

THE MAPPING CLASS GROUP ACTION ON THE HOMOLOGY OF THE CONFIGURATION SPACES OF SURFACES

TETSUHIRO MORIYAMA

ABSTRACT

In this paper, we study the natural action of the mapping class group $\mathcal{M}_{g,1}$ on the (co)homology groups of the configuration spaces of n -points on a surface Σ of genus g with the boundary $\partial\Sigma \cong S^1$. We present two main results in this paper. The first result is that the kernel of the action of $\mathcal{M}_{g,1}$ coincides with the kernel of the natural action on the n th lower central quotient group of the fundamental group of Σ . The second result is a new interpretation of the cohomology group $H^*(\mathcal{M}_{g,1}; T[H_1])$ of $\mathcal{M}_{g,1}$ with coefficients in the free tensor algebra $T[H_1]$ over \mathbb{Z} generated by the first homology group H_1 of Σ , by using the configuration spaces. More precisely, we define a certain cochain complex C of $\mathcal{M}_{g,1}$ -modules by using the configuration spaces and prove that $H^*(\mathcal{M}_{g,1}; C)$ is canonically isomorphic to $H^*(\mathcal{M}_{g,1}; T[H_1])$.

1. Introduction

Let Σ be a compact oriented surface of genus g with one boundary component, and with a base point $p_0 \in \partial\Sigma$, and let $\mathcal{M}_{g,1} = \pi_0(\text{Diff}_+(\Sigma, \partial\Sigma))$ be the mapping class group of $(\Sigma, \partial\Sigma)$, where $\text{Diff}_+(\Sigma, \partial\Sigma)$ is the group of orientation preserving diffeomorphisms of Σ restricting to the identity on $\partial\Sigma$. Let $H_1 = H_1(\Sigma; \mathbb{Z})$ be the first homology group of Σ ; then $\mathcal{M}_{g,1}$ acts on H_1 naturally.

In this paper, we study the action of $\mathcal{M}_{g,1}$ on the (co)homology of the configuration space of points on Σ . There are two main results. The first result (Theorem A) is that the kernel of the natural action of $\mathcal{M}_{g,1}$ on the compact supported cohomology group (which will be denoted by H_n) of the configuration space of n -points on $\Sigma \setminus \{p_0\}$ coincides with the well-known subgroup $\mathcal{M}_{g,1}(n) \subset \mathcal{M}_{g,1}$, which is the kernel of the natural action of $\mathcal{M}_{g,1}$ on the n th lower central quotient group Γ_0/Γ_n of the fundamental group $\Gamma_0 = \pi_1(\Sigma, p_0)$ of Σ . The precise definition of $\mathcal{M}_{g,1}(n)$ will be given in Section 2. The second result (Theorem B) is a new interpretation of the cohomology group $H^*(\mathcal{M}_{g,1}; T[H_1])$ of $\mathcal{M}_{g,1}$ by using the configuration spaces, where $T[H_1]$ is the free tensor algebra over \mathbb{Z} generated by H_1 . More precisely, we will give an isomorphism

$$H^*(\mathcal{M}_{g,1}; T[H_1]) \cong H^*(\mathcal{M}_{g,1}; C),$$

where $C = \bigoplus_{p \geq 0} C^p$ is a certain cochain complex of $\mathcal{M}_{g,1}$ -modules constructed from the homology groups H_n . The precise definition of C and its coboundary map $d_p : C^p \rightarrow C^{p+1}$ will be defined in Section 8. Roughly speaking, d_p represents how points on Σ make collisions with each other.

Johnson [4] introduced a homomorphism (the so-called Johnson homomorphism) $\tau_n : \mathcal{M}_{g,1}(n) \rightarrow H_1^{\otimes(n+2)}$ such that $\text{Ker } \tau_n = \mathcal{M}_{g,1}(n+1)$. That the Johnson homomorphism is related to the Massey products of the mapping tori, associated to elements of $\mathcal{M}_{g,1}(n)$, was known to him [5], and later Kitano [8] proved that τ_n exactly measures the higher Massey products of length $n+1$ of the associated mapping tori. Morita [9] discovered a relation between the Johnson homomorphism and a secondary characteristic class of surface bundles, and the Casson invariant which is the simplest non-trivial finite type invariant of integral homology 3-spheres; see Morita [9, 10, 11] or Johnson's earlier results [4, 5] for more details.

Additionally, [12] surveys recent works on the structure of the mapping class group of surfaces mainly from the topological viewpoint.

Now, we introduce some homology groups H_n ($n \geq 0$) as follows. For each element $\varphi \in \text{Diff}_+(\Sigma, \partial\Sigma)$, the diagonal action of φ on the n th Cartesian product Σ^n preserves the subsets Δ_n, A_n of Σ^n , which are defined by

$$\begin{aligned} \Delta_n &= \{(x_1, x_2, \dots, x_n) \in \Sigma^n \mid x_i = x_j \text{ for some } i \neq j\}, \\ A_n &= \{(x_1, x_2, \dots, x_n) \in \Sigma^n \mid x_i = p_0 \text{ for some } i\}. \end{aligned}$$

Here, Σ^0 is understood to be the one-point set $\{\text{pt}\}$ on which φ acts trivially, and Δ_0, A_0 are defined to be the empty set \emptyset . Define

$$H_n = H_n(\Sigma^n, \Delta_n \cup A_n; \mathbb{Z}),$$

which has an $\mathcal{M}_{g,1}$ -module structure induced from the diagonal action. Let $C_n(\Sigma') = \Sigma'^n \setminus \Delta_n(\Sigma')$ be the configuration space of n -points on $\Sigma' = \Sigma \setminus \{p_0\}$. We remark that, as an $\mathcal{M}_{g,1}$ -module, H_n is isomorphic to the compact supported cohomology group of $C_n(\Sigma')$:

$$H_c^n(C_n(\Sigma'); \mathbb{Z}) \cong H_n.$$

In this paper, we will use H_n instead of the left-hand side of the above equation.

Our first main theorem in this paper is the following.

THEOREM A. *For each integer $n \geq 0$, the kernel of the action of $\mathcal{M}_{g,1}$ on H_n is $\mathcal{M}_{g,1}(n)$. Namely, an element $\varphi \in \mathcal{M}_{g,1}$ acts on H_n trivially if and only if φ belongs to $\mathcal{M}_{g,1}(n)$.*

Therefore, for each $\varphi \in \mathcal{M}_{g,1}$, the following statements are equivalent.

- (1) The element $\varphi \in \mathcal{M}_{g,1}(n)$; that is, φ acts on Γ_0/Γ_n trivially.
- (2) For every integer $1 \leq i \leq n + 1$, the Massey products of length i on the mapping torus, associated to φ , vanish.
- (3) The element φ acts on H_n trivially.

The equivalence of (1) and (2) is due to Kitano [8], and Theorem A states that (1) and (3) are equivalent.

As a corollary of Theorem A, it turns out that the action of $\mathcal{M}_{g,1}$ on $\bigoplus_{n \geq 0} H_n$ is faithful (Corollary 7.2).

A related result was obtained by Groufalidis and Levine in [3]. They investigated relations among the Massey products of 3-manifolds, the Johnson homomorphism, and the Goussarov–Habiro theory of finite-type invariants of 3-manifolds.

In [7], Kawazumi determined $H^*(\mathcal{M}_{g,1}; T[H_1])$ explicitly modulo the stable cohomology group with trivial coefficients. As a corollary, the rational stable cohomology algebra of the extended mapping class group $\mathcal{M}_{g,1} \times H_1$ was proved to be freely generated by the twisted Morita–Mumford classes (see [6]) over the rational stable cohomology algebra of $\mathcal{M}_{g,1}$. To describe a relationship between $H^*(\mathcal{M}_{g,1}; T[H_1])$ and the homology groups H_n , we introduce some notation. For integers n, l such that $n \geq 0$, let $S(n, l)$ be the Stirling number of the second kind, that is, the counting number of equivalence relations having l equivalence classes defined on a set with n elements. Here, $S(0, 0)$ will be understood to be 1, and $S(n, l) = 0$ if $n < l$ or $n > 0 \geq l$. For example, for $n > 0$, $S(n, 0) = 0$, $S(n, n) = S(n, 1) = 1$ and $S(n, n - 1) = n(n - 1)/2$. In Section 8, we will give the definition of C :

$$\begin{aligned} 0 \longrightarrow C^0 \xrightarrow{d_0} C^1 \xrightarrow{d_1} C^2 \xrightarrow{d_2} \dots \xrightarrow{d_{l-1}} C^l \xrightarrow{d_l} \dots, \\ C^p = \bigoplus_{q \geq 0} C^{p,q}, \quad C^{p,q} \cong H_{q-p}^{\oplus S(q,q-p)}, \end{aligned}$$

where $H_n = \{0\}$ if $n < 0$. Here is the table of $C^{p,q}$ for $0 \leq p \leq 4$ and $0 \leq q \leq 4$.

4	H_4	$H_3^{\oplus 6}$	$H_2^{\oplus 7}$	H_1	0	(1.1)
3	H_3	$H_2^{\oplus 3}$	H_1	0	0	
2	H_2	H_1	0	0	0	
1	H_1	0	0	0	0	
$q = 0$	\mathbb{Z}	0	0	0	0	
	$p = 0$	1	2	3	4	

Let $H^*(\mathcal{M}_{g,1}; C)$ be the cohomology group of $\mathcal{M}_{g,1}$ with coefficients in the cochain complex C (cf. [1, VII,5]).

THEOREM B. *There is a canonical isomorphism*

$$H^*(\mathcal{M}_{g,1}; T[H_1]) \cong H^*(\mathcal{M}_{g,1}; C).$$

In Section 2, we prepare the necessary notation and give a precise definition of the H_n . The kernel of the action of $\mathcal{M}_{g,1}$ on H_n will be denoted by $\mathcal{M}_{g,1}(n)'$.

In order to prove Theorem A, we propose several supporting statements, which we prove in Section 3. We shall see that $\hat{H} = \prod_{n \geq 0} H_n$ has some ring structure and study the relationships between H_n and H_{n-1} by using the product structure on \hat{H} . The n th symmetric group \mathfrak{S}_n acts on H_n by the permutation of points on Σ . It is easy to check that H_n is an $(\mathfrak{S}_n \times \mathcal{M}_{g,1})$ -module. In Section 4, we determine the \mathfrak{S}_n -module structure of H_n . Therefore, to compute the $\mathcal{M}_{g,1}$ -action on H_n , it is enough to work with a generating set of H_n as an \mathfrak{S}_n -module. In Section 5, we define and study a $\mathcal{M}_{g,1}$ -equivariant ring homomorphism $\Phi : \mathbb{Z}\pi_1(\Sigma, p_0) \rightarrow \hat{H}$ (from the group ring $\mathbb{Z}\pi_1(\Sigma, p_0)$ of $\pi_1(\Sigma, p_0)$ over \mathbb{Z}). By using Φ , we explore the relationship between $\mathcal{M}_{g,1}(n)$ and $\mathcal{M}_{g,1}(n)'$. Combining the results in Sections 4 and 5, we give a generating set R_n of H_n as an \mathfrak{S}_n -module. In particular, we can conclude that if a mapping class trivially acts on R_n then it trivially acts on H_n . In Section 7, by using the results obtained in previous sections, we give a proof of Theorem A, that is, $\mathcal{M}_{g,1}(n) = \mathcal{M}_{g,1}(n)'$ for all non-negative n . In Section 8, we give a precise construction of the cochain complex C , and give a proof of Theorem B.

2. Notation

Let $\pi_1(\Sigma, p_0) = \Gamma_0 \supset \Gamma_1 \supset \Gamma_2 \supset \dots$ be the lower central series of $\pi_1(\Sigma, p_0)$, namely, $\Gamma_n = [\Gamma_{n-1}, \Gamma_0]$, $n \geq 1$. Let

$$\rho_n : \mathcal{M}_{g,1} \longrightarrow \text{Aut}(\Gamma_0/\Gamma_n)$$

be the action induced from the natural action $\rho : \mathcal{M}_{g,1} \rightarrow \text{Aut}(\pi_1(\Sigma, p_0))$, where $\text{Aut}(G)$ denotes the automorphism group of a group G . Let us write

$$\mathcal{M}_{g,1}(n) = \text{Ker } \rho_n$$

for the kernel of ρ_n . By definition, $\mathcal{M}_{g,1}(0) = \mathcal{M}_{g,1}$, and $\mathcal{M}_{g,1}(1)$ is nothing but the Torelli group, which is the subgroup of $\mathcal{M}_{g,1}$ consisting of elements which act on $H_1(\Sigma; \mathbb{Z})$ trivially.

For an integer $n \geq 1$ and for a pair (X, Y) of topological spaces such that $Y \subset X$, we define

$$\begin{aligned} \Delta_n(X) &= \{(x_1, \dots, x_n) \in X^n \mid x_i = x_j \text{ for some } i \neq j\}, \\ A_n(X, Y) &= \{(x_1, \dots, x_n) \in X^n \mid x_i \in Y \text{ for some } i\}, \end{aligned}$$

and we write

$$(X, Y)^n = (X^n, A_n(X, Y)),$$

$$(X, Y)^{\bar{n}} = (X^n, \Delta_n(X) \cup A_n(X, Y)),$$

which are generalizations of the standard notation

$$(X, Y)^2 = (X \times X, (X \times Y) \cup (Y \times X)).$$

When $n = 0$, we define $X^0 = \{\text{pt}\}$, and we will write $\Delta_0(X) = A_0(X, Y) = \emptyset$ so that $(X, Y)^0 = (X, Y)^{\bar{0}} = (\{\text{pt}\}, \emptyset)$.

Since the diagonal action of $\text{Diff}_+(\Sigma, \partial\Sigma)$ on Σ^n preserves the subset $\Delta_n(\Sigma) \cup A_n(\Sigma, p_0)$, the homology group $H_*(\Sigma, p_0)^{\bar{n}}$ is an $\mathcal{M}_{g,1}$ -module. We will see later that $H_i((\Sigma, p_0)^{\bar{n}}; \mathbb{Z})$ vanishes except when $i = n$ (Proposition 3.3(i)). For simplicity, we will write $\Delta_n = \Delta_n(\Sigma, p_0)$, $A_n = A_n(\Sigma, \partial\Sigma)$, and $H_n = H_n((\Sigma, p_0)^{\bar{n}}; \mathbb{Z})$ as in Section 1. Denote by

$$\rho'_n : \mathcal{M}_{g,1} \longrightarrow \text{Aut}(H_n)$$

the action of $\mathcal{M}_{g,1}$ on H_n and write $\mathcal{M}_{g,1}(n)' = \text{Ker } \rho'_n$. Then Theorem A is equivalent to

$$\mathcal{M}_{g,1}(n)' = \mathcal{M}_{g,1}(n)$$

for all $n \geq 0$.

3. Homology group of $(\Sigma, p_0)^{\bar{n}}$

We introduce a formal series algebra $\hat{H} = \prod_{n \geq 0} H_n$, the elements of which are infinite formal sums $\sum_{n \geq 0} u_n$ ($u_n \in H_n$). We will construct some algebraic structures on \hat{H} as follows. The actions ρ'_n , $n \geq 0$, induce the action

$$\rho' = \prod_{n \geq 0} \rho'_n : \mathcal{M}_{g,1} \longrightarrow \text{Aut}(\hat{H}).$$

The natural map $\iota_{m,n} : (\Sigma, p_0)^{\bar{m}} \times (\Sigma, p_0)^{\bar{n}} \rightarrow (\Sigma, p_0)^{\bar{m+n}}$ induces the multiplication $\mu_{m,n} = \iota_{m,n,*} : H_m \otimes H_n \rightarrow H_{m+n}$, and we will write

$$uv = \mu(u, v) = \sum_{m,n \geq 0} \mu_{m,n}(u_m, v_n)$$

for $u = \sum_{n \geq 0} u_n$, $v = \sum_{n \geq 0} v_n \in \hat{H}$. By definition, μ is associative (that is, $(uv)w = u(vw)$ for any $u, v, w \in \hat{H}$), and it has the unit $1 = [\text{pt}] \in H_0 \cong \mathbb{Z}$ (that is, $1u = u1 = u$ for any $u \in \hat{H}$). Note that ρ' commutes with μ , because $\iota_{m,n}$ commutes with the natural $\text{Diff}_+(\Sigma, \partial\Sigma)$ -action. Let \mathfrak{S}_n , $n \geq 0$, denote the n th symmetric group, where \mathfrak{S}_0 is the unit group. The \mathfrak{S}_n -action on H_n , given by permutation of n -points on Σ , commutes with the $\mathcal{M}_{g,1}$ -action, and thus H_n is an $(\mathfrak{S}_n \times \mathcal{M}_{g,1})$ -module. Let \mathcal{F} be the descending filtration of \hat{H} such that

$$\mathcal{F}_n \hat{H} = \prod_{i \geq n} H_i;$$

then $(\mathcal{F}_m \hat{H})(\mathcal{F}_n \hat{H}) \subset \mathcal{F}_{m+n} \hat{H}$. Clearly, the action ρ' preserves \mathcal{F} , namely, $\varphi_*(\mathcal{F}_n \hat{H}) \subset \mathcal{F}_n \hat{H}$ for all $\varphi \in \mathcal{M}_{g,1}$. The following lemma is easy to prove.

LEMMA 3.1. *The algebra \hat{H} is an associative, filtered algebra over \mathbb{Z} with the multiplication μ and the filtration \mathcal{F} . The action ρ' preserves μ and \mathcal{F} . For each $n \geq 0$, H_n is an $(\mathfrak{S}_n \times \mathcal{M}_{g,1})$ -module.*

Proof. The proof follows from the above discussion. □

In order to consider the multiplication $\mu_{n-1,1} : H_{n-1} \otimes H_1 \rightarrow H_n$, we introduce some notation as follows. Fix an integer $n \geq 2$ and write $Y_n = (\Delta_{n-1} \times \Sigma) \cup A_n$ so that

$$(\Sigma, p_0)^{\overline{n-1}} \times (\Sigma, p_0)^{\overline{1}} = (\Sigma^n, Y_n). \tag{3.1}$$

Set $I_{n-1} = \{1, 2, \dots, n-1\}$ on which $\text{Diff}_+(\Sigma, p_0)$ acts trivially, and let

$$f : I_{n-1} \times \Sigma^{n-1} \longrightarrow \Delta_n \cup A_n$$

be the $\text{Diff}_+(\Sigma, p_0)$ -equivariant map defined by

$$f(i, (x_1, x_2, \dots, x_{n-1})) = (x_1, x_2, \dots, x_{n-1}, x_i).$$

By definition, $f(I_{n-1} \times (\Delta_{n-1} \cup A_{n-1})) \subset Y_n$. There is a natural isomorphism

$$H_*(I_{n-1} \times \Sigma^{n-1}, I_{n-1} \times (\Delta_{n-1} \cup A_{n-1}); \mathbb{Z}) \cong H_*((\Sigma, p_0)^{\overline{n-1}}; \mathbb{Z})^{\oplus n-1},$$

and we identify these groups as $\mathcal{M}_{g,1}$ -modules.

LEMMA 3.2. *Let $n \geq 2$ be an integer. The homomorphism*

$$f_* : H_*((\Sigma, p_0)^{\overline{n-1}}; \mathbb{Z})^{\oplus n-1} \longrightarrow H_*(\Delta_n \cup A_n, Y_n; \mathbb{Z})$$

induced from f is an $\mathcal{M}_{g,1}$ -module isomorphism.

Proof. Let

$$f_1 : I_{n-1} \times (\Delta_{n-1} \cup A_{n-1}) \longrightarrow Y_n$$

be the restriction of f , and let $V = Y_n \cup_{f_1} (I_{n-1} \times \Sigma^{n-1})$ be the attaching space. One can regard Y_n as a subset of V in the standard way. To distinguish the notation $Y_n (\subset V)$ from the original $Y_n (\subset \Sigma^n)$, we rewrite $W = Y_n \subset V$. Note that there is a natural isomorphism

$$H_*((\Sigma, p_0)^{\overline{n-1}}; \mathbb{Z})^{\oplus n-1} \cong H_*(V, W; \mathbb{Z}). \tag{3.2}$$

It is easy to check that the map f is injective on the complement of $I_{n-1} \times (\Delta_{n-1} \cup A_{n-1})$ in $I_{n-1} \times \Sigma^{n-1}$. Therefore, f induces the injective continuous map

$$f_2 : (V, W) \longrightarrow (\Delta_n \cup A_n, Y_n),$$

which restricts to the identity $W \rightarrow Y_n$.

For any $x = (x_1, x_2, \dots, x_n) \in (\Delta_n \cup A_n) \setminus Y_n$, there exists i , $1 \leq i \leq n-1$, such that $x_i = x_n$, and so $f(i, (x_1, x_2, \dots, x_{n-1})) = x$. This means that f_2 is surjective. Moreover, f_2 is a homeomorphism, because it is a continuous bijective map from a compact space to a Hausdorff space. Thus we obtain an isomorphism

$$f_{2*} : H_*(V, W; \mathbb{Z}) \longrightarrow H_*(\Delta_n \cup A_n, Y_n; \mathbb{Z}),$$

which coincides with f_* via the isomorphism (3.2). □

Let us write $\partial_* : H_*((\Sigma, p_0)^{\overline{n}}; \mathbb{Z}) \rightarrow H_{*-1}(\Delta_n \cup A_n, Y_n; \mathbb{Z})$ for the homology connecting homomorphism of the triple $(\Sigma^n, \Delta_n \cup A_n, Y_n)$. The composition

$$\partial'_* = f_*^{-1} \circ \partial_* : H_*((\Sigma, p_0)^{\overline{n}}; \mathbb{Z}) \longrightarrow H_{*-1}((\Sigma, p_0)^{\overline{n-1}}; \mathbb{Z})^{\oplus n-1}$$

is an $\mathcal{M}_{g,1}$ -module homomorphism.

PROPOSITION 3.3. *Let $n \geq 1$ be an integer.*

- (i) *If $i \neq n$, then $H_i((\Sigma, p_0)^{\overline{n}}; \mathbb{Z}) = 0$.*
- (ii) *The sequence*

$$0 \longrightarrow H_{n-1} \otimes H_1 \xrightarrow{\mu_{n-1,1}} H_n \xrightarrow{\partial'_*} H_{n-1}^{\oplus n-1} \longrightarrow 0$$

is exact.

(iii) H_n is a free abelian group of rank $2g(2g + 1) \dots (2g + (n - 1))$.

Proof. The proof proceeds by induction on n . The statements are obvious when $n = 1$. Fix an integer $n \geq 2$. Let us consider the homology exact sequence of the triple $(\Sigma^n, \Delta_n \cup A_n, Y_n)$:

$$\dots \longrightarrow H_i(\Sigma^n, Y_n; \mathbb{Z}) \longrightarrow H_i((\Sigma, p_0)^{\bar{n}}; \mathbb{Z}) \xrightarrow{\partial_*} H_{i-1}(\Delta_n \cup A_n, Y_n; \mathbb{Z}) \longrightarrow \dots$$

By Lemma 3.2, we can replace the last group with $H_{i-1}((\Sigma, p_0)^{\overline{n-1}}; \mathbb{Z})^{\oplus n-1}$, and then ∂_* becomes ∂'_* . There is an $\mathcal{M}_{g,1}$ -module isomorphism

$$H_i(\Sigma^n, Y_n; \mathbb{Z}) \cong H_{i-1}((\Sigma, p_0)^{\overline{n-1}}; \mathbb{Z}) \otimes H_1$$

induced from (3.1). If $i \neq n$, then by the induction hypothesis, the groups of both ends on the above sequence vanish, and hence we obtain (i). In the case $i = n$, the sequence is nothing but the short exact sequence in (ii). (iii) is immediate from (ii). \square

Let $\text{gr } \hat{H} = \bigoplus_{n \geq 0} \text{gr}_n \hat{H}$, $\text{gr}_n \hat{H} = \mathcal{F}_n \hat{H} / \mathcal{F}_{n+1} \hat{H}$ denote the associated graded algebra of \hat{H} , and we will identify $\text{gr}_n \hat{H}$ with H_n . Let $T[H_1] = \bigoplus_{n \geq 0} H_1^{\otimes n}$ be the free tensor algebra generated by H_1 over \mathbb{Z} . By Proposition 3.3, we obtain the following corollary.

COROLLARY 3.4. *Let $n \geq 1$ be an integer.*

- (i) $\mathcal{M}_{g,1}(n-1)' \supset \mathcal{M}_{g,1}(n)'$.
- (ii) The homomorphism $\psi_n : H_1^{\otimes n} \rightarrow H_n$, defined by

$$u_1 \otimes u_2 \otimes \dots \otimes u_n \longmapsto u_1 u_2 \dots u_n,$$

where $u_i \in H_1$, is an injective $\mathcal{M}_{g,1}$ -module homomorphism. Consequently, the induced homomorphism

$$\Psi = \bigoplus_{n \geq 0} \psi_n : T[H_1] \longrightarrow \text{gr } \hat{H}$$

is an injective graded algebra homomorphism.

Proof. The group $\mathcal{M}_{g,1}(n)'$ acts trivially on $H_{n-1}^{\oplus n-1}$ because of the surjectivity of ∂'_* (Proposition 3.3(ii)). Thus, $\mathcal{M}_{g,1}(n-1)' \supset \mathcal{M}_{g,1}(n)'$. Next, we prove part (ii) as follows. Since ρ' and μ commute with each other, ψ_n is an $\mathcal{M}_{g,1}$ -module homomorphism. The proof of the injectivity of ψ_n proceeds by induction on n . Obviously, $\psi_1 = \text{id}$ is injective. If $n \geq 2$, then ψ_n coincides with the composition

$$H_1^{\otimes n} = H_1^{\otimes n-1} \otimes H_1 \xrightarrow{\psi_{n-1} \otimes \text{id}} H_{n-1} \otimes H_1 \xrightarrow{\mu_{n-1,1}} H_n.$$

The homomorphism $\psi_{n-1} \otimes \text{id}$ is injective by the induction hypothesis, and $\mu_{n-1,1}$ is injective by Proposition 3.3(ii). Thus, ψ_n is injective. \square

4. Cell decomposition of $(\Sigma, p_0)^{\bar{n}}$

In this section, we use a cell decomposition of $(\Sigma, p_0)^{\bar{n}}$ to determine the \mathfrak{S}_n -module structure of H_n . Let $X = S_1^1 \vee S_2^1 \vee \dots \vee S_{2g}^1$ be the wedge of $2g$ copies of the oriented circle $S^1 = \mathbb{R}/\mathbb{Z}$ with the base point $0 \in S^1$. Let $\alpha_j \in \pi_1(X, p_0)$ denote the homotopy class of S_j^1 ; then $\alpha_1, \alpha_2, \dots, \alpha_{2g}$ generate $\pi_1(X, p_0)$ freely. We assume that X is a subspace of Σ such that $\bigcap_{1 \leq j \leq 2g} S_j^1 = p_0$ and that the inclusion is a homotopy equivalence. The symmetric group \mathfrak{S}_n acts on $(X, p_0)^{\bar{n}}$ and $(\Sigma, p_0)^{\bar{n}}$ by permutation of n -points, and the map $(X, p_0)^{\bar{n}} \rightarrow (\Sigma, p_0)^{\bar{n}}$ induced from the inclusion is a homotopy equivalence of \mathfrak{S}_n -spaces. Hence H_n can be identified with $H_n((X, p_0)^{\bar{n}}; \mathbb{Z})$ as an \mathfrak{S}_n -module. For simplicity, we will write $\Delta'_n = \Delta_n(X)$ and $A'_n = A_n(X, p_0)$.

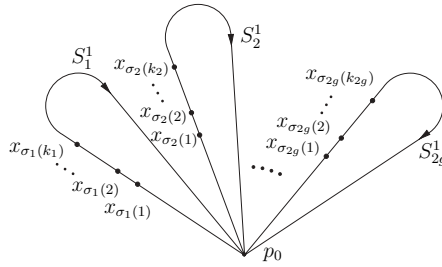


FIGURE 1. A point $x = (x_1, x_2, \dots, x_n)$ on $X^n - (\Delta'_n \cup A'_n)$.

The subspace $X \setminus (\Delta'_1 \cup A'_1) \subset X$ is homeomorphic to the disjoint union of $2g$ copies of the open interval $(0, 1) \cong S^1 \setminus \{0\}$. By induction on n , one can see that $X^n \setminus (\Delta'_n \cup A'_n)$ consists of $2g(2g + 1) \dots (2g + (n - 1))$ connected components, each of which is homeomorphic to an open n -disc.

Fix a point $x = (x_1, x_2, \dots, x_n) \in X^n \setminus (\Delta'_n \cup A'_n)$. For $1 \leq j \leq 2g$, we define

$$k_j(x) = \#(\{x_1, x_2, \dots, x_n\} \cap S_j^1),$$

where $\#$ denotes the number of elements in a set. There exists a unique injective map $\sigma_j : I_{k_j(x)} \rightarrow I_n$, where $I_i = \{1, 2, \dots, i\}$, such that

$$\{x_{\sigma_j(1)}, x_{\sigma_j(2)}, \dots, x_{\sigma_j(k_j(x))}\} = \{x_1, x_2, \dots, x_n\} \cap S_j^1, \tag{4.1}$$

$$x_{\sigma_j(1)} < x_{\sigma_j(2)} < \dots < x_{\sigma_j(k_j(x))}; \tag{4.2}$$

see Figure 1. In (4.2), we regard $x_{\sigma_j(i)}$ as points in $(0, 1) \cong S_j^1 \setminus \{0\}$ so that the inequalities make sense. When $n \neq 0$, we define

$$\sigma(x) = \begin{pmatrix} 1 & 2 & \dots & n \\ \sigma(1) & \sigma(2) & \dots & \sigma(n) \end{pmatrix} \in \mathfrak{S}_n \tag{4.3}$$

to be the element such that

$$\sigma(k_0(x) + k_1(x) + \dots + k_{j-1}(x) + i) = \sigma_j(i) \tag{4.4}$$

for $1 \leq j \leq 2g$ and $1 \leq i \leq k_j(x)$, where $k_0(x) = 0$. If $n = 0$, then we define $\sigma(x) \in \mathfrak{S}_0$ to be the unit. The $2g$ -tuple

$$k(x) = (k_1(x), k_2(x), \dots, k_{2g}(x))$$

belongs to the set

$$K_n = \left\{ (k_1, k_2, \dots, k_{2g}) \in \mathbb{Z}^{2g} \mid \begin{array}{l} k_1 + k_2 + \dots + k_{2g} = n, \\ k_j \geq 0 \text{ for } 1 \leq j \leq 2g \end{array} \right\}.$$

If two points $x, y \in X^n \setminus (\Delta'_n \cup A'_n)$ are in a same connected component, then $(\sigma(x), k(x)) = (\sigma(y), k(y))$. Therefore, we obtain a well-defined map

$$h_n : \pi_0(X^n \setminus (\Delta'_n \cup A'_n)) \longrightarrow \mathfrak{S}_n \times K_n, \quad [x] \longmapsto (\sigma(x), k(x)),$$

where $[x] \subset X^n \setminus (\Delta'_n \cup A'_n)$ is the connected component containing x . Note that $h_0 : \pi_0(X^0 \setminus (\Delta'_0 \cup A'_0)) \rightarrow \mathfrak{S}_0 \times K_0$ is given by $h_0([\text{pt}]) = (1, (0, 0, \dots, 0))$.

LEMMA 4.1. For $n \geq 0$, the map h_n as defined above is a bijection.

Proof. It is not difficult to see that h_n is injective. In fact, if $h_n([x]) = h_n([y])$ then one can easily construct a path from x to y in $X^n \setminus (\Delta'_n \cup A'_n)$. Since

$$\#(\mathfrak{S}_n \times K_n) = \frac{(2g+n-1)!}{(2g-1)!} = \#\pi_0(X^n \setminus (\Delta'_n \cup A'_n)),$$

h_n is a bijection. □

For $(\sigma, k) \in \mathfrak{S}_n \times K_n$, let us write

$$e_{(\sigma,k)} = h_n^{-1}(\sigma, k),$$

which is a connected component of $X^n \setminus (\Delta'_n \cup A'_n)$. By Lemma 4.1, X^n can be decomposed into the disjoint union

$$X^n = (\Delta'_n \cup A'_n) \amalg \left(\coprod_{(\sigma,k) \in \mathfrak{S}_n \times K_n} e_{(\sigma,k)} \right).$$

Let Δ^n denote the n -simplex:

$$\Delta^n = \{(t_1, \dots, t_n) \mid 0 \leq t_1 \leq t_2 \leq \dots \leq t_n \leq 1\},$$

where Δ^0 is understood to be the one point set $\{0\}$. For any element $k = (k_1, k_2, \dots, k_{2g}) \in K_n$, we write $\Delta^k = \Delta^{k_1} \times \Delta^{k_2} \times \dots \times \Delta^{k_{2g}}$, and its interior will be denoted by $\text{Int } \Delta^k$. We define an \mathfrak{S}_n -action on $\mathfrak{S}_n \times K_n$ by $\tau(\sigma, k) = (\tau\sigma, k)$ for $(\sigma, k) \in \mathfrak{S}_n \times K_n$ and $\tau \in \mathfrak{S}_n$. Let $\mathbb{Z}(\mathfrak{S}_n \times K_n)$ be the \mathfrak{S}_n -module over \mathbb{Z} generated by $\mathfrak{S}_n \times K_n$.

PROPOSITION 4.2. *Let $n \geq 0$ be an integer. There exists a family*

$$\{\varphi_{(\sigma,k)} \mid (\sigma, k) \in \mathfrak{S}_n \times K_n\}$$

of continuous maps

$$\varphi_{(\sigma,k)} : (\Delta^k, \partial\Delta^k) \longrightarrow (X^n, \Delta'_n \cup A'_n)$$

such that:

- (i) $\varphi_{(\sigma,k)}$ maps $\text{Int } \Delta^k$ onto $e_{(\sigma,k)}$ homeomorphically, and
- (ii) $\tau\varphi_{(\sigma,k)}(\mathbf{t}) = \varphi_{(\tau\sigma,k)}(\mathbf{t})$ for $\tau \in \mathfrak{S}_n$, $\mathbf{t} \in \Delta^k$.

Moreover, the homomorphism

$$\mathbb{Z}(\mathfrak{S}_n \times K_n) \longrightarrow H_n, \tag{4.5}$$

taking $(\sigma, k) \in \mathfrak{S}_n \times K_n$ to the homology class $[\varphi_{(\sigma,k)}] \in H_n$ of $\varphi_{(\sigma,k)}$, is an \mathfrak{S}_n -module isomorphism.

Proof. When $n = 0$, the unique map $\varphi_{(1,(0,0,\dots,0))}$, such that $\varphi_{(1,(0,0,\dots,0))}(0) = \text{pt}$, satisfies the statement. Assume that $n \geq 1$. For $1 \leq j \leq 2g$, let $\tilde{\alpha}_j : ([0, 1], \{0, 1\}) \rightarrow (S_j^1, p_0)$ be the map induced from the identification $\mathbb{R}/\mathbb{Z} = S_j^1$. Fix any element $(\sigma, k) \in K_n \times \mathfrak{S}_n$, and let $\{\sigma_j\}_{j=1}^{2g}$ be the associated data determined by (4.4). We express the coordinates of points on Δ^k as follows:

$$\begin{aligned} \mathbf{t} &= (\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_{2g}) \in \Delta^k, \\ \mathbf{t}_j &= (t_{j,1}, t_{j,2}, \dots, t_{j,k_j}) \in \Delta^{k_j} \quad (1 \leq j \leq 2g). \end{aligned}$$

Define a map $\varphi_{(\sigma,k)} : \Delta^k \rightarrow X^n$ by

$$\begin{aligned} \varphi_{(\sigma,k)}(\mathbf{t}) &= (x_1, x_2, \dots, x_n), \\ x_{\sigma_j(i)} &= \tilde{\alpha}_j(t_{j,i}) \quad (1 \leq j \leq 2g, 1 \leq i \leq k_j). \end{aligned}$$

It is not difficult to check that $\varphi_{(\sigma,k)}(\partial\Delta^k) \subset \Delta'_n \cup A'_n$ and that $\varphi_{(\sigma,k)}(\text{Int } \Delta^k) \subset e_{(\sigma,k)}$. Since $\tilde{\alpha}_j|_{(0,1)} : (0, 1) \rightarrow S^1_j - \{p_0\}$ is a homeomorphism, so is

$$\varphi_{(\sigma,k)}|_{\text{Int } \Delta^k} : \text{Int } \Delta^k \longrightarrow e_{(\sigma,k)}.$$

This completes the proof of part (i).

For $\tau \in \mathfrak{S}_n$, let $\{(\tau\sigma)_j\}_{1 \leq j \leq 2g}$ be the associated data determined by (4.4) with σ replaced by $\tau\sigma$. Then

$$(\tau\sigma)_j(i) = \tau(\sigma(k_0 + k_1 + \dots + k_{j-1} + i)) = \tau(\sigma_j(i)).$$

This implies that

$$\tau(\varphi_{(\sigma,k)}(\mathbf{t})) = \varphi_{(\tau\sigma,k)}(\mathbf{t})$$

for $\mathbf{t} \in \Delta^k$, which proves part (ii).

By (i) and (ii), the map

$$\chi = \coprod_{(\sigma,k) \in \mathfrak{S}_n \times K_n} \varphi_{(\sigma,k)} : \coprod_{(\sigma,k) \in \mathfrak{S}_n \times K_n} (\Delta^k, \partial\Delta^k) \longrightarrow (X^n, \Delta'_n \cup A'_n)$$

induces an \mathfrak{S}_n -module homomorphism

$$\chi_* = \bigoplus_{(\sigma,k) \in \mathfrak{S}_n \times K_n} \varphi_{(\sigma,k)*} : \bigoplus_{(\sigma,k) \in \mathfrak{S}_n \times K_n} H_n(\Delta^k, \partial\Delta^k; \mathbb{Z}) \longrightarrow H_n.$$

Since $H_n(\Delta^k, \partial\Delta^k; \mathbb{Z}) \cong \mathbb{Z}$, the group $\bigoplus_{(\sigma,k) \in \mathfrak{S}_n \times K_n} H_n(\Delta^k, \partial\Delta^k; \mathbb{Z})$ is identified with $\mathbb{Z}(\mathfrak{S}_n \times K_n)$, and so we can write

$$\chi_* : \mathbb{Z}(\mathfrak{S}_n \times K_n) \longrightarrow H_n.$$

By the definition of χ , we have $\chi_*(\sigma, k) = [\varphi_{\sigma,k}]$ for any $(\sigma, k) \in \mathfrak{S}_n \times K_n$. Since χ induces a homeomorphism

$$\bigvee_{(\sigma,k) \in \mathfrak{S}_n \times K_n} (\Delta^k / \partial\Delta^k) \longrightarrow X^n / (\Delta'_n \times A'_n),$$

χ_* is an isomorphism. □

COROLLARY 4.3. *The homology group H_n is a free \mathfrak{S}_n -module of rank $2g(2g + 1) \dots (2g + n - 1)/n!$ with a basis $\{[\varphi_{(1_n,k)}] \mid k \in K_n\}$, where $1_n \in \mathfrak{S}_n$ is the unit.*

Proof. It is clear that $\mathbb{Z}(\mathfrak{S}_n \times K_n)$ is a free \mathfrak{S}_n -module with basis $\{(1_n, k) \mid k \in K_n\}$. The rank is equal to $\#K_n = 2g(2g + 1) \dots (2g + n - 1)/n!$. □

REMARK 1. Consequently, $H_n(\Sigma^n/\mathfrak{S}_n, (\Delta_n \cup A_n)/\mathfrak{S}_n; \mathbb{Z})$ is isomorphic to the n th symmetric tensor power $S^n H_1$ of H_1 . The kernel of the action $\mathcal{M}_{g,1} \rightarrow \text{Aut}(S^n H_1)$ is the Torelli group for any $n \geq 1$.

5. A homomorphism $\Phi : \mathbb{Z}\pi_1(\Sigma, p_0) \rightarrow \hat{H}$

Let $\mathbb{Z}\pi_1(\Sigma, p_0)$ be the group ring of $\pi_1(\Sigma, p_0)$ over \mathbb{Z} , which is an $\mathcal{M}_{g,1}$ -module with the action induced from ρ . In this section we define some $\mathcal{M}_{g,1}$ -equivariant ring homomorphism $\Phi : \mathbb{Z}\pi_1(\Sigma, p_0) \rightarrow \hat{H}$. Using this map, we will be able to compare the action of $\mathcal{M}_{g,1}$ on $\pi_1(\Sigma, p_0)$ with that on \hat{H} .

Let $\gamma \in \pi_1(\Sigma, p_0)$ be any element, and choose a representative $\tilde{\gamma} : [0, 1] \rightarrow \Sigma$, $\tilde{\gamma}(0) = \tilde{\gamma}(1) = p_0$, of γ . For an integer $n \geq 1$, we define an n -chain $c_\gamma^n : \Delta^n \rightarrow \Sigma^n$ by

$$c_\gamma^n(t_1, t_2, \dots, t_n) = (\tilde{\gamma}(t_1), \tilde{\gamma}(t_2), \dots, \tilde{\gamma}(t_n)),$$

for $(t_1, t_2, \dots, t_n) \in \Delta^n$. Note that $c_{\tilde{\gamma}}^n(\partial\Delta^n) \subset \Delta_n \cup A_n$ and that the homology class $[c_{\tilde{\gamma}}^n] \in H_n$ of $c_{\tilde{\gamma}}^n$ depends only on γ and does not depend on the choice of $\tilde{\gamma}$.

DEFINITION 1. Define an additive homomorphism $\phi_n : \mathbb{Z}\pi_1(\Sigma, p_0) \rightarrow H_n$ by

$$\phi_n(\gamma) = \begin{cases} [c_{\tilde{\gamma}}^n] & \text{if } n \geq 1, \\ 1 & \text{if } n = 0, \end{cases}$$

for any $\gamma \in \pi_1(\Sigma, p_0)$, and define the map $\Phi : \mathbb{Z}\pi_1(\Sigma, p_0) \rightarrow \hat{H}$ to be the formal series $\Phi = \sum_{n \geq 0} \phi_n$.

Clearly, every ϕ_n , $n \geq 0$, and Φ are $\mathcal{M}_{g,1}$ -module homomorphisms. Let $I = \text{Ker } \phi_0$ denote the augmentation ideal of $\mathbb{Z}\pi_1(\Sigma, p_0)$. Then $\mathbb{Z}\pi_1(\Sigma, p_0)$ is a filtered algebra with the descending filtration $\{I^n\}_{n \geq 0}$. The action of $\mathcal{M}_{g,1}$ on $\mathbb{Z}\pi_1(\Sigma, p_0)$ preserves this filtration.

PROPOSITION 5.1. *The map Φ is a filtered algebra homomorphism.*

Proof. We need only to prove that Φ preserves the product and the filtration. The function Φ preserves the product if and only if

$$\phi_n(\gamma\delta) = \sum_{i=0}^n \phi_i(\gamma)\phi_{n-i}(\delta) \tag{5.1}$$

for any $\gamma, \delta \in \pi_1(\Sigma, p_0)$ and $n \geq 0$. To prove this, we consider the partition of Δ^n as follows:

$$\begin{aligned} \Delta^n &= D_0 \cup D_1 \cup \dots \cup D_n, \\ D_i &= \{(x_1, \dots, x_n) \in \Delta^n \mid x_i \leq \frac{1}{2} \leq x_{i+1}\}, \quad (0 \leq i \leq n), \end{aligned}$$

where $x_0 = 0$, $x_{n+1} = 1$. Let $\tilde{\gamma}, \tilde{\delta} : ([0, 1], \{0, 1\}) \rightarrow (\Sigma, p_0)$ be the paths representing γ and δ respectively. Let $\tilde{\gamma}\tilde{\delta}$ be the path defined by

$$\tilde{\gamma}\tilde{\delta}(t) = \begin{cases} \tilde{\gamma}(2t) & 0 \leq t \leq \frac{1}{2}, \\ \tilde{\delta}(2t - 1) & \frac{1}{2} \leq t \leq 1, \end{cases}$$

which represents $\gamma\delta$. Since $\tilde{\gamma}\tilde{\delta}(1/2) = p_0$, the homology class $[c_{\tilde{\gamma}\tilde{\delta}}^n|_{D_i}] \in H_n$ of $c_{\tilde{\gamma}\tilde{\delta}}^n|_{D_i} : (D_i, \partial D_i) \rightarrow (\Sigma, p_0)^{\overline{n}}$ can be defined, and we have

$$\phi_n(\gamma\delta) = [c_{\tilde{\gamma}\tilde{\delta}}^n|_{D_0}] + [c_{\tilde{\gamma}\tilde{\delta}}^n|_{D_1}] + \dots + [c_{\tilde{\gamma}\tilde{\delta}}^n|_{D_n}].$$

The equation

$$[c_{\tilde{\gamma}\tilde{\delta}}^n|_{D_i}] = [c_{\tilde{\gamma}}^i][c_{\tilde{\delta}}^{n-i}] = \phi_i(\gamma)\phi_{n-i}(\delta)$$

is a consequence of the natural homeomorphism $D_i \cong \Delta^i \times \Delta^{n-i}$. Therefore, we obtain (5.1) as required.

By Lemma 5.2(i) below, the restriction $(\phi_0 + \phi_1 + \dots + \phi_{n-1})|_{I^n}$ is zero; that is, $\Phi(I^n) \subset \mathcal{F}_n(\hat{H})$. Hence Φ preserves the filtration. □

LEMMA 5.2. *Let $n \geq 1$ be an integer. For any element of the form $(\gamma_1 - 1)(\gamma_2 - 1) \dots (\gamma_n - 1) \in I^n$, $\gamma_i \in \pi_1(\Sigma, p_0)$, we have*

$$\Phi((\gamma_1 - 1)(\gamma_2 - 1) \dots (\gamma_n - 1)) \equiv \phi_1(\gamma_1)\phi_1(\gamma_2) \dots \phi_1(\gamma_n)$$

modulo $\mathcal{F}_{n+1}\hat{H}$. In particular, we have:

- (i) $\text{Ker } \phi_{n-1} \supset I^n$,
- (ii) $\phi_n((\gamma_1 - 1)(\gamma_2 - 1) \dots (\gamma_n - 1)) = \phi_1(\gamma_1)\phi_1(\gamma_2) \dots \phi_1(\gamma_n)$.

Proof. The statement is immediate from the facts that $\Phi(\gamma_i - 1) \equiv \phi_1(\gamma_i)$ modulo $\mathcal{F}_2\hat{H}$ and that Φ is a ring homomorphism. □

6. *Properties of Φ*

Let $q_n : \mathbb{Z}\pi_1(\Sigma, p_0) \rightarrow \mathbb{Z}\pi_1(\Sigma, p_0)/I^{n+1}$ be the quotient map. Since $\text{Ker } \phi_n \supset I^{n+1}$ by Proposition 5.2(i), ϕ_n induces the homomorphism

$$\phi'_n : \mathbb{Z}\pi_1(\Sigma, p_0)/I^{n+1} \longrightarrow H_n$$

such that $\phi'_n \circ q_n = \phi_n$. The homomorphism Φ gives the associated graded homomorphism

$$\text{gr } \Phi : \text{gr } \mathbb{Z}\pi_1(\Sigma, p_0) \longrightarrow \text{gr } \hat{H}$$

such that $\text{gr } \mathbb{Z}\pi_1(\Sigma, p_0) = \bigoplus_{n \geq 0} \text{gr}_n \mathbb{Z}\pi_1(\Sigma, p_0)$, $\text{gr}_n \mathbb{Z}\pi_1(\Sigma, p_0) = I^n/I^{n+1}$ and $\text{gr}_n \Phi = \phi'_n|_{I^n/I^{n+1}} : I^n/I^{n+1} \rightarrow H_n$.

Remember that there is an injective graded algebra homomorphism $\Psi : T[H_1] \rightarrow \text{gr } \hat{H}$ (Corollary 3.4(ii)).

LEMMA 6.1. *The graded homomorphism $\text{gr } \Phi$ is an isomorphism onto the subalgebra $\text{Im } \Psi \subset \hat{H}$.*

Proof. Clearly, $\text{gr}_0 \Phi$ is an isomorphism. Suppose that $n \geq 1$. By Lemma 5.2, for $\gamma_1, \gamma_2, \dots, \gamma_n \in \mathbb{Z}\pi_1(\Sigma, p_0)$, we have

$$\text{gr}_n \Phi((\gamma_1 - 1)(\gamma_2 - 1) \dots (\gamma_n - 1)) = \psi_n([\gamma_1] \otimes [\gamma_2] \otimes \dots \otimes [\gamma_n]),$$

where $[\gamma_i]$ is the homology class of γ_i . Since the homomorphism $I^n/I^{n+1} \rightarrow H_1^{\otimes n}$ defined by

$$(\gamma_1 - 1)(\gamma_2 - 1) \dots (\gamma_n - 1) \mapsto [\gamma_1] \otimes [\gamma_2] \otimes \dots \otimes [\gamma_n]$$

is an isomorphism, $\text{gr}_n \Phi$ is an isomorphism onto $\text{Im } \psi_n$. Hence, $\text{gr } \Phi$ is an isomorphism onto $\text{Im } \Psi$. □

Let $\Phi_n : \mathbb{Z}\pi_1(\Sigma, p_0)/I^{n+1} \rightarrow \hat{H}/\mathcal{F}_{n+1}\hat{H}$ be the homomorphism induced from Φ .

PROPOSITION 6.2. *The homomorphism Φ_n is injective.*

Proof. Write $Q_n = \mathbb{Z}\pi_1(\Sigma, p_0)/I^n$, $n \geq 0$. Since Φ preserves the filtrations (Proposition 5.1), there exists a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & I^n/I^{n+1} & \longrightarrow & Q_{n+1} & \longrightarrow & Q_n & \longrightarrow & 0 \\ & & \text{gr}_n \Phi \downarrow & & \Phi_n \downarrow & & \Phi_{n-1} \downarrow & & \\ 0 & \longrightarrow & H_n & \longrightarrow & \hat{H}/\mathcal{F}_{n+1}\hat{H} & \longrightarrow & \hat{H}/\mathcal{F}_n\hat{H} & \longrightarrow & 0 \end{array}$$

where the two rows are short exact sequences. By Lemma 6.1, $\text{gr}_n \Phi$ is injective for any $n \geq 0$, and therefore the injectivity of Φ_n is proved by induction on n . □

LEMMA 6.3. *Let $\alpha_1, \alpha_2, \dots, \alpha_{2g}$ be the free generators of $\pi_1(\Sigma, p_0) (\cong \pi_1(X, p_0))$ introduced in Section 4. For any $(\sigma, k) \in \mathfrak{S}_n \times K_n$ such that $k = (k_1, \dots, k_{2g})$,*

$$[\varphi_{(\sigma, k)}] = \sigma_* (\phi_{k_1}(\alpha_1)\phi_{k_2}(\alpha_2) \dots \phi_{k_{2g}}(\alpha_{2g})).$$

Proof. Since $[\varphi_{(\sigma, k)}] = \sigma_*[\varphi_{(1_n, k)}]$ by Proposition 4.2(ii), we prove the statement only when $\sigma = 1_n$. Let $l_i = (0, \dots, 0, k_i, 0, \dots, 0) \in K_{k_i}$ be the $2g$ -tuple of integers such that the only i th

component is k_i and the others are zero. Referring to the construction of $\varphi_{(\sigma,k)}$ in the proof of Proposition 4.2, one can verify that

$$[\varphi_{1_{k_i}, l_i}] = \phi_{k_i}(\alpha_i)$$

and

$$[\varphi_{(1_n, k)}] = [\varphi_{(1_{k_1}, l_1)}][\varphi_{(1_{k_2}, l_2)}] \cdots [\varphi_{(1_{k_{2g}}, l_{2g})}].$$

Therefore, we obtain

$$[\varphi_{(1_n, k)}] = \phi_{k_1}(\alpha_1)\phi_{k_2}(\alpha_2) \cdots \phi_{k_{2g}}(\alpha_{2g}). \quad \square$$

Let $R \subset \text{gr } \hat{H}$ be the subalgebra, generated by $\bigcup_{n \geq 0} \text{Im } \phi_n$, over \mathbb{Z} .

PROPOSITION 6.4. *The module $R_n = R \cap H_n$ generates H_n as an \mathfrak{S}_n -module.*

Proof. By Corollary 4.3, H_n is generated by the set $\{[\varphi_{(1_n, k)}] \mid k \in K_n\}$ as an \mathfrak{S}_n -module. This generating set is contained in R_n by Lemma 6.3. Therefore, R_n generates H_n as an \mathfrak{S}_n -module. \square

7. Proof of Theorem A

In the following, we will work with the three $\mathcal{M}_{g,1}$ -modules $\mathbb{Z}\pi_1(\Sigma, p_0)/I^{n+1}$, $\hat{H}/\mathcal{F}_{n+1}\hat{H}$, and R_n (instead of $\pi_1(\Sigma, p_0)$ and H_n) to compare the subgroups $\mathcal{M}_{g,1}(n)$ and $\mathcal{M}_{g,1}(n)'$. As we will see, the kernels of the actions on these modules coincide with $\mathcal{M}_{g,1}(n)$, $\mathcal{M}_{g,1}(n)'$, and $\mathcal{M}_{g,1}(n)'$, respectively. The last two kernels are determined with no difficulty and will be described in the proof of Theorem A below. For the first group $\mathbb{Z}\pi_1(\Sigma, p_0)/I^{n+1}$, the kernel can be determined as a consequence of a classical result given by Fox [2].

LEMMA 7.1. *For any integer $n \geq 0$, the kernel of the action*

$$\mathcal{M}_{g,1} \longrightarrow \text{Aut}(\mathbb{Z}\pi_1(\Sigma, p_0)/I^{n+1})$$

is $\mathcal{M}_{g,1}(n)$.

Proof. This statement is proved easily by using the fact that $\gamma \in \pi_1(\Sigma, p_0)$ belongs to Γ_{n+1} if and only if $\gamma - 1 \in I^{n+1}$ (see [2]). \square

We are now ready to prove Theorem A. For this, let us recall that we have an $\mathcal{M}_{g,1}$ -module homomorphism $\Phi_n : \mathbb{Z}\pi_1(\Sigma, p_0)/I^{n+1} \rightarrow \hat{H}/\mathcal{F}_{n+1}\hat{H}$. Also recall that, by definition, any element in R_n is the sum of elements of the form $a_1 a_2 \cdots a_l$, such that $a_j \in \text{Im } \phi_{k_j}$, $k_j \geq 0$, and $\sum_{j=1}^l k_j = n$.

Proof of Theorem A. First, we will prove that $\mathcal{M}_{g,1}(n)' \subset \mathcal{M}_{g,1}(n)$. Let S denote the kernel of the action of $\mathcal{M}_{g,1}$ on $\hat{H}/\mathcal{F}_{n+1}\hat{H}$. Since Φ_n is injective (Proposition 6.2), we have $S \subset \mathcal{M}_{g,1}(n)$ by Lemma 7.1. On the other hand, $\hat{H}/\mathcal{F}_{n+1}\hat{H}$ is isomorphic to $H_0 \oplus H_1 \oplus \cdots \oplus H_n$ as an $\mathcal{M}_{g,1}$ -module, and thus $S = \bigcap_{i=0}^n \mathcal{M}_{g,1}(n)' = \mathcal{M}_{g,1}(n)'$ by Corollary 3.4(i). Hence, we have $\mathcal{M}_{g,1}(n)' \subset \mathcal{M}_{g,1}(n)$.

Next, we will prove the converse result that $\mathcal{M}_{g,1}(n)' \supset \mathcal{M}_{g,1}(n)$. Since R_n generates H_n as an \mathfrak{S}_n -module (Proposition 6.4), it is enough to prove that $\mathcal{M}_{g,1}(n)$ acts on $\text{Im } \phi_i$ trivially for all $i = 1, 2, \dots, n$. Let $\varphi \in \mathcal{M}_{g,1}(n)$ and $\gamma \in \pi_1(\Sigma, p_0)$ be any two elements; then

$$\varphi_*(\phi_i(\gamma)) = \phi'_i(\varphi_*(q_i(\gamma))).$$

Here, the notation φ_* denotes the action of φ on H_i and $\mathbb{Z}\pi_1(\Sigma, p_0)/I^{i+1}$. It follows from Lemma 7.1 and $\mathcal{M}_{g,1}(i) \supset \mathcal{M}_{g,1}(n)$ that $\varphi_*(q_i(\gamma)) = q_i(\gamma)$, and therefore $\varphi_*(\phi_i(\gamma)) = \phi_i(\gamma)$. Hence, $\mathcal{M}_{g,1}(n)$ acts on $\text{Im } \phi_i$ trivially.

This completes the proof of Theorem A. □

As a corollary of Theorem A, it turns out that $\rho' : \mathcal{M}_{g,1} \rightarrow \text{Aut}(\hat{H})$ is faithful.

COROLLARY 7.2. *The action $\rho' : \mathcal{M}_{g,1} \rightarrow \text{Aut}(\hat{H})$ is faithful.*

Proof. By Theorem A, $\text{Ker } \rho' \subset \bigcap_{n \geq 0} \mathcal{M}_{g,1}(n) = \{1\}$. □

8. Some cohomology of the mapping class group

For integers n, l such that $n \geq 0$, let $\mathbf{S}(n, l)$ be the set of ‘ordered partitions’ of the set $I_n = \{1, 2, \dots, n\}$ with l blocks. More precisely, $\mathbf{S}(n, l)$ is defined as follows. In the case $l > 0$, we define

$$\mathbf{S}(n, l) = \left\{ (J_1, J_2, \dots, J_l) \left| \begin{array}{l} \emptyset \subsetneq J_i \subset I_n \\ J_1 \cup J_2 \cup \dots \cup J_l = I_n \\ J_i \cap J_j = \emptyset \quad (i \neq j) \end{array} \right. \right\},$$

and in the case $l \leq 0$, we define

$$\mathbf{S}(n, l) = \begin{cases} \{()\} & \text{if } (n, l) = (0, 0), \\ \emptyset & \text{otherwise.} \end{cases}$$

Here, $\mathbf{S}(0, 0)$ is understood as the set consisting of the ‘empty partition’ $()$ of $I_0 = \emptyset$. By definition, $\mathbf{S}(n, l) = \emptyset$ if $n < l$ or $n > l = 0$. The set $\mathbf{S}(n, l)$ has a free right \mathfrak{S}_l -action defined by $J\sigma = (J_{\sigma^{-1}(1)}, J_{\sigma^{-1}(2)}, \dots, J_{\sigma^{-1}(l)})$ for $J = (J_1, J_2, \dots, J_l) \in \mathbf{S}(n, l)$ and $\sigma \in \mathfrak{S}_l$, and it also has a left \mathfrak{S}_n -action as a permutation of I_n . Consequently, the free abelian group $\mathbb{Z}\mathbf{S}(n, l)$ generated by $\mathbf{S}(n, l)$ is a free right \mathfrak{S}_l -module and a left \mathfrak{S}_n -module. We remark that the number $S(n, l) = \#\mathbf{S}(n, l)/\mathfrak{S}_l$ of elements in the quotient set $\mathbf{S}(n, l)/\mathfrak{S}_l$ is nothing but the Stirling number of the second kind.

Recall that the $(\mathfrak{S}_n \times \mathcal{M}_{g,1})$ -module H_n is defined only when n is a non-negative integer. For simplicity of notation, if $n < 0$ then we denote by $H_n = \{0\}$ the trivial $(\mathfrak{S}_n \times \mathcal{M}_{g,1})$ -module, where $\mathfrak{S}_n = \{1\}$ is the trivial group. Similarly, if $n < 0$ then we define $\Sigma^n = A_n = \emptyset$, on which \mathfrak{S}_n acts trivially.

For integers p, q such that $q \geq 0$, we define an $(\mathfrak{S}_q \times \mathcal{M}_{g,1})$ -module $C^{p,q}$ by

$$C^{p,q} = \mathbb{Z}\mathbf{S}(q, q-p) \otimes_{\mathbb{Z}\mathfrak{S}_{q-p}} H_{q-p}.$$

Note that $C^{p,q}$ is isomorphic to $\mathbb{Z}(\mathbf{S}(q, q-p)/\mathfrak{S}_{q-p}) \otimes_{\mathbb{Z}} H_{q-p} \cong H_{q-p}^{\oplus S(q, q-p)}$ with the \mathfrak{S}_q -action induced from the left action on $\mathbf{S}(q, q-p)/\mathfrak{S}_{q-p}$. For example,

$$\mathbf{S}(3, 2) = \left\{ \begin{array}{l} (\{1\}, \{2, 3\}), \quad (\{2\}, \{3, 1\}), \quad (\{3\}, \{1, 2\}) \\ (\{2, 3\}, \{1\}), \quad (\{3, 1\}, \{2\}), \quad (\{1, 2\}, \{3\}) \end{array} \right\},$$

and so $C^{1,3}$ is isomorphic to $H_2^{\oplus S(3,2)} = H_2^{\oplus 3}$ (with the permutation action). See also equation (1.1) of $C^{p,q}$ given in Section 1. By definition, $C^{p,q} = \{0\}$ if $0 = p \leq q$ or $p > q$.

In order to explain a geometric meaning of $C^{p,q}$ (Lemma 8.1), we introduce a filtration of (Σ^n, A_n) :

$$\dots = A_{n,-1} \subset A_{n,0} \subset A_{n,1} \subset \dots \subset A_{n,n-1} \subset A_{n,n} = \dots \tag{8.1}$$

such that $A_{n,-1} = A_n$ and $A_{n,n} = \Sigma^n$ as follows. In the case $n > 0$, we define

$$\begin{aligned} \Delta_{n,l} &= \{(x_1, x_2, \dots, x_n) \in \Sigma^n \mid \#\{x_1, x_2, \dots, x_n\} \leq l\}, \\ A_{n,l} &= \Delta_{n,l} \cup A_n, \end{aligned}$$

and in the case $n = 0$, we define

$$A_{0,l} = \begin{cases} \Sigma^0 (= \{\text{pt}\}) & \text{if } l \geq 0, \\ \emptyset & \text{if } l < 0. \end{cases}$$

Let

$$F_{n,l} : (\mathbf{S}(n, l) \times_{\mathfrak{S}_l} \Sigma^l, \mathbf{S}(n, l) \times_{\mathfrak{S}_l} A_l) \longrightarrow (A_{n,l}, A_{n,l-1})$$

be the map defined by

$$F_{n,l}([J, x]) = (y_1, y_2, \dots, y_n), \quad y_i = x_j \text{ if } i \in J_j$$

for $[J, x] = [(J_1, J_2, \dots, J_l), (x_1, x_2, \dots, x_l)] \in \mathbf{S}(n, l) \times_{\mathfrak{S}_l} \Sigma^l$, where $\mathbf{S}(n, l) \times_{\mathfrak{S}_l} \Sigma^l$ is the quotient space of $\mathbf{S}(n, l) \times \Sigma^l$ by the equivalence relation $(J\sigma, x) \sim (J, \sigma x)$, $(J, x) \in \mathbf{S}(n, l) \times \Sigma^l$, $\sigma \in \mathfrak{S}_l$, and $[J, x]$ is the quotient image of (J, x) . Here, in the extreme case $(n, l) = (0, 0)$, the set $\mathbf{S}(0, 0) \times_{\mathfrak{S}_0} \Sigma^0$ consists of exactly one element $[(), \text{pt}]$, and $F_{0,0}$ is defined to be the unique map, namely, $F_{0,0}([(), \text{pt}]) = \text{pt}$.

Immediately, there is a canonical isomorphism

$$H_l(\mathbf{S}(n, l) \times_{\mathfrak{S}_l} \Sigma^l, \mathbf{S}(n, l) \times_{\mathfrak{S}_l} A_l; \mathbb{Z}) \cong \mathbb{Z}\mathbf{S}(n, l) \bigotimes_{\mathbb{Z}\mathfrak{S}_l} H_l = C^{n-l,n},$$

and hence $F_{n,l}$ gives an $(\mathfrak{S}_n \times \mathcal{M}_{g,1})$ -module homomorphism

$$F_{n,l*} : C^{n-l,n} \longrightarrow H_l(A_{n,l}, A_{n,l-1}; \mathbb{Z}). \tag{8.2}$$

LEMMA 8.1. *For integers n, l such that $n \geq 0$, the homology group $H_m(A_{n,l}, A_{n,l-1}; \mathbb{Z})$ vanishes if $m \neq l$, and $F_{n,l*}$ is an isomorphism.*

Proof. The proof is very similar to that of Lemma 3.2. Let $f_{n,l} : \mathbf{S}(n, l) \times_{\mathfrak{S}_l} A_l \rightarrow A_{n,l-1}$ denote the restriction of $F_{n,l}$, and let

$$V = A_{n,l-1} \bigcup_{f_{n,l}} (\mathbf{S}(n, l) \times_{\mathfrak{S}_l} \Sigma^l)$$

be the attaching space. It follows from Proposition 3.3(i) that

$$\begin{aligned} H_m(V, A_{n,l-1}; \mathbb{Z}) &\cong \mathbb{Z}\mathbf{S}(n, l) \bigotimes_{\mathbb{Z}\mathfrak{S}_l} H_m(\Sigma^l, \Delta_l \cup A_l) \\ &= \begin{cases} C^{n-l,n} & \text{if } m = l, \\ 0 & \text{if } m \neq n. \end{cases} \end{aligned}$$

There $F_{n,l}$ induces a homeomorphism

$$(V, A_{n,l-1}) \cong (A_{n,l}, A_{n,l-1}),$$

which is proved in the same way as the proof that the map f_2 (defined in the proof of Lemma 3.2) is a homeomorphism. Therefore, $H_l(V, A_{n,l-1}; \mathbb{Z}) \cong H_l(A_{n,l}, A_{n,l-1}; \mathbb{Z})$. \square

From now on, we will identify $C^{p,q}$ with $H_{q-p}(A_{q,q-p}, A_{q,q-p-1}; \mathbb{Z})$ via the isomorphism (8.2). Let

$$d_{p,q} : C^{p,q} \longrightarrow C^{p+1,q}$$

denote the homology connecting homomorphism for the triple

$$(A_{q,q-p}, A_{q,q-p-1}, A_{q,q-p-2}),$$

and write

$$d_p = \bigoplus_{q \geq 0} d_{p,q} : C^p \longrightarrow C^{p+1}, \quad C^p = \bigoplus_{q \geq 0} C^{p,q}.$$

Since $d_{p+1}d_p = 0$, the sequence

$$0 \longrightarrow C^0 \xrightarrow{d_0} C^1 \xrightarrow{d_1} C^2 \xrightarrow{d_2} \dots \xrightarrow{d_{p-1}} C^p \xrightarrow{d_p} \dots$$

is a cochain complex of $\mathcal{M}_{g,1}$ -modules, and we denote this cochain complex by C . Since the set $\mathbf{S}(q, q)$ consists of exactly one element $(\{1\}, \{2\}, \dots, \{q\})$ for $q \geq 0$, we shall identify the $(\mathfrak{S}_q \times \mathcal{M}_{g,1})$ -modules $C^{0,q}$ with H_q . Consequently, $C^0 = \text{gr } \hat{H}$. Let $H^*(\mathcal{M}_{g,1}; C)$ denote the cohomology group of the group $\mathcal{M}_{g,1}$ with coefficients in C (cf. [1, VII,5]).

Remember that there is an injective $\mathcal{M}_{g,1}$ -module homomorphism (Corollary 3.4(ii)):

$$\Psi = \bigoplus_{q \geq 0} \psi_q : T[H_1] \longrightarrow \text{gr } \hat{H} = C^0.$$

PROPOSITION 8.2. *The sequence of $\mathcal{M}_{g,1}$ -module homomorphisms*

$$0 \longrightarrow T[H_1] \xrightarrow{\Psi} C^0 \xrightarrow{d_0} C^1 \xrightarrow{d_1} \dots \xrightarrow{d_{p-1}} C^p \xrightarrow{d_p} \dots \tag{8.3}$$

is exact.

Proof. Fix an integer $n \geq 0$, and consider the homology spectral sequence associated to the filtration (8.1) of (Σ^n, A_n) :

$$E_{r,s}^1 = H_{r+s}(A_{n,r}, A_{n,r-1}; \mathbb{Z}) \implies H_{r+s}(\Sigma^n, A_n; \mathbb{Z})$$

(cf. [13, pp. 472–473]). By Lemma 8.1,

$$E_{r,s}^1 = \begin{cases} C^{n-r,n} & \text{if } s = 0, \\ 0 & \text{otherwise,} \end{cases}$$

and the differential $d_{r,0}^1 : E_{r,0}^1 \rightarrow E_{r-1,0}^1$ coincides with $d_{n-r,n}$. Since $E_{r,s}^1 = 0$ unless $s = 0$, the spectral sequence converges at the E^2 -term so that $E_{r,0}^2 \cong E_{r,0}^\infty$. On the other hand,

$$E_{r,0}^\infty = H_r(\Sigma^n, A_n; \mathbb{Z}) = \begin{cases} H_1^{\otimes n} & \text{if } r = n, \\ 0 & \text{otherwise.} \end{cases}$$

This means that the sequence

$$0 \longrightarrow H_1^{\otimes n} \xrightarrow{\psi_n} C^{0,n} \xrightarrow{d_{0,n}} C^{1,n} \xrightarrow{d_{1,n}} \dots \xrightarrow{d_{n-1,n}} C^{n,n} \xrightarrow{d_{n,n}} 0 \longrightarrow \dots$$

is exact, and this is the degree- n part of (8.3). □

Let $H^*(\mathcal{M}_{g,1}; T[H_1])$ be the cohomology of $\mathcal{M}_{g,1}$ with coefficients in $T[H_1]$. Note that $H^*(\mathcal{M}_{g,1}; T[H_1])$ coincides with the cohomology group of $\mathcal{M}_{g,1}$ with coefficients in the trivial cochain complex $0 \rightarrow T[H_1] \rightarrow 0 \rightarrow 0 \rightarrow \dots$. Let

$$\Psi_* : H^*(\mathcal{M}_{g,1}; T[H_1]) \longrightarrow H^*(\mathcal{M}_{g,1}; C) \tag{8.4}$$

be the homomorphism obtained by regarding Ψ as a cochain homomorphism.

$$\begin{array}{ccccccc}
 0 & \longrightarrow & T[H_1] & \longrightarrow & 0 & \longrightarrow & 0 & \longrightarrow & \dots \\
 \downarrow & & \Psi \downarrow & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & C^0 & \xrightarrow{d_0} & C^1 & \xrightarrow{d_1} & C^2 & \xrightarrow{d_2} & \dots
 \end{array} \tag{8.5}$$

We are now ready to prove Theorem B.

Proof of Theorem B. Proposition 8.2 implies that the cochain homomorphism (8.5) is a quasi-isomorphism. Consequently, Ψ_* is an isomorphism. \square

Acknowledgements. The author would like to express his gratitude to Professor Miko Furuta for helpful suggestions and encouragement. He would also like to thank Professors Shigeyuki Morita, Toshitake Kohno, Tomohide Terasoma, Nariya Kawazumi, Makoto Matsumoto, and Teruaki Kitano for valuable discussions and advice.

References

1. K. S. BROWN, *Cohomology of groups*, Graduate Texts in Mathematics 87 (Springer-Verlag, New York, 1982).
2. R. H. FOX, 'Free differential calculus: I', *Ann. of Math.* 57 (1953) 547–560.
3. S. GAROUFALIDIS and J. LEVINE, 'Tree-level invariants of three-manifolds, Massey products and the Johnson homomorphism', *Graphs and patterns in mathematics and theoretical physics*, Proceedings of Symposia in Pure Mathematics 73 (American Mathematical Society, Providence, RI, 2005) 173–205.
4. D. JOHNSON, 'An abelian quotient of the mapping class group \mathcal{I}_g ', *Math. Ann.* 249 (1980) 225–242.
5. D. JOHNSON, *A survey of the Torelli group*, Contemporary Mathematics 20 (American Mathematical Society, Providence, RI, 1983) 165–179.
6. N. KAWAZUMI, 'A generalization of the Morita–Mumford classes to extended mapping class group for surfaces', *Invent. Math.* 131 (1998) 137–149.
7. N. KAWAZUMI, 'On the stable cohomology algebra of extended mapping class group for surfaces', <http://eprints.math.sci.hokudai.ac.jp/archive/00000473>, Eprint Series of Department of Mathematics #311, Hokkaido University (1995).
8. T. KITANO, 'Johnson's homomorphisms of subgroups of the mapping class group, the Magnus expansion and Massey higher products of mapping tori', *Topology Appl.* 69 (1996) 165–172.
9. S. MORITA, 'Casson's invariant for homology 3-spheres and characteristic classes of surface bundles: I', *Topology* 28 (1989) 305–323.
10. S. MORITA, 'On the structure of the Torelli group and the Casson invariant', *Topology* 30 (1991) 603–621.
11. S. MORITA, 'Abelian quotients of subgroups of the mapping class group of surfaces', *Duke Math. J.* 70 (1993) 699–726.
12. S. MORITA, 'Structure of the mapping class groups of surfaces: a survey and a prospect', *Proceedings of the Kirbyfest*, Geometry & Topology Monographs 2 (Geom. Topol. Publ., Coventry, 1999) 349–406.
13. E. SPANIER, *Algebraic topology* (McGraw-Hill, New York, 1966).

Tetsuhiro Moriyama
 The Graduate School of Mathematical Sciences
 The University of Tokyo
 Komaba Meguro-ku
 Tokyo 153
 Japan
 tetsuhir@ms.u-tokyo.ac.jp