]$  but  $|\alpha_i|$  varies.

For even-dim spheres get  $\mathbb{Z}[\alpha]/(\alpha^2)$  factors.

Idea of Proof: Induct on dimension.



# POINCARÉ DUALITY

For  $M$  a compact, orientable  $n$ -manifold:

$$H_k(M) \cong H^{n-k}(M)$$

or, modulo torsion:

$$H_k(M) \cong H_{n-k}(M)$$

- Examples.
- ①  $H_*(S^n) \quad \mathbb{Z}, 0, \dots, 0, \mathbb{Z}$
  - ②  $H_*(M_g) \quad \mathbb{Z}, \mathbb{Z}^{2g}, \mathbb{Z}$
  - ③  $H_k(T^n) = \mathbb{Z}^{\binom{n}{k}} = \mathbb{Z}^{\binom{n}{n-k}} = H_{n-k}(T^n)$

For  $M$  a  $\Delta$ -complex:

compact = finitely many simplices

orientable =  $\exists$  choice of  $\varepsilon_i \in \{\pm 1\}$  so  $\sum_{i=1}^N \varepsilon_i \sigma_i$  is a cycle where  $\sigma_1, \dots, \sigma_N$  are <sup>the</sup>  $n$ -simplices of  $M$ . The class of such a cycle is called a fundamental class, or orientation. It is written  $[M]$ .

There are versions of PD for nonorientable  $n$ -manifolds (use  $\mathbb{Z}/2\mathbb{Z}$  coefficients) and manifolds with boundary (Lefschetz duality).

One other duality: Alexander duality.

If  $K$  is a compact, locally contractible, nonempty proper subspace of  $S^n$ , then  $\tilde{H}_i(S^n - K) \cong \tilde{H}^{n-i-1}(K)$ .

The PD isomorphism will be made explicit:

$$\varphi \mapsto \varphi \cap [M].$$

# THE IDEA OF POINCARÉ DUALITY: DUAL CELL STRUCTURES

For manifolds:

cell structures  $\leftrightarrow$  dual cell structures

$k$ -cells  $\leftrightarrow$   $(n-k)$ -cells

$\rightsquigarrow$  face relations reversed.

- Examples.
- Platonic solids
  - 4g-gon structure on  $M_g$  is self-dual.
  - Structure on  $T^n$  with one  $n$ -cube is self-dual.

Duality with  $\mathbb{Z}/2\mathbb{Z}$  coefficients.

Can ignore signs  $\rightsquigarrow$  There is a natural pairing between a cell structure  $C$  and its dual  $C^*$ .

$$C_i \leftrightarrow C_{n-i}^*$$

Under this identification  $\partial: C_i \rightarrow C_{i-1}$

$\sigma \mapsto$  sum of faces of  $\sigma$

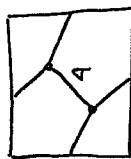
becomes  $\delta: C_{n-i}^* \rightarrow C_{n-i+1}^*$

$\sigma^* \mapsto$  sum of dual cells of which  $\sigma^*$  is a face.

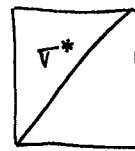
$$\rightsquigarrow H_i(C, \mathbb{Z}/2\mathbb{Z}) \cong H^{n-i}(C^*, \mathbb{Z}/2\mathbb{Z})$$

$$\underset{\text{"}}{H_i(M, \mathbb{Z}/2\mathbb{Z})} \quad \underset{\text{"}}{H^{n-i}(M, \mathbb{Z}/2\mathbb{Z})}$$

example.  $T^2$



$C$



$C^*$

## CAP PRODUCT

$$\begin{aligned} \cap : C_k(X) \times C^l(X, \mathbb{Z}) &\longrightarrow C_{k-l}(X) & k \geq l \\ (\sigma, \varphi) &\longmapsto \varphi(\sigma|_{[v_0, \dots, v_k]}) \cap \sigma|_{[v_l, \dots, v_k]} \end{aligned}$$

As usual, need to check this induces a cap product on co/homology. The required formula is:

$$d(\sigma \cap \varphi) = (-1)^l (d\sigma \cap \varphi - \sigma \cap \delta\varphi)$$

$\rightsquigarrow$  cycle  $\cap$  cocycle = cycle  
 cycle  $\cap$  coboundary = boundary  
 boundary  $\cap$  cocycle = boundary.

$\rightsquigarrow$  induced cap product  
 $H_k(X) \times H^l(X, \mathbb{Z}) \xrightarrow{\cap} H_{k-l}(X)$

- Linear in each variable
- Natural:  $f: X \rightarrow Y \rightsquigarrow f_*(\alpha) \cap \varphi = f_*(\alpha \cap f^*(\varphi))$ .

Theorem (Poincaré Duality).  $M =$  compact  $n$ -manifold with orientation  $[M]$ . Then

$$\begin{aligned} H^k(M) &\longrightarrow H_{n-k}(M) \\ \varphi &\longmapsto [M] \cap \varphi \end{aligned}$$

is an isomorphism.

exercise. check for  $S^2$ .

# Duality with $\mathbb{Z}$ coefficients

Need to deal with orientations.

Let  $M = \Delta$ -complex

$[M]$  = orientation

For  $\tau = n$ -simplex,  $\sigma = k$ -dim face, define

$\sigma_\tau^*$  = convex hull in  $\tau$  of barycenters of simplices of  $\tau$  containing  $\sigma$

This is  $(n-k)$ -dim subcomplex of barycentric subdivision  $\beta(\tau)$ .

For  $\varphi = k$ -cochain, define

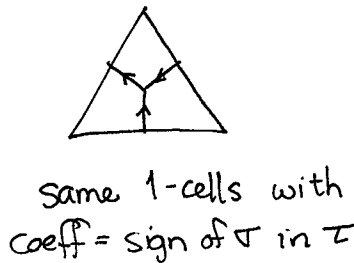
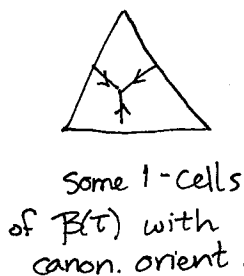
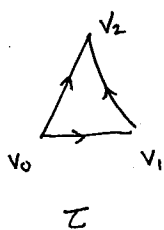
$$D(\varphi) = \sum_{\substack{n\text{-simp } \tau \\ k\text{-simp } \sigma \subseteq \tau}} \left( \text{sign of } \tau \text{ in } [M] \right) \left( \text{sign of } \sigma \text{ in } \tau \right) \varphi(\sigma) \sigma_\tau^*$$

~~Simpler way of saying this. orient each simplex of  $\sigma_\tau^*$  by embedding in max simplex of  $\beta(\tau)$  containing  $\sigma$ . restrict that orientation. Remove this sign term.~~

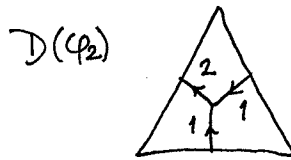
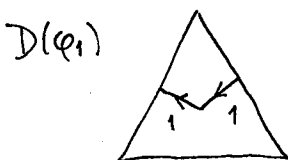
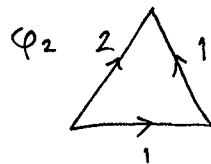
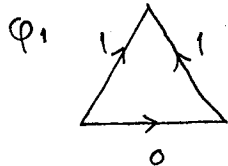
note: simplices of  $\sigma_\tau^*$  have orientation induced from canonical orientation of  $\beta(\tau)$ , and sign of  $\sigma$  in  $\tau$  is whether or not this agrees with the orientation on  $\sigma$  induced by the max. simplex of  $\beta(\tau)$  containing  $\sigma$ , whose orientation is given by that of  $\tau$ .

→ defined so  $\sigma$  meets  $\sigma_\tau^*$  positively.

Examples of sign of  $\sigma$  in  $\tau$ :



Examples of  $D(\varphi)$ :



# THE IDEA OF POINCARÉ DUALITY II

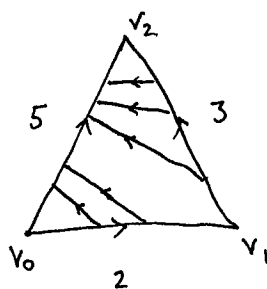
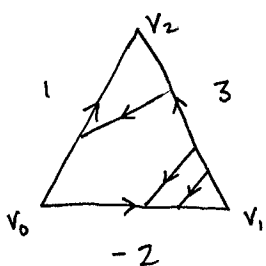
Given  $\varphi$ , want to first relate  $D(\varphi)$  and  $[M] \cap \varphi$ ,  
 then show  $D$  is an isomorphism  $H^k \rightarrow H_{n-k}$ .

Restrict to  $n=2, k=1$ .

Define an intermediary  $L(\varphi) =$  level curves for  $\varphi$

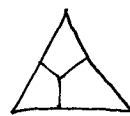
Claim 1.  $L(\varphi)$  is equal to  $D(\varphi), [M] \cap \varphi$

Two examples of  $\varphi, L(\varphi)$ :



Homotopy  $L(\varphi) \rightsquigarrow [M] \cap \varphi$ : Push endpoints of each edge of  $L(\varphi)$  along boundary arrows.

Homotopy  $L(\varphi) \rightsquigarrow D(\varphi)$ : Push onto



Claim 2.  $L: H^1 \rightarrow H_1$  is an isomorphism.

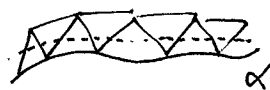
Step 1.  $\varphi$  a coboundary  $\Leftrightarrow L(\varphi)$  boundary  
 $\rightsquigarrow L$  is an injective, well-defined map.

Step 2.  $L$  is surjective.

Given cycle  $\alpha$ , tile one side by triangles

Push  $\alpha$  up, in general position

$\rightsquigarrow$  the cocycle is intersection with the pushoff.



# THE PROOF OF POINCARÉ DUALITY

## Cohomology with compact support

Idea: Take cohomology only using cochains  $\varphi$  where, for some compact  $K$ ,  $\varphi$  kills all chains in  $X \setminus K$ .

More precisely:  $H_c^k(M, \mathbb{R}) = \lim_{\substack{\longrightarrow \\ K}} H^k(X, X \setminus K; \mathbb{R})$

In practice, take the direct limit over some exhaustion.

Example.  $H_c^p(\mathbb{R}^n) \cong \mathbb{Z}$

Use exhaustion of  $\mathbb{R}^n$  by balls  $B_r$ .

LES for cohomology of pairs:

$$0 \rightarrow H^n(\mathbb{R}^n - B(r)) \xrightarrow{\cong} H^n(\mathbb{R}^n, B(r)) \rightarrow 0$$

The inclusion  $(\mathbb{R}^n, \mathbb{R}^n \setminus B(r+1)) \hookrightarrow (\mathbb{R}^n, \mathbb{R}^n \setminus B(r))$

clearly induces an  $\cong$  on  $H^n$ .

## Relative cap product

Usual cap product generalizes to

$$H^p(X, A) \times H^q(X, A) \rightarrow H_{q-p}(X)$$

defined in same way on cochain level.

## PD for Noncompact Manifolds

Define  $D: H_c^p(M, \mathbb{R}) \rightarrow H_{n-p}(M, \mathbb{R})$  as the direct limit  
of maps  $D_K: H_c^p(M, M \setminus K; \mathbb{R}) \rightarrow H_{n-p}(M, \mathbb{R})$   
 $c \mapsto c \cap [M_K]$   
where  $[M_K]$  is fundamental class relative to  $K$ .

Thm:  $M =$  orientable  $n$ -manifold

$D: H_c^p(M, \mathbb{Z}) \rightarrow H_{n-p}(M)$   
is an isomorphism.

## Steps in the Proof

1. The theorem holds for  $M = \mathbb{R}^n$
2. If the theorem holds for  $U, V, U \cap V$ , it holds for  $U \cup V$ .
3. If the theorem holds for  $U_1, U_2, \dots$ , it holds for  $\cup U_i$ .
4. The theorem holds for open subsets of  $\mathbb{R}^n$ .
5. The theorem holds for any  $M$ .

Steps 1 & 2 are the work. Steps 3-5 are general nonsense.

Step 1. PD holds for  $\mathbb{R}^n$ .

$$\text{We saw } H_c^*(\mathbb{R}^n) = \mathbb{Z}(m) = H_{n-*}(\mathbb{R}^n)$$

For any  $K = \text{compact ball}$ , the cap prod. of a generator for  $H^*(\mathbb{R}^n, \mathbb{R}^n \setminus K)$  with  $[\mathbb{R}_K^n]$  is  $\pm$  the generator for  $H_0(\mathbb{R}^n)$  since  $\cap$  in this case is evaluation. So the above  $\cong$  is indeed induced by  $\cap$ .

Step 2. PD holds for  $U, V, UV \Rightarrow$  PD holds for  $UVV$ .

A Mayer-Vietoris argument.

Step 3. PD holds for  $U_1 \subseteq U_2 \subseteq \dots \Rightarrow$  PD holds for  $\cup U_i$

By basic properties of direct limits:

$$H_c^p(\cup U_i) = \varinjlim_i \varinjlim_{K \subset U_i} H^p(U_i, U_i \setminus K) = \varinjlim_i H_c^p(U_i)$$

$$\text{Also: } H_{n-p}(\cup U_i) = \varinjlim_i H_{n-p}(U_i)$$

Step 3 follows by naturality of direct limits.



Step 4. PD holds for open subsets of  $\mathbb{R}^n$ .

Write  $U$  as  $U_1 \subseteq U_2 \subseteq \dots$ , where  $U_i$  is an open ball, and  $U_{i+1}$  obtained from  $U_i$  by adding an open ball.  $B_{i+1}$ .

Note  $B_{i+1} \cap U_i$  is convex, open, has compact closure, so it is homeomorphic to an open ball.

Induction plus Steps 1, 2, 3.

Step 5. PD holds for any  $M$ .

Steps 1 & 4 + Zorn's Lemma  $\Rightarrow \exists$  nonempty maximal open set  $V$  on which PD holds. If  $V \neq M$ , can take a coordinate nbhd  $U$  disjoint from  $V$ .

Steps 1 & 2  $\Rightarrow$  PD holds for  $U \cup V$ , contradiction.

# APPLICATIONS OF POINCARÉ DUALITY

Euler characteristic.

For a manifold  $M$ , define

$$\chi(M) = \sum_{i=0}^{\dim M} (-1)^i \operatorname{rk} H_i(M)$$

Prop: If  $M$  closed and  $\dim(M)$  odd, then  $\chi(M) = 0$ .

Prop: If  $\dim(M)$  even and  $\chi(M)$  odd (e.g.  $\mathbb{R}P^2$ ) then  $M$  is not the boundary of any manifold.