

# APPLICATIONS OF HOMOLOGY

① Jordan Curve Theorem, etc.

homeo onto image. in this case,  
any injective continuous map.

Theorem. Let  $h: S^1 \rightarrow \mathbb{R}^2$  embedding.

Then  $\mathbb{R}^2 - h(S^1)$  has exactly 2 connected components.

Easy for nice curves (e.g. polygonal). Must consider things like Osgood curves, which have positive (exterior) area (these are obtained by perturbing space filling curves).

Prop: (a) If  $h: D^k \rightarrow S^n$  an embedding, then

$$\tilde{H}_i(S^n - h(D^k)) = 0 \quad \forall i$$

(b) If  $h: S^k \rightarrow S^n$  an embedding,  $k < n$ , then

$$\tilde{H}_i(S^n - h(S^k)) = \begin{cases} \mathbb{Z} & i = n - k - 1 \\ 0 & \text{otherwise} \end{cases}$$

(b) implies any  $S^{n-1}$  in  $S^n$  divides  $S^n$  into two components, each with homology of a point.

For  $n=2$ , Jordan Curve Thm.

For  $n=3$ , it is possible for one component to be not simply connected. (Alexander horned sphere.)

(b) also implies  $H_1(S^3 - \text{knot}) \cong \mathbb{Z}$ .

Proof of Prop: (a) Induct on  $k$

$$k=0 \rightsquigarrow S^n - h(D^k) \cong \mathbb{R}^n \checkmark$$

Replace  $D^k$  with  $I^k$ .

$$\text{Let } A = S^n - h(I^{k-1} \times [0, 1/2])$$

$$B = S^n - h(I^{k-1} \times [1/2, 1])$$

$$\text{Induction} \Rightarrow \tilde{H}_i(A \cup B) = \tilde{H}_i(S^n - h(I^{k-1} \times 1/2)) = 0.$$

Mayer-Vietoris  $\Rightarrow$

$$\Phi: \tilde{H}_i(S^n - h(D^k)) \rightarrow \tilde{H}_i(A) \oplus \tilde{H}_i(B) \text{ isomorphism } \forall i.$$

So if  $[\alpha] \neq 0$  in  $\tilde{H}_i(S^n - h(D^k))$  then  
 $\alpha \neq 0$  in  $H_i(S^n - \text{half of } h(I^k))$

Say these halves converge to  $I^{k-1} \times \{p\}$ .

By above,  $\alpha$  a boundary in  $\tilde{H}_i(S^n - h(I^{k-1} \times \{p\}))$

Say  $\alpha = \partial\beta$ .

$\beta$  compact  $\Rightarrow [\alpha] = 0$  at some finite stage.

$\rightsquigarrow$  contradiction. ▣

(b) Induct on  $k$ .

$$k=0 \rightsquigarrow S^n - h(S^0) \cong S^{n-1} \times \mathbb{R} \checkmark$$

$$\text{Let } S^k = D_+^k \cup_{S^{k-1}} D_-^k$$

$$A = S^n - h(D_+^k), \quad B = S^n - h(D_-^k)$$

Mayer-Vietoris plus (a)  $\Rightarrow$

$$\tilde{H}_{i+1}(S^n - h(S^{k-1})) \cong \tilde{H}_i(S^n - h(S^k)) \quad \square$$

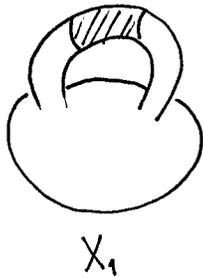
Exercise. Examine the case  $k=n$

$\rightsquigarrow S^n$  cannot embed in  $\mathbb{R}^n$

$\mathbb{R}^m$  cannot embed in  $\mathbb{R}^n \quad m > n.$

Aside: Alexander Horned Sphere

The Alexander Horned Ball is the intersection  $\bigcap_{i=1}^{\infty} X_i$



$$\pi_1(\text{AHB}^c) = \langle \alpha_0, \alpha_1, \dots \mid \begin{array}{l} [\alpha_1, \alpha_2] = \alpha_0 \\ [\alpha_3, \alpha_4] = \alpha_1 \quad [\alpha_5, \alpha_6] = \alpha_2 \\ \dots \end{array} \rangle$$

This group is nontrivial — it is an increasing union of free groups. But since each  $\alpha_i$  is a commutator, the abelianization is trivial.

## ② Invariance of Domain

Theorem  $U$  open in  $\mathbb{R}^n$ ,  $h: U \rightarrow \mathbb{R}^n$  embedding  
 $\Rightarrow h(U)$  open in  $\mathbb{R}^n$ .

Proof Think of  $\mathbb{R}^n$  as  $S^n$ -pt.

Equivalent to show  $h(U)$  open in  $S^n$ .

Let  $x \in U$ ,  $D^n =$  disk about  $x$  in  $U$ .

Suffices to show  $h(\text{int } D^n)$  open in  $S^n$

Prop (b)  $\Rightarrow S^n - h(D^n)$  has 2 path components.

The components are  $h(\text{int } D^n)$ ,  $S^n - h(D^n)$ . Indeed:

• Since  $h(\text{int } D^n)$  path conn, these sets are disjoint

•  $S^n - h(D^n)$  path conn by Prop (b)

Since  $S^n - h(\partial D^n)$  open in  $S^n$  ( $h(\partial D^n)$  compact in Hausdorff),  
its path components = connected components (true for loc. comp.)

An open set with finitely many comp. must have  
each comp. open

$\Rightarrow h(\text{int } D^n)$  open in  $S^n - h(\partial D^n)$

$\Rightarrow$  open in  $S^n$  ▣

Cor:  $M =$  compact  $n$ -manifold,  $N =$  connected  $n$ -manifold

Then any embedding  $M \xrightarrow{h} N$  is surjective, hence a homeo.

Proof:  $h(M)$  closed in  $N$  (compact in Hausdorff)

Since  $N$  conn, suffices to show  $h(M)$  open in  $N$ .

Let  $x \in M$ . Choose neighborhood  $V$  of  $h(x)$  homeo to  $\mathbb{R}^n$ .

Choose nbhd  $U$  of  $x$  in  $h^{-1}(V)$  homeo to  $\mathbb{R}^n$

$h|_U$  an embedding into  $V$ . Thm  $\Rightarrow h(U)$  open in  $V$ ,

hence open in  $N$ . ▣

### ③ Division Algebras

An algebra over  $\mathbb{R}$  is  $\mathbb{R}^n$  with bilinear multiplication

$$\mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}^n$$

$$(a, b) \mapsto ab$$

So:  $a(bt) = ab + at$ ,  $(a+b)c = ac + bc$ ,  $\alpha(ab) = (\alpha a)b = a(\alpha b)$

It is a division algebra if  $ax = b$ ,  $xa = b$  always

solvable for  $a \neq 0$ . ("no zero divisors")

Four classical examples:  $\mathbb{R}$ ,  $\mathbb{C}$ , Quaternions, Octonions

Theorem.  $\mathbb{R}$  &  $\mathbb{C}$  are the only finite dimensional division algebras over  $\mathbb{R}$  that are commutative and have id.

Proof. We'll show: a fin. dim. comm. div alg. has  $\dim \leq 2$ .

Suppose  $\mathbb{R}^n$  has a comm. div. alg. structure.

Define  $f: S^{n-1} \rightarrow S^{n-1}$  by  $f(x) = x^2/|x|^2$

$\rightsquigarrow$  induced map  $\bar{f}: \mathbb{R}P^{n-1} \rightarrow S^{n-1}$

Claim:  $\bar{f}$  injective

$$\text{Pf: } f(x) = f(y) \Rightarrow x^2 = \alpha^2 y^2 \Rightarrow x^2 - \alpha^2 y^2 = 0$$

$$\Rightarrow (x + \alpha y)(x - \alpha y) = 0 \quad (\text{commutativity})$$

$$\text{No zero div} \Rightarrow x = \pm \alpha y \Rightarrow x = \pm y.$$

$\bar{f}$  injective map of compact Hausdorff  $\Rightarrow \mathbb{R}$  embedding

Cor  $\Rightarrow f$  surjective if  $n > 1$ .

$$\Rightarrow \mathbb{R}P^{n-1} \cong S^{n-1} \Rightarrow n = 2 \quad (\text{compare } \pi_1)$$

A little more algebra to get full theorem. ▣

## DEGREE

$$f: S^n \rightarrow S^n \rightsquigarrow f_*: H_n(S^n) \rightarrow H_n(S^n)$$
$$\alpha \mapsto d\alpha$$

$d = \text{degree of } f.$

Facts (i)  $\deg \text{id} = 1$

(ii)  $\deg f = 0$  if  $f$  not surjective

(iii)  $\deg f = \deg g \iff f \simeq g \implies \text{due to Hopf.}$

(iv)  $\deg fg = \deg f \deg g$

(v)  $\deg f = -1$   $f = \text{reflection along equator}$

(vi)  $\deg(\text{antipodal}) = (-1)^n$

## ④ Hairy Ball Theorem

Theorem.  $S^n$  has a continuous field of nonzero tangent vectors iff  $n$  is odd.

Proof.  $\Rightarrow$  Let  $v(x) = \text{vector field on } S^n$ . Translate  $v(x)$  to origin

$$\rightsquigarrow v(x) \perp x \text{ in } \mathbb{R}^{n+1}$$

$v(x) \neq 0 \forall x \rightsquigarrow \text{can replace } v(x) \text{ with } v(x)/|v(x)|$

$\Rightarrow (\cos t)x + (\sin t)v(x) = \text{unit } S^1 \text{ in } x, v(x) \text{ plane}$

$f_t(x) = (\cos t)x + (\sin t)v(x)$  a homotopy from  $\text{id}$  ( $t=0$ )

to antipodal map ( $t=\pi$ )

(iii)  $\Rightarrow \deg \text{id} = \deg \text{antip.}$

(i), (vi)  $\Rightarrow n$  odd.

$\Leftarrow$  For  $n=2k-1$  set  $v(x_1, \dots, x_{2k}) = (-x_2, x_1, \dots, -x_{2k}, x_{2k-1})$ .  $\square$

One more fact about degree:

(vi) If  $f$  has no fixed points, then  $\deg f = (-1)^{n+1}$

proof: find homotopy to antipodal map (straight line)

⑤ Prop:  $\mathbb{Z}/2\mathbb{Z}$  is only group that can act freely on  $S^n$   
if  $n$  is even.

Pf: Say  $G \curvearrowright S^n \rightsquigarrow d: G \rightarrow \{\pm 1\}$  homomorphism by (iv)

Action free  $\Rightarrow d(g) = (-1)^{n+1} g \neq \text{id}$  by (vi)

$n$  even  $\Rightarrow \ker d = 1 \Rightarrow G \cong \mathbb{Z}/2\mathbb{Z}$ .  $\square$

Can also use degree to <sup>define/</sup> compute cellular homology

$\rightsquigarrow$  compute homology of  $\mathbb{C}P^n, S^n \times S^n, T^n, \mathbb{R}P^n, L(p, q)$ , etc.

see text.

⑥ Borsuk-Ulam Theorem

Prop: Say  $f: S^n \rightarrow S^n, f(-x) = -f(x) \forall x$  (odd map).

Then  $f$  has odd degree.

Theorem:  $g: S^n \rightarrow \mathbb{R}^n \Rightarrow \exists x$  s.t.  $g(x) = g(-x)$ .

Proof: Let  $f(x) = g(x) - g(-x)$ , say  $f(x) \neq 0 \forall x$ .

Replace  $f(x)$  by  $f(x)/|f(x)|$

$\rightsquigarrow f: S^n \rightarrow S^{n-1}$  odd

Prop  $\Rightarrow f|_{\text{equator}}$  has odd degree.

But either hemisphere gives a nullhomotopy.

CONTRADICTION.  $\square$

# ⑦ Lefschetz Fixed Point Theorem

Trace: for  $\varphi: A \rightarrow A$   $A = \text{f.g. abelian group}$   
 $\text{tr } \varphi = \text{tr}(A/\text{torsion} \rightarrow A/\text{torsion})$

$X = \text{space with finitely generated homology, trivial } H_i \text{ } i \geq N.$   
 e.g. finite simplicial complex.

The Lefschetz number of  $f: X \rightarrow X$  is  

$$L(f) = \sum (-1)^i \text{tr}(f_*: H_i(X) \rightarrow H_i(X))$$

Theorem  $L(f) = \text{sum of indices of fixed points}$

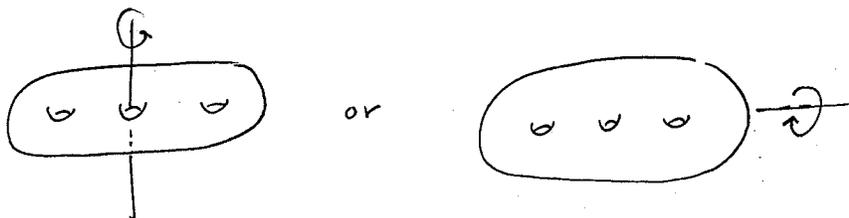
(assume fixed points are isolated)

In particular  $L(f) \neq 0 \Rightarrow \text{fixed points}$   
 Brouwer FPT is corollary.

~~Real~~ Index of fixed point  $p$  is  $\deg(\bar{f}: (X, X-p) \rightarrow (X, X-p))$

Linear maps. Modulo torsion,  $\mathbb{R}P^n$   $n$  even has homology of pt.  
 $\Rightarrow$  every map has a fixed point  
 $\Rightarrow$  every linear map  $\mathbb{R}^n \rightarrow \mathbb{R}^n$ ,  $n$  odd has an eigenvector (can also use elementary reasoning).

Can do many examples of LFPT with surfaces, e.g.



Preparation: Approximation by simplicial maps

Simplicial maps.  $K, L$  simplicial complexes  
 $K \rightarrow L$  simplicial if simplices  $\mapsto$  simplices, linearly.

Theorem.  $K =$  finite simplicial complex,  $L =$  simplicial complex.  
Any  $f: K \rightarrow L$  is homotopic to a map that is simplicial  
w.r.t. some subdivision of  $K$ .

Idea of Proof that  $\tau(f) \neq 0 \Rightarrow \exists$  fixed points.

Assume  $f: X \rightarrow X$  has no fixed points

Simplicial approx  $\rightsquigarrow g: X \rightarrow X$  simplicial, homotopic to  $f$   
 $g(\sigma) \cap \sigma = \emptyset \quad \forall$  simplices  $\sigma$ .

Note  $\tau(f) = \tau(g)$ .

To show  $\text{tr}(g_*) = 0$  in all dim.

Key:  $\tau(g) = \sum (-1)^n \text{tr}(g_*: H_n(X^n, X^{n-1}) \rightarrow H_n(X^n, X^{n-1}))$

Use the fact that  $g$  takes  $X^n$  to  $X^n$  plus some algebra.

Since  $g$  <sup>crushes/</sup> permutes cells without fixing any, all of  
these traces are 0. ◻