

HOMOMORPHISMS BETWEEN BRAID GROUPS

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ABSTRACT. We give a complete classification of homomorphisms from the braid group on n strands to the braid group on $2n$ strands when n is at least 5. We also classify endomorphisms of the braid group on 4 strands, as well as homomorphisms from the commutator subgroup of the braid group on n strands to the braid group on $2n - 5$ strands. Our classifications suggest a recursive classification of homomorphisms between any braid groups. We also give a simple, geometric proof of a theorem of Lin that highly constrains the holomorphic maps that may exist between spaces of monic, square-free polynomials of two given degrees.

1. INTRODUCTION

Let B_n denote the braid group on n strands. A fundamental problem about these groups is to classify all homomorphisms $B_n \rightarrow B_m$ for various n and m . Work of Artin [4], Lin [32], Dyer–Grossman [16], Bell–Margalit [7], and Castel [11] gives a complete classification for $n \geq 6$ and $m \leq n + 1$. We extend the classification to the case $n \geq 5$ and $m \leq 2n$. For $m = 2n$ there are three new types of homomorphisms that do not arise when $m < 2n$.

Standard homomorphisms. As usual we denote the standard generators for B_n by $\sigma_1, \dots, \sigma_{n-1}$. We have the following standard homomorphisms $B_n \rightarrow B_{2n}$ (we compose braids right to left).

- (1) *Trivial:* $\sigma_i \mapsto 1$
- (2) *Inclusion:* $\sigma_i \mapsto \sigma_i$
- (3) *Diagonal inclusion:* $\sigma_i \mapsto \sigma_i \sigma_{n+i}$
- (4) *Flip diagonal inclusion:* $\sigma_i \mapsto \sigma_i \sigma_{n+i}^{-1}$
- (5) *k -twist cabling:* $\sigma_i \mapsto \sigma_{2i} \sigma_{2i-1} \sigma_{2i+1} \sigma_{2i} \sigma_{2i-1}^k$

There is one k -twist cabling map for each $k \in \mathbb{Z}$. Figure 1 shows the image of σ_i under the k -twist cabling map. The first two maps also define homomorphisms $B_n \rightarrow B_m$ with $n \leq m < 2n$.

Our main theorem, Theorem 1.1 below, says for $n \geq 5$, every homomorphism $B_n \rightarrow B_{2n}$ is equivalent to exactly one of these standard homomorphisms. In order to state the theorem precisely, we need to define the equivalence relation, which in turn relies on two other notions, conjugation and transvection.

Almost-conjugations. Let G be a group, and let $\rho_0 : B_n \rightarrow G$ be a homomorphism. We say that another homomorphism $\rho_1 : B_n \rightarrow G$ is conjugate to ρ_0 if there is an inner automorphism $A \in \text{Inn } G$ so that $\rho_1 = A \circ \rho_0$. Further, we say that ρ_1 is *almost-conjugate* to ρ_0 if $\rho_1 = A \circ \rho_0$ with $A \in \text{Aut } G$. Let $G \rightarrow \text{Aut } G$ be the natural map to the inner automorphism group

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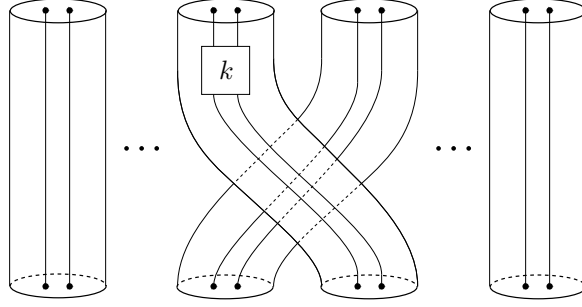


FIGURE 1. The image of σ_i under the k -twist cabling map: the i th and $(i+1)$ st cable cross, and inside the i th cable there are k half-twists

$\text{Inn } G \leq \text{Aut } G$, so an element g maps to conjugation by g . The sense in which almost-conjugations are similar to conjugations is that the compositions

$$B_n \xrightarrow{\rho_0} G \rightarrow \text{Aut } G \quad \text{and} \quad B_n \xrightarrow{\rho_1} G \rightarrow \text{Aut } G,$$

are conjugate whenever ρ_0 and ρ_1 are almost conjugate.

Transvections. Next, given a homomorphism $\rho : B_n \rightarrow G$ as above, we say that a homomorphism $B_n \rightarrow G$ is a *transvection* of ρ if its action on generators is given by

$$\sigma_i \mapsto \rho(\sigma_i) t$$

for some $t \in G$. We denote the transvection by ρ^t . We emphasize that the transvection is only defined if the given formula defines a homomorphism. In this case, we refer to t as a transvecting element for ρ . We will prove in Section 4 that t is a transvecting element for ρ if and only if $\rho(\sigma_i) t \rho(\sigma_i)^{-1}$ is independent of i , and further this is true if and only if t lies in the centralizer of $\rho(B_n)$ (Proposition 4.2). In particular, if t centralizes $\rho(B_n)$ then t is a transvecting element; in this case we refer to ρ^t as a central transvection of ρ . If ρ^t is a central transvection of ρ then we have the formula

$$\rho^t(g) = \rho(g) t^{L(g)}$$

where $L : B_n \rightarrow \mathbb{Z}$ is the length homomorphism (or abelianization map). As we will explain in Section 4, it is a consequence of our main theorem that the transvecting elements for a homomorphism $\rho : B_n \rightarrow B_{2n}$ are essentially the elements supported in subsurfaces of \mathbb{D}_{2n} on which the action of B_n on \mathbb{D}_{2n} (via ρ) is cyclic.

We say two homomorphisms $B_n \rightarrow G$ are *equivalent* if one is almost-conjugate to a transvection of the other (we verify in Section 4 that this is an equivalence relation). We say two homomorphisms are *centrally equivalent* if the transvection is central. A homomorphism $B_n \rightarrow G$ is equivalent to the trivial map if and only if it has cyclic image.

Statement of the main theorem. We now arrive at our main result, which completely classifies homomorphisms $B_n \rightarrow B_{2n}$ for $n \geq 5$. We address the case $n = 4$ in Theorem 8.4 in Section 8.

Theorem 1.1. *Let $n \geq 5$, and let $\rho : B_n \rightarrow B_{2n}$ be a homomorphism. Then ρ is equivalent to exactly one standard homomorphism ρ_0 . Moreover ρ is centrally equivalent to ρ_0 .*

Of course Theorem 1.1 is only as useful as our understanding of $\text{Aut}(B_n)$ and the central transvections. It is a theorem of Dyer–Grossman that $\text{Aut}(B_n)$ is isomorphic to $B_n/Z(B_n) \rtimes \mathbb{Z}/2$, where the first factor acts by conjugation and the second factor acts by inversion:

$\sigma_i \mapsto \sigma_i^{-1}$. Further, the centralizers of the images of the standard homomorphisms $B_n \rightarrow B_{2n}$ can be completely described; see Section 4.

Maps to smaller braid groups. Theorem 1.1 further gives a classification of all homomorphisms $B_n \rightarrow B_m$ with $m < 2n$. Indeed, given a map $\rho : B_n \rightarrow B_m$ with $m \leq 2n$, we may post-compose with the inclusion $B_m \rightarrow B_{2n}$ to obtain a homomorphism to which Theorem 1.1 applies. We say that ρ is standard if the composition is.

Corollary 1.2. *Let $n \geq 5$, let $n \leq m < 2n$, and let $\rho : B_n \rightarrow B_m$ be a homomorphism. Then ρ is equivalent to exactly one standard homomorphism ρ_0 , that is, the trivial map or the inclusion map. Moreover ρ is centrally equivalent to ρ_0 .*

Sharpness of the lower bound. The lower bound $n \geq 5$ in the statement of Theorem 1.1 is sharp. Indeed, there is a surjective homomorphism $B_4 \rightarrow B_3$ given by $\sigma_1, \sigma_3 \mapsto \sigma_1$ and $\sigma_2 \mapsto \sigma_2$; we refer to this as the *exceptional homomorphism* $B_4 \rightarrow B_3$. This map is not equivalent to any of the above standard homomorphisms.

There are also many non-standard homomorphisms $B_3 \rightarrow B_m$ for $m \geq 3$, for instance, $\sigma_1 \mapsto \sigma_1^{-1}\sigma_2^2$ and $\sigma_2 \mapsto \sigma_2^{-1}\sigma_1^2$. This homomorphism was defined by Castel in a slightly different context [10, Proposition 14.1]. It is perhaps not surprising that such homomorphisms exist since the quotient of B_3 by its center is the amalgamated free product $\mathbb{Z}/4 *_{\mathbb{Z}/2} \mathbb{Z}/6$.

By post-composing the exceptional homomorphism $B_4 \rightarrow B_3$ with a non-standard homomorphism $B_3 \rightarrow B_m$, we obtain non-standard homomorphisms $B_4 \rightarrow B_m$ for $m \geq 3$.

Despite the existence of the exceptional homomorphism $B_4 \rightarrow B_3$, we are still able to completely classify all homomorphisms $B_4 \rightarrow B_4$ (hence all homomorphisms $B_4 \rightarrow B_3$) by extending our methods to this case; see Theorem 8.4 in Section 8. In light of this result, it would make sense to refer to the exceptional homomorphism $B_4 \rightarrow B_3$ as standard.

Homomorphisms of the commutator subgroup. The commutator subgroup B'_n of B_n is the kernel of the abelianization $L : B_n \rightarrow \mathbb{Z}$. There is an inclusion map $i : B_{n-2} \rightarrow B'_n$ given by $\sigma_i \mapsto \sigma_i\sigma_{n-1}^{-1}$ for $i \in \{1, \dots, n-3\}$. A homomorphism $\rho : B'_n \rightarrow B_{2n-5}$ thus induces a homomorphism $\rho \circ i : B_{n-2} \rightarrow B_{2n-5}$. By Theorem 1.1, the latter is equivalent to the trivial map or the inclusion map; this gives a strong restriction on ρ . Also, since B'_n is perfect, all transvections of homomorphisms of B_n are trivial. We thus arrive at the following corollary.

Corollary 1.3. *Let $n \geq 7$. If $\rho : B'_n \rightarrow B_{2n-5}$ is a nontrivial homomorphism, ρ is almost-conjugate to the inclusion map.*

We derive Corollary 1.3 from Theorem 1.1 in Section 12. This corollary generalizes a result of the second and third authors [28], who proved for $n \geq 7$ that any nontrivial homomorphism $B'_n \rightarrow B_n$ is almost-conjugate to the inclusion map. The argument in that paper uses a different approach, the theory of totally symmetric sets. Orevkov [39] later extended the classification to homomorphisms $B'_n \rightarrow B_n$ with $n \geq 4$.

In a 1996 preprint, Vladimir Lin asked a series of four increasingly general questions [31, 0.9.2(b)–0.9.2(e)] about endomorphisms of B'_n , the last being: does every automorphism of B'_n extend to an automorphism of B_n ? The theorem of the second and third authors already implies that the answer is yes, and Corollary 1.3 is a further extension.

Prior to all of these results, the group of automorphisms of B'_n was determined for $n \geq 4$ by Orevkov [40]. An alternate proof for $n \geq 7$ was given by McLeay [37].

Spaces of polynomials. Let Poly_n denote the space of monic, square-free polynomials of degree n with complex coefficients. This space is the same as the space of unordered configurations of n points in the plane (the n points are the roots). As such, we have that $\pi_1(\text{Poly}_n)$ is isomorphic to B_n .

There is a surjective map $\text{Poly}_4 \rightarrow \text{Poly}_3$ that arises in the resolution of quartic polynomials into cubic polynomials. The induced map on fundamental groups is the exceptional homomorphism $B_4 \rightarrow B_3$ described above.

By work of Lin [33, Theorem 9.4] and Murasugi [38], a holomorphic map $\text{Poly}_n \rightarrow \text{Poly}_m$ induces a homomorphism $B_n \rightarrow B_m$ that sends periodic elements to periodic elements (a braid is periodic if and only if it has a central power). Lin refers to such a homomorphism as a *special homomorphism*. We prove the following result, which generalizes a theorem of Lin.

Theorem 1.4. *Let $n \geq 5$ and $m \geq 1$. If m and $m - 1$ are both indivisible by one of n or $n - 1$, then all special homomorphisms $B_n \rightarrow B_m$ have cyclic image.*

Lin proved that if $n(n - 1)$ does not divide $m(m - 1)$, then all special homomorphisms $B_n \rightarrow B_m$ have cyclic image [32, Corollary 1.16]. Since $\gcd(n, n - 1) = \gcd(m, m - 1) = 1$, Lin's hypothesis is stronger than the one in Theorem 1.4.

We give a simple, geometric proof of Theorem 1.4 in Section 6; see Proposition 6.1(1) and Lemma 8.2.

Our results give further information about special homomorphisms (hence about maps $\text{Poly}_n \rightarrow \text{Poly}_m$). For instance, Theorem 1.1 implies for $n \geq 5$ that the only special homomorphisms $B_n \rightarrow B_n$ are equivalent to the identity or the trivial map (this part follows from Castel's work; see below).

Also, our classification of homomorphisms $B_4 \rightarrow B_4$ (Theorem 8.4) shows that the only special homomorphisms $B_4 \rightarrow B_4$ are equivalent to the trivial map, the identity map, or the composition $B_4 \rightarrow B_3 \rightarrow B_4$, where the first map is the (surjective) exceptional homomorphism and the second map is the standard inclusion. Finally, it follows from Theorem 8.4 that the only special homomorphisms $B_4 \rightarrow B_3$ are equivalent to either the trivial map or to the exceptional map. We can interpret the last statement as saying that there is essentially only one way to resolve quartic polynomials into cubic polynomials.

Maps to larger braid groups. Because the number of different types of standard homomorphisms $B_n \rightarrow B_m$ jumps from 2 to 5 when m increases from $2n - 1$ to $2n$ (and the total number of standard homomorphisms jumps from 2 to ∞), it may seem hopeless to classify all homomorphisms $B_n \rightarrow B_m$ when m is large. But the problem is more tractable than it seems at first: each of the 5 standard homomorphisms $B_n \rightarrow B_{2n}$ is built from the standard homomorphisms $B_n \rightarrow B_m$ with $m \leq n$, in a sense which we now explain.

In this discussion, it will be useful to regard B_n as the mapping class group of a disk \mathbb{D}_n with n marked points $\{x_1, \dots, x_n\}$; this is the group of connected components of the group of homeomorphisms of \mathbb{D}_n that fix the boundary pointwise. A *multicurve* in \mathbb{D}_n is the isotopy class of a nonempty collection of pairwise disjoint, homotopically nontrivial, non-peripheral, homotopically distinct simple closed curves in $\mathbb{D}_n - \{x_1, \dots, x_n\}$. A map $\rho : B_n \rightarrow B_m$ is *reducible* if there is a multicurve M in \mathbb{D}_m preserved by $\rho(B_n)$.

We think of a reducible homomorphism as a cabling map, and in fact we will refer to them as such in what follows. In the standard braid picture, the multicurve M traces out the boundary of a cable. We allow for the possibility that M has a single component (so that there is only one cable with multiple braid strands), for instance in the case of the inclusion map $B_n \rightarrow B_{n+1}$.

Our Theorem 1.1 can be thought of as saying that every map $B_n \rightarrow B_{2n}$ is a cabling, and further classifying all cablings. For example, the inclusion map has one cable with n strands inside. The diagonal and flip diagonal inclusions have two cables, each with n strands inside, and the k -twist cablings have n cables, each with two strands inside.

One can hope to classify homomorphisms $B_n \rightarrow B_m$ with $m > n$ in a similar manner. As a first step, we have the following question.

Question 1.5. *Let $m > n$. Is it true that all homomorphisms $\rho : B_n \rightarrow B_m$ with non-cyclic image are cablings? In other words, are they all reducible?*

If the answer to this question is yes, then one can further hope to give a recursive classification of homomorphisms $B_n \rightarrow B_m$ as follows. As explained in Section 3, a cabling map $\rho : B_n \rightarrow B_m$ decomposes into a collection of homomorphisms from B_n (or the subgroups of finite index $L^{-1}(k\mathbb{Z})$) to braid groups B_{m_i} with $m_i < m$. For example, the flip diagonal inclusion map $B_n \rightarrow B_{2n}$ decomposes into three maps: the inclusion map $B_n \rightarrow B_n$, the inversion map $B_n \rightarrow B_n$, and the trivial map $B_n \rightarrow B_2$ (the latter is the exterior component, or outer level, of the flip diagonal inclusion map, described in Section 3). In this way, a classification of maps $B_n \rightarrow B_\ell$ with $\ell < m$ (and $L_n^{-1}(k\mathbb{Z}) \rightarrow B_\ell$ with $\ell < m$) yields, recursively, a classification of maps $B_n \rightarrow B_m$.

Question 1.5 is one version of the question of whether all homomorphisms between mapping class groups are induced—in Mirzakhani’s words—from “some manipulations of surfaces” [3, p. 3]. There are many results showing that homomorphisms between certain mapping class groups are induced by inclusions of surfaces; see the work of Ivanov [24], Irmak [21, 22], Shackleton [41], and Aramayona–Souto [3]. There are many other related results, most of which can be found in the references to Ivanov’s paper on MathSciNet.

Aramayona–Leininger–Souto [2] gave examples of injective homomorphisms between mapping class groups of closed surfaces. Since it is impossible for one closed surface to embed into another, these homomorphisms are of a different nature than the ones discussed in the previous paragraph; in fact, in their examples there are pseudo-Anosov mapping classes that map to multitwists. On the other hand, the Aramayona–Leininger–Souto homomorphisms are constructed by lifting to a covering space, which is indeed a manipulation of surfaces.

One might expect that the Aramayona–Leininger–Souto construction could be used to produce homomorphisms $B_n \rightarrow B_m$ where pseudo-Anosov elements map to multitwists. This seems unlikely, however, because many of the branched covers of \mathbb{D}_n have positive genus.

Prior results. An early precursor to Theorem 1.1 is a theorem of Artin from 1947, which states that any homomorphism from B_n to the symmetric group S_n with transitive image is either cyclic or is conjugate to the standard projection [4]. In 1996, Lin [32, Theorem F] classified all homomorphisms $B_n \rightarrow S_{2n}$ with transitive image (they all arise from our standard homomorphisms $B_n \rightarrow B_{2n}$). Using the classification of homomorphisms $B_n \rightarrow S_k$ with $k < n$, he further proved [32, Theorem A] that every homomorphism $B_n \rightarrow B_k$ has cyclic image provided $k < n$.

Dyer–Grossman [16, Theorem 19] proved in 1981 that $\text{Aut}(B_n) \cong B_n/Z(B_n) \rtimes \mathbb{Z}/2$, answering a question of Artin (Pietrowski and Solitar [26] previously proved this for $n \leq 4$ and conjectured the more general statement). Bell and the third author proved in 2006 that every injective homomorphism $B_n \rightarrow B_{n+1}$ is equivalent to the standard inclusion [7, Main Theorem 2]. Castel improved on this result by showing that every homomorphism $B_n \rightarrow B_{n+1}$ with non-cyclic image is of the same form [11, Theorem 4(iii)].

There are many other works describing various types of maps between various types of braid groups; see, for instance, the work of An [1], Bardakov [5], Bell and the third author [8], Childers [14], Cohen [15], Irmak–Ivanov–McCarthy [23], Leininger and the third author [30], McLeay [37], Orevkov [40], Zhang [43], and the first author [12].

Idea of the proof. The overarching strategy for the proof of Theorem 1.1 is to classify homomorphisms $B_n \rightarrow B_m$ inductively. The base case $m = n$ is due to Castel (as mentioned above, this is [11, Theorem 4(iii)]). We give a new, relatively short proof of Castel’s theorem. Also, we improve the hypothesis of Castel’s theorem from $n \geq 6$ to $n \geq 5$. As in the statement of Theorem 1.1, this bound is sharp because of the surjective homomorphism $B_4 \rightarrow B_3$.

For both the base case and the inductive step, we analyze a homomorphism $B_n \rightarrow B_m$ by considering the various possibilities for the images of the periodic elements of B_n . The braid group is torsion free; the periodic elements of B_n are defined to be the ones that are periodic in the sense of the Nielsen–Thurston classification.

In the arguments, we focus on a specific periodic element $\alpha_1 \in B_n$; this corresponds to rotation of \mathbb{D}_n by $2\pi/n$. According to the Nielsen–Thurston classification theorem, there are three possibilities for the image of α_1 under a homomorphism $\rho : B_n \rightarrow B_m$: it can be either pseudo-Anosov, periodic, or reducible. We treat the three cases in turn. We give a more detailed description of our approach in Section 2.

Our approach in this paper stands in contrast to the works of Ivanov [24], Ivanov–McCarthy [25], Bell and the third author [7], and Aramayona–Souto [3], where homomorphisms between mapping class groups are understood by considering the different possibilities for the image of a Dehn twist. The approach of using periodic elements has, on the other hand, also been used in the theory of mapping class groups, for instance in the work of Harvey–Korkmaz [20], of Tchangang [42], and of Lanier and the first author [13].

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2. SETUP AND OVERVIEW

We give here an overview of the paper, and also introduce some notation and ideas that will be used throughout. In this paper we make extensive use of the Nielsen–Thurston classification for mapping class groups and the related theory of canonical reduction systems; see [18, Chapter 13].

Outline. As per the introduction, we prove Theorem 1.1 by classifying maps $B_n \rightarrow B_m$ inductively. Along the way, we work with the group of even braids B_n^2 . This is the subgroup of B_n generated by all squares of all elements, or alternatively the kernel of the mod 2 abelianization homomorphism $B_n \rightarrow \mathbb{Z}/2$. Our induction has three steps.

Base case. A classification of homomorphisms $B_n \rightarrow B_n$.

Extension of the base case. A classification of homomorphisms $B_n^2 \rightarrow B_n$.

Inductive step. A classification of homomorphisms $B_n \rightarrow B_m$ with $n < m \leq 2n$.

As above, the base case is a theorem of Castel. As discussed in the introduction, for all three steps we analyze a homomorphism from B_n to B_m by considering the various possibilities for the images of the periodic elements of B_n . Again, an element is periodic if it is periodic in the sense of the Nielsen–Thurston classification. Equivalently, an element of B_n is periodic if its image has finite order in \bar{B}_n , the quotient of B_n by its center $Z(B_n)$.

The quotient \bar{B}_n is isomorphic to a subgroup of the mapping class group of a sphere $S_{0,n+1}$ with $n + 1$ marked points, namely, the subgroup consisting of elements that fix a distinguished marked point p . Given a homomorphism $\rho : B_n \rightarrow B_m$ we will often consider the associated homomorphism $\bar{\rho} : B_n \rightarrow \bar{B}_m$, which is the post-composition of ρ with the projection $B_m \rightarrow \bar{B}_m$.

We specifically utilize two particular periodic elements $\alpha_1 = \sigma_{n-1} \cdots \sigma_2 \sigma_1$ and $\alpha_2 = \sigma_{n-1} \cdots \sigma_2 \sigma_1^2$ (again composing braids right to left). The elements α_1^n and α_2^{n-1} are equal; we denote this element by z . The element z generates $Z(B_n) \cong \mathbb{Z}$. We denote by $\bar{\alpha}_k$ the image of α_k in \bar{B}_n , so $\bar{\alpha}_1$ is a rotation of $S_{0,n+1}$ by $2\pi/n$ and $\bar{\alpha}_2$ is a rotation by $2\pi/(n-1)$.

The remainder of the paper is organized into three parts. The first part is setup, the second part is the proof of Castel’s theorem (the base case), and the third part is the rest of the proof of Theorem 1.1, including the extension of the base case and the inductive step.

Part I: Setup. In Sections 3 and 4 we introduce two basic notions that are used throughout the paper. More specifically, in Section 3 we describe the stabilizer in the braid group of an unnested multicurve. We give a semi-direct product structure on the latter that we call the interior/exterior decomposition. There are two versions, Lemmas 3.1 and 3.2. Then in Section 4 we prove that the relation from the introduction is an equivalence relation (Proposition 4.1) and also prove the characterizations of transvecting elements from the introduction (Proposition 4.2).

Part II: Proof of Castel’s theorem. In Sections 5 through 8 we prove (and extend) Castel’s theorem, which characterizes all homomorphisms $B_n \rightarrow B_n$. The first three of these sections introduce some of the key tools that are used for both Castel’s theorem and our inductive step. First, in Section 5 we give a combinatorial-topological lemma about curves and rotations. There are two versions, Propositions 5.1 and 5.3. Then in Section 6 we state and prove Proposition 6.1, which gives number-theoretic restrictions on the image of a periodic element of B_n in \bar{B}_m . Finally in Section 7 we show in Proposition 7.1 that if $\rho(z)$ is pseudo-Anosov, then $\bar{\rho}$ has cyclic image.

In Section 8 we combine the above tools with a result of Lin in order to prove our extension of Castel’s theorem. The argument proceeds as follows. Let $\rho : B_n \rightarrow B_n$ be a homomorphism and let $\bar{\rho}$ be the associated homomorphism to $B_n \rightarrow \bar{B}_n$. As in the introduction, there are three possibilities for $\bar{\rho}(\alpha_1)$ under a homomorphism $\rho : B_n \rightarrow B_m$: it can be either pseudo-Anosov, periodic, or reducible.

When $\bar{\rho}(\alpha_1)$ is pseudo-Anosov, we show that ρ has cyclic image. The idea is that if $\bar{\rho}(\alpha_1)$ is pseudo-Anosov, then its power $\bar{\rho}(z)$ is also pseudo-Anosov. Since $\bar{\rho}(B_n)$ is contained in the centralizer of $\bar{\rho}(z)$, and since the centralizers of pseudo-Anosov mapping classes are completely understood (by work of McCarthy), we can conclude that $\bar{\rho}$ has abelian (hence cyclic) image, and hence ρ has cyclic image. The details of this argument are given in Section 7.

When $\bar{\rho}(\alpha_1)$ is periodic we show that ρ either has cyclic image or is equivalent to the identity homomorphism. The argument proceeds as follows. We show in Section 6 that if $\bar{\rho}(\alpha_1)$ is periodic and ρ has non-cyclic image then (up to replacing ρ by an equivalent homomorphism) $\bar{\rho}(\alpha_1)$ generates $\langle \bar{\alpha}_1 \rangle$, that is, $\bar{\rho}(\alpha_1) = \bar{\alpha}_1^k$ with $\gcd(k, n) = 1$. To give the

idea, suppose that $\bar{\rho}(\alpha_1) = \bar{\alpha}_2$ (which certainly does not generate $\langle \bar{\alpha}_1 \rangle$). In this case,

$$\bar{\rho}(\alpha_1^{n-1}) = \bar{\alpha}_2^{n-1} = 1.$$

But the normal closure of α_1^{n-1} in B_n contains the commutator subgroup (this fact is an instance of the well-suited curve criterion of Lanier and the third author [29]). It follows that $\bar{\rho}$, hence ρ , has cyclic image.

Continuing with the case where $\bar{\rho}(\alpha_1)$ is periodic, we show that if ρ has non-cyclic image, then $\bar{\rho}(\alpha_1)$ is equal to $\bar{\alpha}_1^{\pm 1}$. To do this we consider the interaction between $\bar{\rho}(\alpha_1)$ and the canonical reduction system M of $\bar{\rho}(\sigma_1)$. Since $\alpha_1^k \sigma_1 \alpha_1^{-k}$ commutes with σ_1 for $2 \leq k \leq n-1$, it must be that the image of M under $\bar{\rho}(\alpha_1)^k$ is disjoint from M for such k . The combinatorial topology lemma in Section 5 then implies $\bar{\rho}(\alpha_1) = \bar{\alpha}_1^{\pm 1}$. We then leverage this equality in order to conclude that ρ is equivalent to the identity homomorphism.

If $\rho(\alpha_1)$ —equivalently, $\bar{\rho}(\alpha_1)$ —is reducible, this means that $\rho(\alpha_1)$ preserves a multicurve M in \mathbb{D}_n . It follows that $\rho(z)$, hence all of $\rho(B_n)$, preserves M . It must be that M has fewer than n components, and each component contains fewer than n marked points in its interior. We can apply the interior/exterior decomposition from Section 3 to decompose $\rho(B_n)$ into a semi-direct product of braid groups of smaller index, and use induction to show that ρ has cyclic image.

After proving (our extension of) Castel's theorem we give the classification of homomorphisms $B_4 \rightarrow B_4$ at the end of Section 9. The proof follows the same outline as the one we use for Castel's theorem.

Part III: Proof of the main theorem. Sections 9 through 11 comprise the proof of Theorem 1.1, starting from the base case. As above, we begin in Section 9 by proving our extension of the base case, Theorem 9.1, which is a classification of homomorphisms $B_n^2 \rightarrow B_n$. The proof follows the same outline as the proof of Castel's theorem. We remark that it is possible to derive Castel's theorem from the corresponding theorem for B_n^2 ; we prove Castel's theorem first because it is simpler and is of more independent interest.

In Section 10 we study 2-fold cabling maps. These are maps $\rho : B_n \rightarrow B_{2n}$ where $\rho(B_n)$ preserves a collection of n disjoint curves, each surrounding two marked points, and where the induced action of B_n on these curves is (conjugate to) the standard map $B_n \rightarrow S_n$. We prove in Proposition 10.1 that every 2-fold cabling map is equivalent to one of the standard k -twist cabling maps.

We prove Theorem 1.1 in Section 11 by giving the inductive step. This step again follows the same approach as the proof of Castel's theorem. Given $\rho : B_n \rightarrow B_m$ with $n < m \leq 2n$ we consider the three possibilities for $\bar{\rho}(\alpha_1)$. If $\bar{\rho}(\alpha_1)$ is pseudo-Anosov or periodic then as before we conclude that ρ has cyclic image. In fact this case is easier than the case $m = n$ since we can more quickly rule out that $\bar{\rho}(\alpha_1)$ is a power of $\bar{\alpha}_1$.

The case where $\bar{\rho}(\alpha_1)$ is reducible is the most subtle part of the proof. In this case we conclude as before that $\rho(B_n)$ preserves a multicurve M . There are then a number of subcases, according to the topological type of M and the action (through ρ) of B_n on the set of components. We may consider the latter action as a homomorphism $B_n \rightarrow \Sigma_k$, where k is the number of components of M .

One subcase is where M consists of n curves surrounding two marked points each and the resulting homomorphism $B_n \rightarrow \Sigma_n$ is the standard homomorphism (up to conjugacy). In this case $m = 2n$ and ρ is a 2-fold cabling map, and so we may apply Proposition 10.1.

Another subcase is where M consists of a single curve surrounding more than n marked points; in this case we may restrict to the interior of M and apply induction.

The most difficult case, which only arises when $m = 2n$, is where M consists of two curves surrounding n marked points each and where B_n acts nontrivially on the components of M ; in this case B_n^2 acts trivially on the components of M and so we may apply Theorem 9.1 to show that ρ is equivalent to the diagonal embedding.

We end the paper in Section 12 by proving Corollary 1.3 as a consequence of Theorem 1.1.

3. THE INTERIOR/EXTERIOR DECOMPOSITION

In this section we introduce a basic tool for handling cablings $\rho : B_n \rightarrow B_m$. As in the introduction, the cabling maps are exactly the ones that are reducible in the sense that there is some multicurve M preserved by $\rho(B_n)$. We first address the case where each component of M is fixed, and then the more general case.

3.1. Braids fixing a multicurve. Let $M = \{c_1, \dots, c_k\}$ be an un-nested multicurve in \mathbb{D}_m . We say that \mathbb{D}_m is *standard* if it is a convex disk in the Euclidean plane and the m marked points lie on a horizontal line. We further say that M is *standard* if it lies in a standard \mathbb{D}_m and all of the c_i are convex curves in \mathbb{D}_m . The reason for these definitions is that they give an (almost) canonical identification between the mapping class group description of the braid group and the description in terms of braid diagrams, and hence in terms of the standard presentation of the braid group. Indeed, a mapping class of \mathbb{D}_m induces an element of the fundamental group of the (unordered) configuration space $\text{Conf}(\mathbb{R}^2, m)$. And then by tracing out this loop in $\mathbb{R}^2 \times [0, 1]$ and projecting to $(\mathbb{R} \times \{0\}) \times [0, 1]$ (and keeping track of the crossing information) we obtain a braid diagram.

For any un-nested multicurve M , let $\text{Fix}_{B_m}(M)$ denote the subgroup of B_m given by the intersection of the stabilizers of the c_j for $i \in \{1, \dots, k\}$. We will describe two homomorphisms Π_i^M and Π_e^M , the *interior and exterior maps*, with domain $\text{Fix}_{B_m}(M)$. We first describe the maps for M standard and then in the general case.

Suppose now that M is standard. Say that each c_j surrounds exactly p_j marked points, and let $p = p_1 + \dots + p_k$. Let Δ_j denote the closed disk bounded by c_j , and let $\Delta = \cup \Delta_j$. Let \mathbb{D}_m^Δ denote the disk obtained from \mathbb{D}_m by collapsing each Δ_j to a point. We consider the latter as a disk with $m - p + k$ marked points, with each marked point coming from a Δ_j or a marked point of \mathbb{D}_m not in the interior of any Δ_j . In fact, \mathbb{D}_m^Δ can be naturally identified with a standard disk \mathbb{D}_{m-p+k} because the Δ_j are convex.

There are homomorphisms

$$\begin{aligned} \Pi_i^M : \text{Fix}_{B_m}(M) &\rightarrow B_{p_1} \times \dots \times B_{p_k}, \text{ and} \\ \Pi_e^M : \text{Fix}_{B_m}(M) &\rightarrow B_{m-p+k}, \end{aligned}$$

described as follows. The j th component of the map Π_i^M is obtained by forgetting all marked points not in Δ_j . The map Π_e^M is the one induced by the quotient map $\mathbb{D}_m \rightarrow \mathbb{D}_m^\Delta$. We can describe Π_e^M in terms of braid diagrams. Specifically, we collapse—for each j —the strands from a single Δ_j into a single strand; this results in a well-defined braid diagram since (under the above identification) the strands from a single Δ_j travel alongside each other. The map Π_i^M also has an elementary description in terms of the standard generators of the braid group, where each σ_i maps to either the identity or some σ_j ; we leave this description to the reader.

We now give the definitions of Π_i^M and Π_e^M for an arbitrary un-nested multicurve. Let M be such a multicurve in \mathbb{D}_m . Let $g \in B_m$ be a braid so that $g(M)$ is standard. We define

$$\begin{aligned}\Pi_i^M &: \text{Fix}_{B_m}(M) \rightarrow B_{p_1} \times \cdots \times B_{p_k}, \text{ and} \\ \Pi_e^M &: \text{Fix}_{B_m}(M) \rightarrow B_{m-p+k},\end{aligned}$$

via the compositions

$$\begin{aligned}\Pi_i^M &= \Pi_i^{g(M)} \circ \alpha_g, \text{ and} \\ \Pi_e^M &= \Pi_e^{g(M)} \circ \alpha_g.\end{aligned}$$

where α_g is the inner automorphism of B_n given by $h \mapsto ghg^{-1}$. These maps are only defined up to conjugacy.

The map Π_e^M is not surjective in general. For n and n' let $B_{n,n'}$ denote the subgroup of $B_{n+n'}$ consisting of braids that fix a given choice of n' marked points (all choices yield isomorphic groups). The image of Π_e^M lies in the subgroup $B_{m-p,k} \leq B_{m-p+k}$ consisting of the elements that fix the marked points corresponding to the Δ_j .

Lemma 3.1. *Let $M = \{c_1, \dots, c_k\}$ be an un-nested multicurve in \mathbb{D}_m . Say that each c_j surrounds exactly p_j marked points, and let $p = p_1 + \cdots + p_k$. Any choice of homomorphism*

$$\Pi_i^M \times \Pi_e^M : \text{Fix}_{B_m}(M) \rightarrow (B_{p_1} \times \cdots \times B_{p_k}) \times B_{m-p,k}$$

is an isomorphism.

Proof. Since each inner automorphism α_g induces an isomorphism $\text{Fix}_{B_m}(M) \rightarrow \text{Fix}_{B_m}(g(M))$ we may assume without loss of generality that M is standard. We would like to define an inverse homomorphism F of $\Pi_i^M \times \Pi_e^M$. First, we claim that there is a homomorphism $\beta : B_{m-p,k} \rightarrow \text{Fix}_{B_m}(M)$ that is a section of Π_e^M (meaning $\Pi_e^M \circ \beta$ is the identity) and so that $\Pi_i^M \circ \beta$ is the trivial homomorphism. One way to define β is through braid diagrams: for $1 \leq j \leq k$, we replace the j th strand with p_j parallel strands. (A description of this homomorphism β in terms of mapping class groups was given by Bell and the third author [8]; to obtain β from their map $\iota : L_k \rightarrow \overline{\text{Mod}}(\bar{S}_k)$ given in their Figure 7 we post-compose ι with the map $\overline{\text{Mod}}(\bar{S}_k) \rightarrow B_m$ induced by inclusion of \bar{S}_k into \mathbb{D}_m .)

Let $\iota_j : B_{p_j} \rightarrow B_m$ be the inclusions induced by the inclusions $\Delta_j \rightarrow \mathbb{D}_m$. Again, these maps can be also described with braid diagrams and also algebraically: the generators $\sigma_1, \dots, \sigma_{p_j-1}$ map to $\sigma_\ell, \dots, \sigma_{\ell+p_j-2}$, respectively, where ℓ is the number of the first marked point in Δ_j . The product $\iota_1 \times \dots \times \iota_k$ is a section of Π_i^M , and the composition $\Pi_e^M \circ (\iota_1 \times \dots \times \iota_k)$ is the trivial homomorphism.

We define

$$F : (B_{p_1} \times \cdots \times B_{p_k}) \times B_{m-p,k} \rightarrow \text{Fix}_{B_m}(M)$$

by

$$F(f_1, \dots, f_k, f_e) = \iota_1(f_1)\iota_2(f_2) \cdots \iota_k(f_k)\beta(f_e).$$

The map F is a homomorphism because the images of the maps $\iota_1, \dots, \iota_k, \beta$ commute pairwise. Because $\Pi_e^M \circ \beta$ and $\Pi_i^M \circ (\iota_1 \times \dots \times \iota_k)$ are the identity and $\Pi_i^M \circ \beta$ and $\Pi_e^M \circ (\iota_1 \times \dots \times \iota_k)$ are trivial, it follows that $(\Pi_i^M \times \Pi_e^M) \circ F$ is the identity, as desired. \square

Given a homomorphism $\rho : B_n \rightarrow B_m$ and an un-nested multicurve M fixed by $\rho(B_n)$ as above, we define

$$\begin{aligned} \rho_i^M : B_n &\rightarrow B_{p_1} \times \cdots \times B_{p_k}, \text{ and} \\ \rho_e^M : B_n &\rightarrow B_{m-p,k} \end{aligned}$$

by the formulas $\rho_i = \Pi_i^M \circ \rho$ and $\rho_e = \Pi_e^M \circ \rho$. We refer to these as the *interior* and *exterior* components of ρ . Again, these maps are only well defined up to conjugacy.

3.2. Braids preserving a multicurve. Let $M = \{c_1, \dots, c_k\}$ be a multicurve in \mathbb{D}_m . Suppose that each c_j surrounds exactly p marked points, and suppose that $kp = m$. These conditions imply that every marked point in \mathbb{D}_m lies in the interior of exactly one c_j and in particular that the c_j are un-nested. Let $\text{Stab}_{B_m}(M)$ denote the subgroup of B_m consisting of elements that preserve M . We will give a semi-direct product decomposition of $\text{Stab}_{B_m}(M)$ that is analogous to the direct product decomposition for $\text{Fix}_{B_m}(M)$ given in Lemma 3.1. To this end we will define maps Π_e^M and Π_i^M for a standard multicurve M , with the general maps obtained from these in the same way as before.

Consider the homomorphism

$$\Pi_e^M : \text{Stab}_{B_m}(M) \rightarrow B_k,$$

given by collapsing to points the disks Δ_j bounded by the c_j . It follows from the assumptions on the c_j that Π_e^M is surjective. The kernel of Π_e^M is the product of the braid groups corresponding to the interiors of the c_j (this follows from [18, Theorem 3.18]). We thus have a short exact sequence

$$1 \rightarrow \prod_{i=1}^k B_p \rightarrow \text{Stab}_{B_m}(M) \rightarrow B_k \rightarrow 1.$$

There is a splitting $B_k \rightarrow \text{Stab}_{B_m}(M)$ given by the map β from the proof of Lemma 3.1. The action of B_k on $\prod B_p$ factors through the standard map $B_k \rightarrow S_k$ and is given by permutation of the factors. We thus have the following lemma.

Lemma 3.2. *Let $M = \{c_1, \dots, c_k\}$ be an un-nested multicurve in \mathbb{D}_m . Say that each c_j surrounds exactly p marked points, and suppose $m = kp$. There is a split short exact sequence*

$$1 \rightarrow \prod_{i=1}^k B_p \rightarrow \text{Stab}_{B_m}(M) \xrightarrow{\Pi_e^M} B_k \rightarrow 1.$$

In particular we have an isomorphism

$$\text{Stab}_{B_m}(M) \cong B_k \ltimes \prod_{i=1}^k B_p,$$

where B_k acts by permuting the factors of $\prod B_p$ according to the standard map $B_k \rightarrow S_k$.

Lemma 3.1 is a consequence of Lemma 3.2. It is possible to further generalize Lemma 3.2 to the case where M is an arbitrary un-nested multicurve, but we will not need this more general statement.

4. TRANSVECTIONS AND EQUIVALENCE

In Section 4.1 we prove that the relation defined in the introduction is indeed an equivalence relation (Proposition 4.1) and we also prove our characterization of transvecting elements from the introduction (Proposition 4.2). Then in Section 4.2 we give complete descriptions of the centralizers of the images of the standard homomorphisms $B_n \rightarrow B_{2n}$ (Proposition 4.3). We then use this to prove our claim from the introduction that a transvection of a standard homomorphism is a central transvection (Corollary 4.4).

4.1. Equivalence. Let ρ_0 and ρ_1 be two homomorphisms $B_n \rightarrow G$. As in the introduction, we define a relation by the rule that $\rho_0 \sim \rho_1$ if and only if there is a transvecting element $t \in G$ for ρ_0 and an automorphism $A \in \text{Aut } G$ so that $\rho_1 = A \circ \rho_0^t$.

To prove that this relation is an equivalence relation, we require two facts. Let ρ_0 and ρ_1 be homomorphisms $\rho_0, \rho_1 : B_n \rightarrow G$, let t be a transvecting element for ρ_1 , let $A \in \text{Aut } G$, and let u be a transvecting element for $A \circ \rho_0$. We have

- (1) $\rho_0 = \rho_1^t \iff \rho_0^{t^{-1}} = \rho_1$, and
- (2) $(A \circ \rho_0)^u = A \circ \rho_0^{A^{-1}(u)}$.

Implicit in these two statements are that t^{-1} and $A^{-1}(u)$ are transvecting elements for ρ_0 . These are indeed transvecting elements because the hypotheses tell us that ρ_1^t and $(A \circ \rho_0)^u$ are homomorphisms and the maps $\rho_0^{t^{-1}}$ and $A \circ \rho_0^{A^{-1}(u)}$ define the inverse homomorphisms. Since A is an automorphism, $\rho_0^{A^{-1}(u)}$ is also a homomorphism as desired. The following proposition is a straightforward consequence of the two facts.

Proposition 4.1. *The relation between homomorphisms $B_n \rightarrow G$ defined by \sim is an equivalence relation.*

Proposition 4.2. *Let $n \geq 5$ and let $\rho : B_n \rightarrow G$ be a homomorphism. Then the following are equivalent:*

- (1) $t \in G$ is a transvecting element for ρ ,
- (2) $\rho(\sigma_i)t\rho(\sigma_i)^{-1}$ is independent of i , and
- (3) t centralizes $\rho(B_n^t)$.

Proof. We begin with the proof that (1) \Rightarrow (2). So suppose that t is a transvecting element for ρ . Assume first that $|i - j| > 1$. Applying ρ^t to the equation $1 = [\sigma_i, \sigma_j]$ we have

$$\begin{aligned} 1 &= [\rho(\sigma_i)t, \rho(\sigma_j)t] \\ &= \rho(\sigma_i)t\rho(\sigma_j)tt^{-1}\rho(\sigma_i)^{-1}t^{-1}\rho(\sigma_j)^{-1} \\ &= \rho(\sigma_i)t\rho(\sigma_j)\rho(\sigma_i)^{-1}t^{-1}\rho(\sigma_j)^{-1} \\ &= \rho(\sigma_i)t\rho(\sigma_i)^{-1}\rho(\sigma_j)t^{-1}\rho(\sigma_j)^{-1}, \end{aligned}$$

as desired. For the case $j = i + 1$, we use the fact that $n \geq 5$. As such, there is a k_1 and k_2 so that the sequence $i = k_0, k_1, k_2, k_3 = j$ satisfies $|k_{\ell+1} - k_\ell| > 1$ for all ℓ and so this case follows from the previous.

For the proof that (2) \Rightarrow (1), we assume that $\rho(\sigma_i)t\rho(\sigma_i)^{-1}$ is independent of i , and we need to check that the rule

$$\sigma_i \mapsto \rho(\sigma_i)t$$

defines a homomorphism $B_n \rightarrow G$. The (reverse of the) calculation from the forward direction shows that the images of the σ_i satisfy the commutator relations in the standard presentation

for the braid group. It remains to check that they satisfy the braid relations, that is,

$$\rho(\sigma_i)t\rho(\sigma_{i+1})t\rho(\sigma_i)t = \rho(\sigma_{i+1})t\rho(\sigma_i)t\rho(\sigma_{i+1})t$$

for all i .

We claim that $\rho(\sigma_i)^{-1}t\rho(\sigma_i)$ is independent of i . Using the hypothesis that $\rho(\sigma_i)t\rho(\sigma_i)^{-1}$ is independent of i we have

$$\rho(\sigma_1)t\rho(\sigma_1)^{-1} = \rho(\sigma_3)t\rho(\sigma_3)^{-1}.$$

Conjugating both sides by $\rho(\sigma_1)^{-1}\rho(\sigma_3)^{-1}$ and using the fact that $\rho(\sigma_1)$ and $\rho(\sigma_3)$ commute gives that $\rho(\sigma_1)^{-1}t\rho(\sigma_1) = \rho(\sigma_3)^{-1}t\rho(\sigma_3)$. As above, this claim follows since $n \geq 5$.

Let $X = \rho(\sigma_i)^{-1}t\rho(\sigma_i)$. This is well defined by the previous claim. We now claim that $\rho(\sigma_i)^{-1}X\rho(\sigma_i)$ is independent of i . Indeed, we have

$$\begin{aligned} \rho(\sigma_1)^{-1}X\rho(\sigma_1) &= \rho(\sigma_1)^{-1}\rho(\sigma_3)^{-1}t\rho(\sigma_3)\rho(\sigma_1) \\ &= \rho(\sigma_3)^{-1}\rho(\sigma_1)^{-1}t\rho(\sigma_1)\rho(\sigma_3) \\ &= \rho(\sigma_3)^{-1}X\rho(\sigma_3) \end{aligned}$$

Again, this claim follows since $n \geq 5$.

Using the previous two claims and the braid relation we have

$$\begin{aligned} \rho(\sigma_i)t\rho(\sigma_{i+1})t\rho(\sigma_i)t &= \rho(\sigma_i)\rho(\sigma_{i+1})X\rho(\sigma_i)Xt \\ &= \rho(\sigma_i)\rho(\sigma_{i+1})\rho(\sigma_i)(\rho(\sigma_i)^{-1}X\rho(\sigma_i))Xt \\ &= \rho(\sigma_{i+1})\rho(\sigma_i)\rho(\sigma_{i+1})(\rho(\sigma_{i+1})^{-1}X\rho(\sigma_{i+1}))Xt \\ &= \rho(\sigma_{i+1})\rho(\sigma_i)X\rho(\sigma_{i+1})Xt \\ &= \rho(\sigma_{i+1})t\rho(\sigma_i)t\rho(\sigma_{i+1})t, \end{aligned}$$

as desired.

We now show that (2) \Rightarrow (3). As in the proof of (2) \Rightarrow (1), the element X is well defined. In particular we have

$$\rho(\sigma_i)^{-1}t\rho(\sigma_i) = \rho(\sigma_1)^{-1}t\rho(\sigma_1)$$

for all i , and so

$$(\rho(\sigma_1)\rho(\sigma_i^{-1}))t(\rho(\sigma_1)\rho(\sigma_i)^{-1})^{-1} = t$$

for all i . As in the proof of Lemma 9.6, the $\sigma_1\sigma_i^{-1}$ generate B'_n for $n \geq 5$, and so the desired implication (2) \Rightarrow (3) follows. Reversing the calculation for (2) \Rightarrow (3) gives that (3) \Rightarrow (2). \square

4.2. Centralizers of images of standard maps. We now turn to the descriptions of the centralizers of the images of the standard homomorphisms $B_n \rightarrow B_{2n}$ (Proposition 4.3). Then we prove Corollary 4.4, which is a refinement of the equivalence relation in the case where one of the homomorphisms is standard.

We require some notation. Let Δ_0 and Δ_1 be the standard disks in \mathbb{D}_{2n} surrounding the first n and last n marked points, respectively. The (the isotopy class of) Δ_0 is the support of the image of the standard inclusion map $B_n \rightarrow B_{2n}$ and $\Delta_0 \cup \Delta_1$ is the support of the image of the diagonal inclusion map. Let d_0 and d_1 denote the boundaries of these disks, let M be the multicurve $\{d_0, d_1\}$ and let

$$\Pi_e^M : \text{Stab}_{B_{2n}}(M) \rightarrow B_2$$

be the associated exterior map. Let c_1, \dots, c_{2n-1} be the standard curves in \mathbb{D}_{2n} , so that $H_{c_i} = \sigma_i$ for all i . Let $\tilde{\sigma}$ denote an element of B_{2n} with the following properties:

- (1) $\tilde{\sigma}$ interchanges c_i with c_{n+i} for $1 \leq i \leq n$, and
- (2) $\Pi_e^M(\tilde{\sigma})$ is the positive generator for $PB_2 \cong \mathbb{Z}$.

The simplest choice of $\tilde{\sigma}$ is $\tau_n \cdots \tau_1$ where $\tau_i = \sigma_i \cdots \sigma_{i+n-1}$. We have $\tilde{\sigma}^2 = z(T_{d_0}T_{d_1})^{-1}$. Finally, as usual, let z denote the positive generator for the center of B_{2n} .

Next, let G_i denote the subgroup of B_{2n} consisting of elements supported outside of Δ_0 (the Dehn twist T_{d_0} lies in this group since it has a representative supported outside a representative of Δ_0). We define two other subgroups of B_{2n} as follows:

$$G_d = \langle \tilde{\sigma}, T_{d_1}, T_{d_2} \rangle \text{ and } G_f = \langle T_{d_1}, T_{d_2}, z \rangle \cong \mathbb{Z}^3.$$

The first group is isomorphic to $\mathbb{Z} \ltimes (\mathbb{Z} \times \mathbb{Z})$, where the first factor of the semi-direct product acts on the second by interchanging the factors of the direct product. The group G_f is a subgroup of G_i of index 2. Finally, we define the subgroup

$$G_k = \langle z, \sigma_1 \sigma_3 \cdots \sigma_{2n-1} \rangle \cong \mathbb{Z}^2.$$

Proposition 4.3. *Let $n \geq 5$ and let $\rho : B_n \rightarrow B_{2n}$ be a standard homomorphism. The centralizers in B_{2n} of $\rho(B_n)$ and $\rho(B'_n)$ are equal. For the various choices of ρ , the centralizers are equal to the following groups.*

- (1) trivial map: B_{2n}
- (2) inclusion: G_i
- (3) diagonal inclusion: G_d
- (4) flip diagonal inclusion: G_f
- (5) k -twist cabling map: G_k

Proof. The case of the trivial map is trivial. For a given nontrivial standard homomorphism ρ , let G be the corresponding group from the statement of the proposition, namely, G_i , G_d , G_f , or G_k . In each case we have $G \subseteq C_{B_{2n}}(\rho(B_n))$. Therefore, to prove the proposition, it suffices to show that $C_{B_{2n}}(\rho(B'_n)) \subseteq G$, because then we will have a sequence of inclusions

$$G \subseteq C_{B_{2n}}(\rho(B_n)) \subseteq C_{B_{2n}}(\rho(B'_n)) \subseteq G.$$

We now treat the four cases in turn. In each case, ρ is one of the standard maps and $Z = C_{B_{2n}}(\rho(B'_n))$.

Inclusion. Since Z centralizes $\rho(z) = T_{d_0}$, we have that Z is a subgroup of $\text{Stab}_{B_{2n}}(M)$ where $M = \{d_0\}$. By the interior/exterior decomposition for M (Lemma 3.2), we have

$$1 \rightarrow B_n \rightarrow \text{Stab}_{B_{2n}}(M) \rightarrow B_{1,n} \rightarrow 1.$$

If we restrict to Z we obtain

$$1 \rightarrow K \rightarrow Z \rightarrow B_{1,n} \rightarrow 1.$$

where K is the centralizer of B'_n in B_n . The group $B_{1,n}$ is generated by the image of G_i , and the group K is generated by T_{d_0} , which is an element of G_i . It follows that $Z = G_i$.

Diagonal inclusion. Since Z centralizes $\rho(z) = T_{d_0}T_{d_1}$, we have that Z is a subgroup of $\text{Stab}_{B_{2n}}(M)$ where $M = \{d_0, d_1\}$. In this case Lemma 3.2 gives

$$1 \rightarrow B_n \times B_n \rightarrow \text{Stab}_{B_{2n}}(M) \rightarrow B_2 \rightarrow 1.$$

Restricting this short exact sequence to Z we have

$$1 \rightarrow K \rightarrow Z \rightarrow B_2 \rightarrow 1$$

where K is the centralizer in $B_n \times B_n$ of the image of the diagonal inclusion $B'_n \rightarrow B'_n \times B_n$. The group B_2 in the last sequence is generated by the image of $\tilde{\sigma} \in Z$. The group K is $\langle T_{d_0}, T_{d_1} \rangle \cong \mathbb{Z}^2$. It follows that $Z = G_d$.

Flip diagonal inclusion. Again, Z lies in $\text{Stab}_{B_{2n}}(M)$ where $M = \{d_0, d_1\}$. We claim the image of Z under $\Pi_e^M : \text{Stab}_{B_{2n}}(M) \rightarrow B_2$ is trivial. In other words, $Z \leq \text{Fix}_{B_{2n}}(M)$. Indeed, we have $\rho(\sigma_1) = \sigma_1 \sigma_{n+1}^{-1}$. If we conjugate this image by a braid that interchanges d_0 and d_1 , then we obtain a product of two half-twists, an inverse half-twist in Δ_0 and a half-twist in Δ_1 . Such an element cannot equal $\sigma_1 \sigma_{n+1}^{-1}$, and so we have the desired contradiction. Given the claim, the argument proceeds as in the previous case to show that the centralizer is G_f .

Cabling maps. Finally we treat the case of a k -twist cabling map. Let H denote the image of B'_n , and let Z be the centralizer in B_{2n} of H . We claim that Z preserves the set of standard curves $C = \{c_1, c_3, \dots, c_{2n-1}\}$. Fix some $c_{2i-1} \in C$. Since $n \geq 5$ there is a j so that $\sigma_i \sigma_j^{-1}$ is a product of two half-twists. Consider the element $\sigma_i \sigma_j^{-1} \in B'_n$. The canonical reduction system M of $\rho(\sigma_i \sigma_j^{-1})$ consists of six curves: $c_{2i-1}, c_{2i+1}, c_{2j-1}, c_{2j+1}$ and two curves that surround four marked points each. Since each element t of Z commutes with $\rho(\sigma_i \sigma_j^{-1})$ it preserves the set of curves in M that surround exactly two marked points, namely $\{c_{2i-1}, c_{2i+1}, c_{2j-1}, c_{2j+1}\}$. In particular $t(c_{2i-1}) \in C$, whence the claim.

We now have the short exact sequence

$$1 \rightarrow \mathbb{Z}^n \rightarrow \text{Stab}_{B_{2n}}(C) \rightarrow B_n \rightarrow 1.$$

where the \mathbb{Z}^n is generated by $X = \{\sigma_1, \sigma_3, \dots, \sigma_{2n-1}\}$. We claim that $\ker \Pi_e^C \cap Z$ is the infinite cyclic group generated by $\sigma_1 \sigma_3 \cdots \sigma_{2n-1}$. Indeed, the group H acts on $\ker \Pi_e^C$ by conjugation, and this action permutes the set X . The image of H in the symmetric group Σ_X is the alternating subgroup (because B'_n surjects onto A_n). The claim follows.

We claim that the last short exact sequence restricts to Z as follows:

$$1 \rightarrow \mathbb{Z} \rightarrow Z \rightarrow \mathbb{Z} \rightarrow 1$$

where the kernel is $\mathbb{Z} \cong \langle \sigma_1 \sigma_3 \cdots \sigma_{2n-1} \rangle$ and the cokernel is $\mathbb{Z} = \langle z \rangle$. Indeed, we already determined the kernel in the previous claim. For the cokernel, we use the fact that the image of the restriction $\Pi_e^C|_H$ is B'_n , and so $\Pi_e^C(Z)$ must be contained in $C_{B_n}(B'_n) \cong \mathbb{Z} = \langle z \rangle$. It follows that $Z = G_k$, as desired. \square

The following corollary allows us to deduce the second statement of Theorem 1.1 from the first.

Corollary 4.4. *Let $n \geq 5$. If a homomorphism $\rho : B_n \rightarrow B_{2n}$ is a transvection of a standard homomorphism ρ_0 , then ρ is a central transvection of ρ_0 .*

Proof. Let $\rho : B_n \rightarrow B_{2n}$. By Proposition 4.2, an element $t \in B_{2n}$ is transvecting for ρ if and only if t centralizes $\rho(B'_n)$. By Proposition 4.3, we have that t centralizes $\rho(B_n)$, as desired. \square

Using Corollary 4.4, we can give a geometric description of the transvections of homomorphisms $B_n \rightarrow B_{2n}$. More specifically, for $n \geq 5$, we can say that two such homomorphisms differ by a transvection if they only differ on subsurfaces of \mathbb{D}_{2n} where B_n (through the homomorphism) acts cyclically. We now explain this in more detail.

Suppose $\rho : B_n \rightarrow B_{2n}$ is a transvection of the standard homomorphism $\rho_0 : B_n \rightarrow B_{2n}$, say $\rho = \rho_0^u$. By Corollary 4.4 we have that u is a centrally transvecting element for ρ_0 . If v is

a transvecting element for ρ , it follows that uv is transvecting for ρ_0 , and hence is centrally transvecting for ρ_0 by Corollary 4.4. Therefore, while v is not centrally transvecting for ρ , it is supported on a subsurface of \mathbb{D}_{2n} on which ρ acts cyclically, namely, by powers of u (this is not quite true for the diagonal inclusion, since there is a centralizing element for the image whose support is all of \mathbb{D}_{2n} , but a similar statement is true).

5. ROTATIONS AND CURVES

Recall that α_1 and α_2 are the periodic elements of B_n corresponding to rotations of \mathbb{D}_n by $2\pi/n$ and $2\pi/(n-1)$, respectively, and that $\bar{\alpha}_1$ and $\bar{\alpha}_2$ are the images in B_n . In this section we prove two facts about the interactions between the rotations $\bar{\alpha}_1$ and $\bar{\alpha}_2$ on one hand, and simple closed curves in $S_{0,n+1}$ on the other hand. First, in Section 5.1 we prove Proposition 5.1, which states that every curve c in $S_{0,n+1}$ intersects its images under $\bar{\alpha}_1$ and $\bar{\alpha}_2$. Then in Section 5.2 we prove Proposition 5.3, which states that if a curve c is disjoint from each $\bar{\alpha}_1^i(c)$ with $2 \leq i \leq n-2$ then c surrounds two marked points (and similar for $\bar{\alpha}_2$).

5.1. Curves and primitive rotations. As discussed above, the goal of this section is to prove the following proposition.

Proposition 5.1. *Let $n \geq 3$, let $k \in \{1, 2\}$, let $\epsilon \in \{\pm 1\}$, and let c be an essential simple closed curve in $S_{0,n+1}$. Then*

$$i(c, \bar{\alpha}_k^\epsilon(c)) \neq 0.$$

The basic idea of the proof of Proposition 5.1 is that if, say, $i(c, \bar{\alpha}_k(c)) = 0$, then there exists an arc δ in $S_{0,n+1}$ that is disjoint from its image $\bar{\alpha}_k(\delta)$ (take δ to be any arc in the interior of c , that is, the complementary component not containing the distinguished marked point p). We would like to show that this is impossible. To this end, Lemma 5.2 below gives a version of Proposition 5.1 for arcs.

We first require some setup that will be used throughout this section. Let R_1 be the open unit disk in the Euclidean plane with n marked points equally spaced on a circle centered at the origin, and let R_2 be the open unit disk in the Euclidean plane with $n-1$ marked points equally spaced on a circle centered at the origin and with one additional marked point at the origin. Let r_1 denote the clockwise rotation of R_1 by $2\pi/n$ and let r_2 denote the clockwise rotation of R_2 by $2\pi/(n-1)$. Under appropriate identifications of R_1 and R_2 with $S_{0,n+1} \setminus p$, the maps r_1 and r_2 correspond to $\bar{\alpha}_1$ and $\bar{\alpha}_2$, respectively.

Let $k \in \{1, 2\}$. By an *arc* in R_k we mean the image of an embedding $[0, 1] \rightarrow R_k$ where the preimage of the set of marked points is $\{0, 1\}$. We say that an arc is *essential* if it is not homotopic (through arcs) into a (small neighborhood of a) marked point. When we say that two arcs in R_k are disjoint, we mean this in the strictest possible sense: we mean that their interiors are disjoint and also that their endpoints are disjoint.

A half-open arc in R_k is a proper embedding $[0, 1) \rightarrow R_k$ where the preimage of the set of marked points is $\{0\}$. Let Δ be a collection of $n-1$ disjoint half-open arcs that decompose R_2 into $n-1$ fundamental domains for the action of r_2 ; see Figure 2. We say that an arc δ lies in minimal position with Δ if it has the fewest number of intersections with Δ in its homotopy class. By the bigon criterion, this is equivalent to the statement that δ forms no bigons with Δ . (It is possible to define a version of Δ for the action of r_1 on R_1 , but such a Δ would not be a collection of half-open arcs.)

Lemma 5.2. *Let $n \geq 3$, and let δ be an essential arc in R_2 that lies in minimal position with Δ . Then $r_2(\delta)$ and δ are not disjoint.*

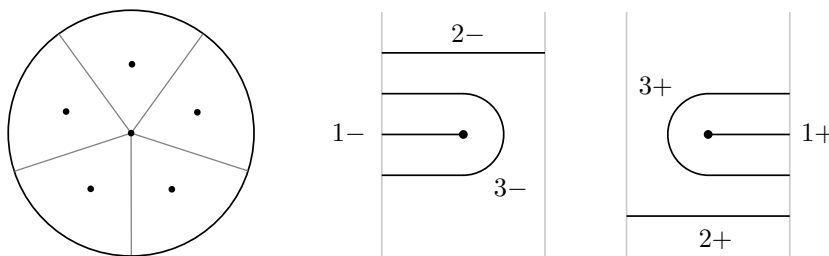


FIGURE 2. Left: the collection of arcs Δ in the open disk R_2 ; Right: the 6 different types of arcs in a fundamental domain for r_2

Proof. To have a pair of completely disjoint arcs requires $n \geq 4$, so we assume $n \geq 4$. Assume for the sake of contradiction that δ is an arc in R_2 that lies in minimal position with Δ and is disjoint from $r_2(\delta)$. The collection of arcs Δ cuts δ into a sequence of sub-arcs $\delta_1, \dots, \delta_\ell$. Each δ_i lies in one fundamental domain (there may be more than one sub-arc in a fundamental domain).

Because δ and Δ do not form any bigons, there are only 6 possibilities for each δ_i up to homotopy, where homotopies keep the endpoints of δ_i on Δ but are allowed to move the endpoints along Δ . The 6 possibilities are shown in the right-hand side of Figure 2 (we have distorted the fundamental domains there so that they look like rectangles instead of wedges). As in the figure, we refer to the 6 types of arcs as types 1+, 1-, 2+, 2-, 3+, and 3-. We further say that an arc is of type 1 if it is of type 1+ or 1-, etc.

Similarly, we may divide the arcs $r_2(\delta)$ into sub-arcs along Δ . We denote the sub-arcs by $r_2(\delta)_i$. They of course fall into the same 6 types as the sub-arcs of δ . Since Δ is invariant under r_2 , each $r_2(\delta_i)$ is the sub-arc $r_2(\delta)_i$, and hence each $r_2(\delta)_i$ has the same type as δ_i .

There are exactly two sub-arcs δ_i of type 1, namely, δ_1 and δ_ℓ . The proof proceeds by analyzing the possibilities for the type of δ_i , beginning with $i = 1$ and proceeding inductively.

We may assume that δ_1 is of type 1+. Indeed, if δ_1 is of type 1-, then we may interchange δ and $r_2(\delta)$ and interchange the center point of R_2 with the exterior puncture in order to obtain the desired situation. We henceforth assume that δ_1 and $r_2(\delta_1)$ are of type 1+.

The arc δ_2 lies in the same fundamental domain as $r_2(\delta)_1$. Since $\delta \cap r_2(\delta) = \emptyset$ by assumption and since the marked points at the ends of δ and $r_2(\delta)$ are distinct, it follows that δ_2 must be of type 2. We assume that it is of type 2+; the other case is essentially the same (alternatively, we may again interchange the center point of R_2 with the exterior puncture).

Again, $r_2(\delta)_2$ is of type 2+. Arguing as before, and using only the property that $\delta \cap r_2(\delta) = \emptyset$ we see that δ_3 must also be of type 2+. Continuing in this way inductively, we conclude that each δ_i with $i > 1$ must be of type 2+. This contradicts the fact that δ_ℓ is of type 1. \square

Proof of Proposition 5.1. We begin with some setup. For $k \in \{1, 2\}$, let R_k be the disk with marked points defined above, and let R_k° be the surface obtained from R_k by removing the marked points; this surface is homeomorphic to a sphere with $n + 1$ punctures.

There is a hyperbolic metric on R_k° and a representative r_k of $\bar{\alpha}_k$ that acts by isometries [18, Theorem 7.1]. We fix this metric once and for all and refer to it as the hyperbolic metric on R_k° (while the metric depends on k , there will be no confusion in what follows). By a theorem of Brouwer, de K er kjart , and Eilenberg [9, 17, 27], any two rotations of a (punctured) sphere through a given angle are conjugate in the group of homeomorphisms, and so we may assume that the r_k given here is the r_k from Lemma 5.2.

Suppose now for the sake of contradiction that $i(c, \alpha_k^\epsilon(c)) = 0$. By identifying the complement of the marked points and boundary in \mathbb{D}_n with R_k° , it follows that there is a curve \bar{c} in R_k° so that $i(\bar{c}, \bar{\alpha}_k^\epsilon(\bar{c})) = 0$.

Let γ be the geodesic representative of \bar{c} in the hyperbolic metric on R_k° . Since r_k is an isometry of this metric, and since geodesics minimize intersection within homotopy classes, it must be that $\gamma \cap r_k(\gamma) = \emptyset$. It follows that $r_k(\gamma)$ is disjoint from γ . For $k = 2$ this is impossible by Lemma 5.2.

In the case $k = 1$ it follows from the equality $\gamma \cap r_1(\gamma) = \emptyset$ that δ does not pass through the origin (otherwise $r_1(\delta) \cap \delta \neq \emptyset$ and so interior of γ in R_k° intersects its image under r_1 , implying $\gamma \cap r_1(\gamma) \neq \emptyset$). Therefore, we may regard γ as an arc in R_2° (the one with $n + 2$ punctures) with the property that $\gamma \cap r_2(\gamma) = \emptyset$. Again this is impossible by Lemma 5.2. \square

5.2. Rotations and multicurves. We now proceed to the second and final result of the section. As above the interior of a curve in $S_{0,n+1}$ is the complementary component not containing the distinguished marked point p .

Proposition 5.3. *Let $n \geq 5$, and let M be a multicurve in $S_{0,n+1}$. Suppose that either*

- (1) $n \geq 5$ and $i(M, \bar{\alpha}_1^i(M)) = 0$ for $2 \leq i \leq n - 2$, or
- (2) $n \geq 6$ and $i(M, \bar{\alpha}_2^i(M)) = 0$ for $2 \leq i \leq n - 3$.

Then M is a single curve with exactly two marked points in its interior.

Proof. We first prove the proposition in the case of the first hypothesis (about α_1), and then prove it under the second hypothesis (about α_2).

Assume that $n \geq 5$ and $i(M, \bar{\alpha}_1^i(M)) = 0$ for $2 \leq i \leq n - 2$. We first treat the case where M is (a priori) equal to a single curve c . Let N be the largest even number less than n . Consider the multiset of curves

$$X = \{c, \bar{\alpha}_1^2(c), \bar{\alpha}_1^4(c), \dots, \bar{\alpha}_1^N(c)\}$$

The elements of X have trivial intersection pairwise. Indeed, c has trivial intersection with each by assumption, and by applying $\bar{\alpha}_1^{-2}$ to the other curves simultaneously, we see that $\bar{\alpha}_1^2(c)$ is disjoint from the curves that come after it, etc.

We claim that the elements of X are also pairwise distinct (in other words the multiset X is a set). Suppose to the contrary that $\bar{\alpha}_1^p(c) = \bar{\alpha}_1^q(c)$ where p and q are even and $0 \leq p < q \leq N$. Applying $\bar{\alpha}_1^{-p}$ to both curves we obtain the equality $c = \bar{\alpha}_1^i(c)$ where $0 < i \leq N$ (here $i = q - p$). We first treat the case where $i \neq N$. Applying $\bar{\alpha}_1$ to both sides of the equality $c = \bar{\alpha}_1^i(c)$ we obtain the equality $\bar{\alpha}_1(c) = \bar{\alpha}_1^{i+1}(c)$. By the assumptions on c in the statement of the proposition and the assumption $i < N$, we have that $i(c, \bar{\alpha}_1^{i+1}(c)) = 0$. Replacing $\bar{\alpha}_1^{i+1}(c)$ with $\bar{\alpha}_1(c)$ we obtain $i(c, \bar{\alpha}_1(c)) = 0$. Since c is essential by assumption, this contradicts Proposition 5.1. The case where $i = N$ is treated in the same way, with $\bar{\alpha}_1$ replaced by $\bar{\alpha}_1^{-1}$. This completes the proof of the claim.

Since each curve of X is the image of c under some mapping class, they all surround the same number of marked points and moreover the corresponding sets of marked points are disjoint. Since $|X| = N/2 + 1 > n/3$ for $n \geq 4$ and since the curves are distinct it follows that each curve, in particular the curve c , surrounds at most 2 marked points. Because c is essential, it surrounds exactly 2. This completes the proof in the special case where $M = c$.

We now prove the general case of the proposition. Suppose for the sake of contradiction that M has two components c_1 and c_2 (and possibly others). We consider the corresponding multisets of curves X_1 and X_2 , defined in the same way as X above. As above, the elements

of X_i are pairwise disjoint and distinct for each i . We conclude as above that each element of each X_i is a curve surrounding exactly two marked points.

We claim that the elements of the multiset $X_1 \cup X_2$ are pairwise distinct. Suppose to the contrary that, say, $\bar{\alpha}_1^u(c_1) = \bar{\alpha}_1^v(c_2)$; we may assume without loss of generality that $u < v$. As above we obtain from this the equality $c_1 = \bar{\alpha}_1^i(c_2)$ with $0 < i \leq N$ and then (since $n \geq 5$) the equality $\bar{\alpha}_1^{\pm 1}(c_1) = \bar{\alpha}_1^{i \pm 1}(c_2)$, where $i \pm 1$ is chosen to lie in $[2, n - 2]$. By Proposition 5.1 we have $i(c_1, \bar{\alpha}_1^{\pm 1}(c_1)) \neq 0$, and so combining this with the previous equality we have $i(c_1, \bar{\alpha}_1^{i \pm 1}(c_2)) \neq 0$. It follows that $i(M, \bar{\alpha}_1^{i \pm 1}(M)) \neq 0$, contrary to the assumption. This completes the proof of the claim.

We complete the proof now in two cases, first for n even and then for n odd. Assume that n is even. In this case we have that each element of X_1 surrounds exactly two marked points, that the elements of X_1 are pairwise distinct and disjoint, and that $|X_1| = n/2$. In other words, the elements of X_1 surround the n marked points of \mathbb{D}_n in pairs. We also have that the elements of X_2 surround two marked points each, and that they are distinct and disjoint from the elements of X_1 . This is a contradiction. The case where n is odd is essentially the same, except that $|X_1| = (n - 1)/2$. This completes the proof assuming the first hypothesis.

Now assume that $n \geq 6$ and $i(M, \bar{\alpha}_2^i(M)) = 0$ for $2 \leq i \leq n - 3$. Let q be the marked point of $S_{0, n+1}$ that is fixed by $\bar{\alpha}_2$ and is not equal to p . It must be that q does not lie in the interior of any component of M , for otherwise M would not be disjoint from its image under any power of $\bar{\alpha}_2$. It must also be that each component of M contains at least two marked points in its exterior for the same reason. Therefore, we may forget the marked point q and we obtain a multicurve in $S_{0, n}$ satisfying the first hypothesis of the proposition. Since q was not contained in the interior of any component of M , the proposition follows. \square

6. TORSION TO TORSION

The goal of this section is to prove Proposition 6.1 below. By combining the first statement with Lemma 8.2, we obtain Theorem 1.4 from the introduction, which generalizes Lin's result [32, Corollary 1.16]. We give here a simple, geometric proof.

For the statement, recall that z is the positive generator for $Z(B_n)$ and that $\bar{\rho} : B_n \rightarrow \bar{B}_m$ is the homomorphism associated to a given homomorphism $\rho : B_n \rightarrow B_m$.

Proposition 6.1. *Let $n \geq 5$, let $m \geq 1$, and let $\rho : B_n \rightarrow B_m$ be a homomorphism. Assume that $\bar{\rho}(z)$ is periodic.*

- (1) *If m and $m - 1$ are both indivisible by n or if both are indivisible by $n - 1$ then $\bar{\rho}$ has cyclic image.*
- (2) *If $m = n$ then, up to replacing ρ by an equivalent homomorphism, we either have that $\bar{\rho}$ has cyclic image or that $\bar{\rho}(\alpha_1) = \bar{\alpha}_1^k$ where $\gcd(k, n) = 1$.*

Note that in the statement of Proposition 6.1, the element α_1 lies in B_n and the element $\bar{\alpha}_1$ lies in \bar{B}_m . In what follows, when we refer to an element α_i or $\bar{\alpha}_i$, we rely on context to specify which group it lies in.

To prove Proposition 6.1 we require the following lemma, which is a version of the well-suited curve criterion of Lanier and the third author of this paper [29]. In what follows, we denote by H_c the element of B_n given by a half-twist about a homotopy class of arcs c in \mathbb{D}_n .

Lemma 6.2. *Let $n \geq 5$ and let $f \in B_n$. Suppose there is a homotopy class of arcs c in \mathbb{D}_n such that either*

- (1) *c and $f(c)$ have disjoint representatives, or*

(2) c and $f(c)$ have representatives that share one endpoint and have disjoint interiors. Then the normal closure of f in B_n contains B'_n .

Proof. The hypotheses imply that $H_c H_{f(c)}^{-1}$ is conjugate in B_n to either $\sigma_1 \sigma_2^{-1}$ or $\sigma_1 \sigma_3^{-1}$. Since $H_c H_{f(c)}^{-1}$ is equal to $H_c f H_c^{-1} f^{-1}$ it follows that $\sigma_1 \sigma_2^{-1}$ or $\sigma_1 \sigma_3^{-1}$ lies in the normal closure of f . Since $\sigma_1 \sigma_2^{-1}$ and $\sigma_1 \sigma_3^{-1}$ are both normal generators for B'_n (see [32, Remark 1.10]), the lemma follows. \square

Proof of Proposition 6.1. Suppose that neither m or $m - 1$ is a multiple of n . By the classification of periodic elements in \bar{B}_m , we know that $\bar{\rho}(\alpha_1)$ is conjugate to a power of either $\bar{\alpha}_1$ or $\bar{\alpha}_2$. Since $\bar{\alpha}_1$ has order m and $\bar{\alpha}_2$ has order $m - 1$, it follows that there is an $\epsilon \in \{m, m - 1\}$ so that α_1^ϵ lies in the kernel of $\bar{\rho}$.

Since ϵ is not a multiple of n , it must be that α_1^ϵ is a non-central power of α_1 . All non-central powers of α_1 satisfy the hypotheses of Lemma 6.2. Thus, the normal closure of α_1^ϵ , hence the kernel of $\bar{\rho}$, contains B'_n . It follows that the image of $\bar{\rho}$ is cyclic, as desired.

The case where neither m or $m - 1$ is a multiple of $n - 1$ is essentially the same, with α_1 replaced by α_2 .

To prove the second statement, assume that $m = n$ and that $\bar{\rho}(\alpha_1) = \bar{\alpha}_1^k$ with $\gcd(k, n) \neq 1$. In this case there is a $0 < j < n$ such that $\bar{\rho}(\alpha_1^j) = 1$. In other words $\alpha_1^j \in \ker \bar{\rho}$. As above, an application of Lemma 6.2 completes the proof. \square

We have the following corollary of the first statement of Proposition 6.1.

Corollary 6.3. *Let $n \geq 5$, let $m \leq 2n$, and assume $m \neq n$. Let $\rho : B_n \rightarrow B_m$ be a homomorphism, and assume that $\bar{\rho}(z)$ is periodic. Then $\bar{\rho}$ has cyclic image.*

Proof. It is enough to show that m and n satisfy the hypotheses of the first statement of Proposition 6.1. To this end, we assume that one of $\{m, m - 1\}$ is divisible by n and show that both of $\{m, m - 1\}$ are indivisible by $n - 1$.

Suppose first that n divides m . Since $m \leq 2n$ and $m \neq n$, it must be that $m = 2n$. As $n > 3$, it follows that $m = 2n$ and $m - 1 = 2n - 1$ are both indivisible by $n - 1$.

Now suppose n divides $m - 1$. Since $m \leq 2n$ it must be that $m = n + 1$. As $n > 3$, it follows that $m = n + 1$ and $m - 1 = n$ are indivisible by $n - 1$, as desired. \square

7. TORSION TO PSEUDO-ANOSOV

The goal of this section is to prove Proposition 7.1 below. As above, we denote by $S_{0,n+1}$ a sphere with $n + 1$ marked points and we identify the group \bar{B}_n with the subgroup of $\text{Mod}(S_{0,n+1})$ fixing one distinguished marked point p . Also, we say that an element of \bar{B}_n is pseudo-Anosov if the corresponding element of $\text{Mod}(S_{0,n+1})$ is.

Proposition 7.1. *Let $m, n \geq 3$ and let $\rho : B_n \rightarrow B_m$ be a homomorphism. If $\bar{\rho}(z)$ is pseudo-Anosov then $\bar{\rho}$ has cyclic image.*

Before we can prove the proposition, we will need the following lemma, which concerns the structure of the centralizer of a pseudo-Anosov braid. The first statement has appeared in the literature, for instance in the work of González-Meneses [19, Proposition 4.2]; for completeness, we give a proof.

Lemma 7.2. *If $f \in \bar{B}_n$ is pseudo-Anosov, then the centralizer $C_{\bar{B}_n}(f)$ is abelian. Further, if $g \in C_{\bar{B}_n}(f)$ is pseudo-Anosov, then $C_{\bar{B}_n}(f) = C_{\bar{B}_n}(g)$.*

Proof. In any group, two commuting elements with abelian centralizers have equal centralizers. Thus, the second statement follows from the first. It remains to prove the first.

Suppose that $f \in \bar{B}_n$ is pseudo-Anosov. We may regard f as an element of $\text{Mod}(S_{0,n+1})$. Under the action of $\text{Mod}(S_{0,n+1})$ on the space $\text{PMF}(S_{0,n+1})$ of projective measured foliations, the element f has two fixed points, \mathcal{F}_s and \mathcal{F}_u , and acts with source-sink dynamics. Let \mathcal{G}^* denote the subgroup of $\text{Mod}(S_{0,n+1})$ consisting of elements that fix both \mathcal{F}_s and \mathcal{F}_u . Further, let $\mathcal{G}_0^* = \mathcal{G}^* \cap \bar{B}_n$. In other words, \mathcal{G}_0^* is the subgroup of \mathcal{G}^* consisting of elements that fix the distinguished marked point p .

McCarthy in [36] proved there is a short exact sequence

$$1 \rightarrow F \rightarrow \mathcal{G}^* \rightarrow \mathbb{Z} \rightarrow 1$$

where F is a finite group.

Let $C_{\text{Mod}}(f)$ denote the centralizer of f in $\text{Mod}(S_{0,n+1})$. Because of the source-sink dynamics, we have $C_{\text{Mod}}(f) \subseteq \mathcal{G}^*$. Since $C_{\bar{B}_n}(f) \subseteq C_{\text{Mod}}(f)$, we have $C_{\bar{B}_n}(f) \subseteq \mathcal{G}^*$. Since the elements of \bar{B}_n fix the distinguished marked point p we further have $C_{\bar{B}_n}(f) \subseteq \mathcal{G}_0^*$. Thus it suffices to show that the latter is abelian.

To this end, we restrict McCarthy's short exact sequence to \bar{B}_n :

$$1 \rightarrow F_0 \rightarrow \mathcal{G}_0^* \rightarrow \mathbb{Z} \rightarrow 1.$$

The group F_0 —indeed any finite subgroup of \bar{B}_n —may be regarded as a group of rotations about p . Because distinct elements have different angles of rotation about p , no two elements of F_0 are conjugate in \bar{B}_n . It follows that the conjugation action of \mathcal{G}_0^* on F_0 is trivial. In other words, F_0 is central in \mathcal{G}_0^* . Hence \mathcal{G}_0^* is abelian, as desired. \square

Proof of Proposition 7.1. The group B_n is equal to the centralizer of z and hence $\bar{\rho}(B_n)$ maps into the centralizer of the pseudo-Anosov element $\bar{\rho}(z)$. By Lemma 7.2, the latter is abelian. Since the abelianization of B_n is cyclic, the proposition follows. \square

8. CASTEL'S THEOREM AND EXTENSIONS

In this section we give our proof of Castel's classification of homomorphisms $B_n \rightarrow B_n$. Castel's original theorem classifies homomorphisms $B_n \rightarrow B_n$ for $n \geq 6$. In addition to extending the classification to the case $n \geq 5$ (Theorem 8.1), we prove as a separate theorem an extension to the case $n = 4$, which accounts for the exceptional homomorphism $B_4 \rightarrow B_3$ (Theorem 8.4). We prove each of these theorems in a separate subsection.

8.1. Castel's theorem. The following theorem is our extension of Castel's theorem to the case $n \geq 5$.

Theorem 8.1. *Let $n \geq 5$. Any homomorphism $\rho : B_n \rightarrow B_n$ is equivalent to either the trivial homomorphism or the identity.*

Before proceeding to the proof of Theorem 8.1, we require two preliminary lemmas.

Lemma 8.2. *Let $m, n \geq 3$, and let $\rho : B_n \rightarrow B_m$ be a homomorphism. Then ρ has cyclic image if and only if $\bar{\rho}$ has cyclic image.*

Proof. If ρ has cyclic image then $\bar{\rho}$ must be cyclic, since $\bar{\rho}$ is the post-composition of ρ with a quotient map. Now assume that $\bar{\rho}$ has cyclic image. Then we have an exact sequence

$$1 \rightarrow Z(B_m) \cap \rho(B_n) \rightarrow \rho(B_n) \rightarrow \bar{\rho}(B_n) \rightarrow 1,$$

so $\rho(B_n)$ is an extension of a cyclic group by a cyclic group. Since $Z(B_m) \cap \rho(B_n)$ is central in B_m , we in fact have that $\rho(B_n)$ is abelian. This implies that ρ factors through the abelianization of B_n , which is \mathbb{Z} . Hence ρ has cyclic image. \square

Lemma 8.3. *Let $n \geq 5$ and $m \geq 3$, and let $\rho : B_n \rightarrow B_m$ a homomorphism. If the canonical reduction system of $\bar{\rho}(\sigma_1)$ is empty, then $\bar{\rho}$ has cyclic image.*

Proof. The hypothesis implies that $\bar{\rho}(\sigma_1)$ is either periodic or pseudo-Anosov. We treat these two cases in turn.

Assume that $\bar{\rho}(\sigma_1)$ is periodic. Since $\bar{\rho}(\sigma_3)$ commutes with $\bar{\rho}(\sigma_1)$, together they generate a finite abelian subgroup of \bar{B}_m . As discussed in the proof of Lemma 7.2, any finite subgroup of \bar{B}_m is conjugate to a subgroup of either $\langle \bar{\alpha}_1 \rangle$ or $\langle \bar{\alpha}_2 \rangle$. In particular, the group generated by $\bar{\rho}(\sigma_1)$ and $\bar{\rho}(\sigma_3)$ is conjugate to a subgroup of either $\langle \bar{\alpha}_1 \rangle$ or $\langle \bar{\alpha}_2 \rangle$. Since no two distinct elements of $\langle \bar{\alpha}_1 \rangle \cup \langle \bar{\alpha}_2 \rangle$ are conjugate it follows that $\bar{\rho}(\sigma_1) = \bar{\rho}(\sigma_3)$. In other words, $\sigma_1 \sigma_3^{-1} \in \ker(\bar{\rho})$. Since $n \geq 5$, the commutator subgroup B'_n is normally generated in B_n by $\sigma_1 \sigma_3^{-1}$, it follows that $\bar{\rho}$ has cyclic image.

Now assume that $\bar{\rho}(\sigma_1)$ is pseudo-Anosov. Since each $\bar{\rho}(\sigma_i)$ is conjugate to $\bar{\rho}(\sigma_1)$, the $\bar{\rho}(\sigma_i)$ are all pseudo-Anosov. Since each $\bar{\rho}(\sigma_{2i-1})$ commutes with $\bar{\rho}(\sigma_1)$, they all have the same centralizer by Lemma 7.2. Since $n \geq 5$, each $\bar{\rho}(\sigma_{2j})$ commutes with some $\bar{\rho}(\sigma_{2i-1})$; hence all of the $\bar{\rho}(\sigma_i)$ have the same centralizer. In particular, they all commute with each other. It follows that the image of $\bar{\rho}$ is abelian. Since the abelianization of B_n is cyclic, it follows that $\bar{\rho}$ has cyclic image. \square

Proof of Theorem 8.1. We consider three cases, according to whether $\bar{\rho}(z)$...

- (1) is pseudo-Anosov,
- (2) is periodic, or
- (3) has non-empty canonical reduction system.

We treat the three cases in turn.

Case 1: $\bar{\rho}(z)$ is pseudo-Anosov. By Proposition 7.1, we have that $\bar{\rho}$ has cyclic image. By Lemma 8.2, ρ itself has cyclic image.

Case 2: $\bar{\rho}(z)$ is periodic. Assume $\bar{\rho}$ is not cyclic. We will show that ρ is equivalent to the identity. By Proposition 6.1(2), we may assume that $\bar{\rho}(\alpha_1)$ is equal to $\bar{\alpha}_1^k$, where $\gcd(k, n) = 1$.

By Lemma 8.3, we may assume that the canonical reduction system M of $\bar{\rho}(\sigma_1)$ is non-empty. Given this, the key to the proof is to show that M is a single curve surrounding exactly two marked points.

We first claim that $k \in \{\pm 1\}$. Suppose for contradiction that $k \notin \{\pm 1\}$. Since $\gcd(k, n) = 1$, it follows that k is a unit in \mathbb{Z}/n , and so it has a multiplicative inverse j . The inverse j does not lie in $\{\pm 1\}$, and so $\alpha_1^j \sigma_1 \alpha_1^{-j}$ commutes with σ_1 . Applying $\bar{\rho}$ to the last statement, and using the fact that $\bar{\rho}(\alpha_1^j) = (\bar{\alpha}_1^k)^j = \bar{\alpha}_1$, we conclude that $\bar{\alpha}_1 \bar{\rho}(\sigma_1) \bar{\alpha}_1^{-1}$ commutes with $\bar{\rho}(\sigma_1)$. The canonical reduction system of $\bar{\alpha}_1 \bar{\rho}(\sigma_1) \bar{\alpha}_1^{-1}$ is $\bar{\alpha}_1(M)$. By the commuting relation, it follows that the multicurves M and $\bar{\alpha}_1(M)$ have trivial geometric intersection. This violates Proposition 5.1, which says that each curve of M intersects its image $\bar{\alpha}_1(M)$ under $\bar{\alpha}_1$.

By the previous claim, we may assume that $\bar{\rho}(\alpha_1) = \bar{\alpha}_1$ (if necessary, we post-compose with the inversion automorphism of B_n).

Next we claim that M is a single curve surrounding exactly two marked points. Since $\alpha_1^t \sigma_1 \alpha_1^{-t}$ commutes with σ_1 for $t \in \{2, \dots, n-2\}$, it follows that $\bar{\rho}(\alpha_1^t) \bar{\rho}(\sigma_1) \bar{\rho}(\alpha_1^{-t})$ commutes with $\bar{\rho}(\sigma_1)$ for $t \in \{2, \dots, n-2\}$. By the previous claim, it further follows that $\bar{\alpha}_1^t \bar{\rho}(\sigma_1) \bar{\alpha}_1^{-t}$

commutes with $\bar{\rho}(\sigma_1)$ for $t \in \{2, \dots, n-2\}$. This means that $i(\bar{\alpha}_1^t(M), M) = 0$ for $t \in \{2, \dots, n-2\}$. The claim now follows from Proposition 5.3.

Let c_i denote the canonical reduction system of $\bar{\rho}(\sigma_i)$ for $1 \leq i \leq n-1$. By the previous paragraph, $c_1 = M$ is a single curve surrounding exactly two marked points. Since the σ_i are all conjugate in B_n , each c_i is a single curve surrounding exactly two marked points. Since σ_1 commutes with σ_i for $i \geq 3$, it follows that c_1 and c_i have trivial intersection for each such i . Also, since c_3 is equal to $\bar{\alpha}_1^2(c_1)$, it must be that c_1 and c_3 are distinct ($\bar{\alpha}_1^2$ preserves no set of two marked points).

We claim that there is an ℓ so that $\bar{\rho}(\sigma_i) = H_{c_i}^\ell$ for all i . As the σ_i are pairwise conjugate, it suffices to show that $\bar{\rho}(\sigma_1)$ is a power of H_{c_1} . Since c_1 is the canonical reduction system for $\bar{\rho}(\sigma_1)$, the mapping class $\bar{\rho}(\sigma_1)$ induces a mapping class r of the component R of $S_{0,n+1} \setminus c_1$ corresponding to the exterior of c_1 . The claim is equivalent to the statement that r is trivial. By the definition of the canonical reduction system, the mapping class r is either pseudo-Anosov or periodic. It cannot be pseudo-Anosov because it fixes c_3 . Thus r is periodic, and in particular a rotation. Since r fixes c_3 , it acts by rotation on the component R' of $R \setminus c_3$ corresponding to the exterior of c_3 . As r fixes the three punctures of R' corresponding to ∂D_n , c_1 , and c_3 , the induced rotation on R' is trivial. Hence r is trivial, as desired.

To complete the proof, we treat separately two cases, according to whether or not the c_i are pairwise distinct. Suppose first the c_i are not all distinct. By the previous claim it follows that $\sigma_i \sigma_j^{-1}$ lies in the kernel of $\bar{\rho}$. Since for $n \geq 5$ the normal closure of any $\sigma_i \sigma_j^{-1}$ is B'_n whenever $i \neq j$, it then follows that $\bar{\rho}$, hence ρ , has cyclic image (Lemma 8.2).

Now assume that the c_i are pairwise distinct. Since $\bar{\rho}(\sigma_1) = H_{c_1}^\ell$, we have that $\rho(\sigma_i) = H_{c_i}^\ell z^k$ for all i . Since z is central and σ_i and σ_{i+1} satisfy the braid relation, so too must $H_{c_i}^\ell$ and $H_{c_{i+1}}^\ell$. Bell and the third author proved [7, Lemma 4.9] that if $H_{c_i}^\ell$ and $H_{c_{i+1}}^\ell$ satisfy the braid relation and $c_i \neq c_{i+1}$ then $i(c_i, c_{i+1}) = 2$ and $\ell = \pm 1$. Up to post-composition by an inner automorphism and the inversion automorphism, we may assume that the c_i are standard and that $\ell = 1$, that is, $\rho(\sigma_i) = \sigma_i z^k$. Finally, we may modify ρ by the central transvection by z^{-k} in order to obtain the identity, completing the proof in the second case.

Case 3: $\bar{\rho}(z)$ has non-empty canonical reduction system. For this case we work directly with ρ instead of $\bar{\rho}$. Since $\rho(B_n)$ lies in the centralizer of $\rho(z)$, it follows that, through ρ , the group B_n acts by permutations on the set of components of M .

We claim the action of B'_n on the set of components of M is trivial. The number of components of M is at most $n-2$. Lin proved [32, Theorem A(c)] that any homomorphism $B'_n \rightarrow S_k$ with $k < n$ is trivial if $n \geq 5$; thus the action of B_n on the set of components of M is cyclic. The claim follows.

Let c be a component of M , and say that c surrounds exactly p marked points. By the previous claim, $\rho(B'_n)$ lies in the group $\text{Fix}_{B_n}(c)$. Lin proved that for $n \geq 5$ any homomorphism $B'_n \rightarrow B_k$ with $k < n$ is trivial [32, Theorem A(c)]. It follows that the groups $\Pi_i^c \circ \rho(B'_n)$ and $\Pi_e^c \circ \rho(B'_n)$ are trivial, as they are the images of homomorphisms that satisfy the hypotheses of Lin's theorem. Since the map $\Pi_i^c \times \Pi_e^c$ is injective (Lemma 3.1), it follows that $\rho(B'_n)$ is trivial, and so ρ has cyclic image, as desired. \square

8.2. The case of four strands. In this section we state and prove the following analogue of Castel's theorem for the case of B_4 . A new proof of this theorem was recently given by Orevkov [39, Theorem 1.7]

Theorem 8.4. *Let $\rho : B_4 \rightarrow B_4$ be a homomorphism. Then either*

- ρ factors through the exceptional homomorphism $B_4 \rightarrow B_3$ or
- ρ is equivalent to either the trivial map or the identity map.

The proof follows along the lines of our proof of Theorem 8.1. There are three ways in which the argument differs. The first issue is that the normal closure of $\sigma_1\sigma_3^{-1}$ in B_4 is not the commutator subgroup, but rather the kernel of the exceptional homomorphism $B_4 \rightarrow B_3$. Since we allow for this homomorphism in the statement of Theorem 8.4, this means we can use the same arguments as in the proof of Theorem 8.1, just with a different conclusion. Specifically, this issue arises in Lemma 8.3.

The second issue is that Proposition 5.3 does not hold as stated for $n = 4$. We will require a specialized version for $n = 4$. There is one additional possibility, namely, that M is a multicurve with two components, each surrounding two marked points. The proof of this version is essentially the same as for the $n \geq 5$ case, as per Proposition 5.3.

The third issue is that, in the case where we have a cabling $B_4 \rightarrow B_4$ (meaning that the image preserves a multicurve), we cannot conclude that the image is cyclic, again because of the exceptional homomorphism $B_4 \rightarrow B_3$. To deal with this, we first classify homomorphisms $B_4 \rightarrow B_3$. We begin with this classification, and then use it to prove Theorem 8.4.

Before proceeding to the classifications of homomorphisms $B_4 \rightarrow B_3$ we first prove the following lemma, which is the analogue of Theorem 8.2 for the case $n = 4$.

Lemma 8.5. *Let $m \geq 1$ and let $\rho : B_4 \rightarrow B_m$ be a homomorphism. Suppose that some $\sigma_i\sigma_j^{-1}$ lies in the kernel of $\bar{\rho}$. Then either ρ is cyclic or it factors through the exceptional map $B_4 \rightarrow B_3$.*

Proof. Suppose first that $\sigma_1\sigma_2^{-1}$ or $\sigma_2\sigma_3^{-1}$ lies in the kernel of $\bar{\rho}$. The normal closure of either contains B'_4 . It follows that the image of $\bar{\rho}$ is cyclic. As in the proof of Lemma 8.2, the image of ρ is cyclic.

If $\sigma_1\sigma_3^{-1}$ lies in the kernel of $\bar{\rho}$, then $\rho(\sigma_1\sigma_3^{-1})$ is central in B_m . Since $\rho(B'_4)$ is contained in B'_m (the image of a product of commutators is a product of commutators) and since $Z(B_m) \cap B'_m$ is trivial, it follows that $\sigma_1\sigma_3^{-1}$ lies in the kernel of ρ . Thus, ρ factors through the exceptional homomorphism $B_4 \rightarrow B_3$. \square

In the proof of the following proposition, we will use the fact that braid groups are Hopfian, which means that every surjective endomorphism is an automorphism. One way to see this is to use the Magnus embedding $B_n \rightarrow \text{Aut}(F_n)$, the fact that F_n is residually finite, the theorem of Baumslag that the group of automorphisms of a finitely generated residually finite group is residually finite [6, Theorem 1], and the fact that finitely generated residually finite groups are Hopfian [34, Theorem IV.4.10].

Proposition 8.6. *Let $\rho : B_4 \rightarrow B_3$ be a homomorphism. Then either ρ has cyclic image or it factors through the exceptional map $B_4 \rightarrow B_3$. Further, if ρ is surjective, then it is equivalent to the exceptional map $B_4 \rightarrow B_3$.*

Proof. The second statement follows from the first statement and the fact that B_3 is Hopfian. It remains to prove the first statement. This proof follows the same outline as the proof of Theorem 8.1. We consider three cases for $\bar{\rho}(z)$, according to whether it is pseudo-Anosov, periodic, or reducible.

If $\bar{\rho}(z)$ is pseudo-Anosov then it follows from Proposition 7.1 and Lemma 8.2 that ρ has cyclic image.

If $\bar{\rho}(z)$, hence $\bar{\rho}(\alpha_1)$, is periodic, then it follows that $\bar{\rho}(\alpha_1)$ has order 1, 2, or 3. We treat these three possibilities in turn. By Lemma 8.5, it suffices to show in each case that some $\sigma_i\sigma_j^{-1}$ lies in the kernel of $\bar{\rho}$.

If $\bar{\rho}(\alpha_1)$ has order 1, this means that α_1 lies in the kernel of $\bar{\rho}$, and (as in the proof of Proposition 6.1), the kernel of $\bar{\rho}$ contains $\sigma_1\sigma_2^{-1}$, as desired. If $\bar{\rho}(\alpha_1)$ has order 2, α_1^2 lies in the kernel of $\bar{\rho}$, and it follows that the kernel of $\bar{\rho}$ contains $\sigma_1\sigma_3^{-1}$, as desired. If $\bar{\rho}(\alpha_1)$ has order 3, we have that α_1^3 lies in the kernel of $\bar{\rho}$. Thus there is a conjugate of $\sigma_1\sigma_2^{-1}$ in the kernel of $\bar{\rho}$, again as desired.

Finally, suppose that $\bar{\rho}(z)$, hence $\rho(z)$, is reducible. In this case, there is a multicurve M , the canonical reduction system of $\rho(z)$, preserved by $\rho(B_4)$. Since M lies in \mathbb{D}_3 , it must be that M has a single component with exactly two marked points in the interior. Further, $\rho(B_4)$ lies in $\text{Fix}_{B_3}(M)$, which by Lemma 3.1 is isomorphic to $B_{1,1} \times B_2 = PB_2 \times B_2 \cong \mathbb{Z} \times \mathbb{Z}$. In particular, the image of ρ is abelian, hence cyclic. This completes the proof. \square

We are now ready for the proof of Theorem 8.4. The most difficult part of the proof is the case where ρ is a cabling and the reducing multicurve has two components (this is what comes from the extension of Proposition 5.3 to the case $n = 4$). This case parallels Case 3 of the proof of Theorem 1.1, which is the most difficult part of that proof. To deal with this case, we study an element $\varphi \in B_4$, which is conjugate to—but not equal to— α_1^2 . The interplay between these periodic elements leads to a contradiction.

Proof of Theorem 8.4. Again, there are three possibilities for $\bar{\rho}(z)$: it can be pseudo-Anosov, periodic, or reducible.

In the pseudo-Anosov case, the argument is exactly the same as in the proof of Theorem 8.1. In the reducible case, the argument is essentially the same, except we must apply Proposition 8.6 in the case where the reducing multicurve has exactly one component, which has exactly three marked points in the interior.

It remains to consider the case where $\rho(z)$, hence $\rho(\alpha_1)$, is periodic. We may assume that ρ does not have cyclic image and that it does not factor through the exceptional homomorphism $B_4 \rightarrow B_3$. By Lemma 8.5, this is equivalent to the assumption that no $\sigma_i\sigma_j^{-1}$ lies in $\ker \bar{\rho}$.

We claim that, up to conjugacy, we have $\bar{\rho}(\alpha_1) = \bar{\alpha}_1$. To prove this, we use the argument from the proof of Proposition 6.1 in order to rule out all other possibilities. First, if $\bar{\rho}(\alpha_1)$ were conjugate to $\bar{\alpha}_2^j$ for some j , then α_1^3 would be in the kernel of $\bar{\rho}$. The argument of Lemma 6.2 then shows that $\sigma_1\sigma_2^{-1}$ lies in the kernel of $\bar{\rho}$, contrary to assumption. Next, if $\bar{\rho}(\alpha_1)$ were conjugate to $\bar{\alpha}_1^2$, then $\sigma_1\sigma_3^{-1}$ would be in the kernel of $\bar{\rho}$, again contrary to assumption. The only remaining possibilities are that $\bar{\rho}(\alpha_1)$ is conjugate to $\bar{\alpha}_1^{\pm 1}$. Because the inversion automorphism of B_4 interchanges α_1 and α_1^{-1} , the claim follows.

We now claim that $\bar{\rho}(\sigma_1)$ has nonempty canonical reduction system M . By the same argument as in the proof of Lemma 8.3, we see that if $\bar{\rho}(\sigma_1)$ were periodic then $\sigma_1\sigma_3^{-1}$ would lie in $\ker \rho$. For the case where $\bar{\rho}(\sigma_1)$ is pseudo-Anosov, we use a variant of the argument used to prove Lemma 8.3 (this variant also applies in the case $n \geq 5$). Since $\bar{\rho}(\sigma_1)$ and $\bar{\rho}(\sigma_3)$ are commuting, conjugate pseudo-Anosov mapping classes, they have the same invariant foliations and stretch factors. It follows that $\bar{\rho}(\sigma_1\sigma_3^{-1})$, hence $\rho(\sigma_1\sigma_3^{-1})$ is periodic. But since $\sigma_1\sigma_3^{-1}$ lies in B'_4 , it must be that $\rho(\sigma_1\sigma_3^{-1})$ lies in B'_4 . The only periodic element of B'_n is the identity. This implies that ρ factors through the exceptional map.

There are three possibilities for M :

- (1) a single curve surrounding two marked points,
- (2) a single curve surrounding three marked points, or

(3) a pair of curves surrounding two marked points each.

In the first case, we see that ρ is equivalent to the identity map, just as in the proof of Theorem 8.1. We treat the other cases in turn. In the second case we derive a contradiction, and in the third case we show that ρ factors through the standard map $B_4 \rightarrow B_3$.

Suppose we are in the second case, so M consists a single curve a_1 surrounding three marked points. Since $\bar{\rho}(\alpha_1^2)$ conjugates $\bar{\rho}(\sigma_1)$ to $\bar{\rho}(\sigma_3)$, the canonical reduction system of $\bar{\rho}(\sigma_3)$ is a single curve a_3 surrounding three marked points. Since σ_1 and σ_3 commute we have $a_1 = a_3 = a$. This implies that $\bar{\rho}(\alpha_1^2)$ also preserves a . This is impossible because $\bar{\rho}(\alpha_1^2) = \bar{\alpha}_1^2$ does not preserve any set of three marked points.

We proceed to the third case. Up to conjugation in B_4 , we may assume that the canonical reduction system of $\bar{\rho}(\sigma_1)$ consists of the standard curves c_1 and c_3 . Because we are modifying ρ , we now only have that $\bar{\rho}(\alpha_1)$ is conjugate to $\bar{\alpha}_1$ instead of being equal.

Now, the braid $\bar{\rho}(\sigma_1)$ acts on $\{c_1, c_3\}$ and so $\bar{\rho}(\sigma_1^2)$ fixes both curves. It follows that $\bar{\rho}(\sigma_1^2) = \sigma_1^a \sigma_3^b$ for some nonzero a and b (here σ_1 and σ_3 are considered as elements of \bar{B}_4).

We claim that $a = b$. To this end, let φ be a conjugate of α_1^2 that commutes with σ_1 (this exists because α_1^2 itself commutes with σ_2 , and the latter is conjugate to σ_1). Since φ commutes with σ_1 , its image $\bar{\rho}(\varphi)$ acts on $\{c_1, c_3\}$. Since $\bar{\rho}(\varphi)$ is conjugate to $\bar{\alpha}_1^2$, it cannot preserve two disjoint curves, which implies that $\bar{\rho}(\varphi)$ must interchange c_1 and c_3 . We now see that

$$\bar{\rho}(\sigma_1^2) = \bar{\rho}(\varphi \sigma_1^2 \varphi^{-1}) = \sigma_1^b \sigma_3^a.$$

Since σ_1 and σ_3 generate a free abelian group, the claim follows.

Now, $\bar{\rho}(\sigma_1^2)$ is conjugate to $\bar{\rho}(\sigma_3^2)$ and commutes with it. But the only conjugate of $(\sigma_1 \sigma_3)^a$ that commutes with it is itself (here we use that fact that commuting elements have disjoint canonical reduction systems). It follows that $(\sigma_1 \sigma_3^{-1})^2$ is in the kernel of $\bar{\rho}$. Thus, $\rho(\sigma_1 \sigma_3^{-1})$ is a torsion element in B_4 . The latter is torsion free, and so in fact $\sigma_1 \sigma_3^{-1}$ lies in the kernel of ρ . This means that ρ factors through the standard map $B_4 \rightarrow B_3$. The theorem follows. \square

9. THE GROUP OF EVEN BRAIDS

Recall that B_n^2 is the group of even braids. The goal of this section is to prove Theorem 9.1, our extension of Theorem 8.1. For the statement, a central transvection of a map $\rho : B_n^2 \rightarrow B_n$ is a map $\rho^t : B_n^2 \rightarrow B_n$ given by $\rho^t(g) = \rho(g)t^{L^2(g)}$ where t lies in the centralizer of $\rho(B_n^2)$ and $L^2 : B_n^2 \rightarrow \mathbb{Z}$ is the abelianization (cf. Lemma 9.2).

Theorem 9.1. *Let $n \geq 5$, and let $\rho : B_n^2 \rightarrow B_n$ be a homomorphism. Then ρ is almost-conjugate to a central transvection of either the trivial map or the standard inclusion.*

As for the case of the full braid group we denote by $\bar{\rho}$ the homomorphism $\bar{\rho} : B_n^2 \rightarrow \bar{B}_n$ associated to a homomorphism $\rho : B_n^2 \rightarrow B_n$. Before proceeding to the proof of Theorem 9.1 we require a series of lemmas.

Lemma 9.2. *Let $n \geq 2$. The commutator subgroup of B_n^2 is B'_n and the abelianization B_n^2/B'_n is isomorphic to \mathbb{Z} .*

Proof. Since B'_n is contained in B_n^2 , and since $B_n^2/B'_n \subset B_n/B'_n \cong \mathbb{Z}$ is equal to the subgroup $2\mathbb{Z}$, we have a short exact sequence

$$1 \rightarrow B'_n \rightarrow B_n^2 \rightarrow 2\mathbb{Z} \rightarrow 1.$$

By the right-exactness of the abelianization functor, we obtain a further short exact sequence

$$(B'_n)^{ab} \rightarrow (B_n^2)^{ab} \rightarrow 2\mathbb{Z} \rightarrow 1.$$

Since B'_n is perfect, we have $(B'_n)^{ab} = 1$, and so the map $(B'_n)^{ab} \rightarrow 2\mathbb{Z}$ is an isomorphism. This shows that the abelianization of B_n^2 is cyclic, and that the kernel of the abelianization map $B_n^2 \rightarrow 2\mathbb{Z}$ is equal to B'_n . \square

Lemma 9.3. *Let $n \geq 3$, and let f be an element of B_n that commutes with some half-twist h . Then the set of B_n -conjugates of f is equal to the set of B'_n -conjugates of f . Both are equal to the set of B_n^2 -conjugates of f .*

Proof. Let $g \in B_n$ and consider the conjugate gfg^{-1} of f . Let ℓ denote the signed word length of g . Then $gh^{-\ell}$ lies in B'_n and $(gh^{-\ell})f(gh^{-\ell})^{-1}$ is equal to gfg^{-1} . Since $B'_n \subseteq B_n^2$, the second statement follows. \square

Lemma 9.4. *Let $n \geq 5$. The group B'_n is the normal closure in B'_n of $\sigma_1\sigma_3^{-1}$. Similarly, B'_n is the normal closure in B'_n of $\sigma_1\sigma_2^{-1}$.*

Proof. We already know that B'_n is generated by the B_n -conjugates of $\sigma_1\sigma_3^{-1}$, and also that B'_n is generated by the B_n -conjugates of $\sigma_1\sigma_2^{-1}$. The lemma thus follows from Lemma 9.3. \square

The periodic braids α_1 and α_2 have signed word length equal to $n-1$ and n , respectively. We conclude that $\alpha_1 \in B_n^2$ if and only if n is odd and that $\alpha_2 \in B_n^2$ if and only if n is even. Also, we see that $z = \alpha_1^n$ lies in B_n^2 for all n .

The following proposition is a version of Proposition 6.1(2) for B_n^2 .

Proposition 9.5. *Let $n \geq 5$. Let $\rho : B_n^2 \rightarrow B_n$ be a homomorphism. Assume that ρ does not have cyclic image and that $\rho(z)$ is periodic. Up to replacing ρ by a conjugate homomorphism, the following statements hold.*

- (1) *If n is odd, then $\bar{\rho}(\alpha_1) = \bar{\alpha}_1^k$ with $\gcd(k, n) = 1$.*
- (2) *If n is even, then $\bar{\rho}(\alpha_2) = \bar{\alpha}_2^k$ with $\gcd(k, n-1) = 1$.*

Proof. We prove the second statement only; the proof of the first statement is similar. As in the proof of Proposition 6.1, we may use the classification of periodic elements in \bar{B}_n in order to assume without loss of generality that either $\bar{\rho}(\alpha_2) = \bar{\alpha}_1^k$ or $\bar{\rho}(\alpha_2) = \bar{\alpha}_2^k$. Also, as in the same proof, it suffices to show that if $\bar{\rho}(\alpha_2)$ is equal to $\bar{\alpha}_1^k$ or if $\bar{\rho}(\alpha_2)$ is equal to $\bar{\alpha}_2^k$ with $\gcd(k, n-1) \neq 1$ then $\bar{\rho}$ has cyclic image.

Suppose first that $\bar{\rho}(\alpha_2) = \bar{\alpha}_1^k$. Because $\bar{\alpha}_1$ has order n we may assume that $0 \leq k < n$. Since $\alpha_1^n = \alpha_2^{n-1}$ we have that

$$\bar{\rho}(\alpha_1^2)^{n/2} = \bar{\rho}(\alpha_2)^{n-1}.$$

Combining this with the equality $\bar{\rho}(\alpha_2) = \bar{\alpha}_1^k$, we conclude that

$$\bar{\rho}(\alpha_1^2)^n = \bar{\alpha}_1^{2k(n-1)}.$$

As in the proof of Proposition 6.1(2), we consider the composition

$$\bar{B}_n \xrightarrow{p} \mathbb{Z}/n(n-1) \rightarrow \mathbb{Z}/n.$$

We apply this composition to the last equality. The left-hand side of the equality maps to 0 and the right-hand side maps to $2k(n-1)^2 \equiv 2k$. Thus k lies in $\{0, n/2\}$. In either case α_2^2 lies in the kernel of $\bar{\rho}$, and so by Lemma 6.2, we have that $\bar{\rho}$ has cyclic image, as desired.

We next show that if $\bar{\rho}(\alpha_2) = \bar{\alpha}_2^k$ with $\gcd(k, n-1) \neq 1$ then $\bar{\rho}$ has cyclic image. Since $\bar{\alpha}_2$ has order $n-1$ we may assume that $0 \leq k < n-1$. Let $t = (n-1)/\gcd(n-1, k)$; by the assumption that $\gcd(n-1, k) \neq 1$ we have that $0 < t < n-1$. We also have $(n-1)|kt$. We then have that $\bar{\rho}(\alpha_2^t)$ is equal to $(\bar{\alpha}_2^k)^t$. Since $(n-1)|kt$, the latter is the identity, which means that $\alpha_2^t \in \ker \bar{\rho}$. By Lemma 6.2, the map $\bar{\rho}$ has cyclic image, as desired. \square

Lemma 9.6. *Assume that $n \geq 5$. Let $\rho : B_n^2 \rightarrow B_n$ be a homomorphism. If $\bar{\rho}(\sigma_1^2)$ has trivial canonical reduction system then $\bar{\rho}$ has cyclic image.*

Proof. Suppose $\bar{\rho}(\sigma_1^2)$ has trivial canonical reduction system. Then $\bar{\rho}(\sigma_1^2)$ is either periodic or pseudo-Anosov. We consider the two cases separately. The proof follows the same outline as the proof of Lemma 8.3.

Assume that $\bar{\rho}(\sigma_1^2)$ is pseudo-Anosov. By Lemma 9.3, the braids σ_i^2 are pairwise conjugate in B_n^2 . Because of this, the $\bar{\rho}(\sigma_i^2)$ are all pseudo-Anosov. The $\bar{\rho}(\sigma_{2i-1}^2)$ also commute pairwise, and so Lemma 7.2 implies that they all have equal centralizers. Since $n \geq 5$, each $\bar{\rho}(\sigma_{2i}^2)$ commutes with some $\bar{\rho}(\sigma_{2i-1}^2)$, and so it further follows that all of the $\bar{\rho}(\sigma_i^2)$ have the same centralizer in \bar{B}_n . In particular, they all commute.

Each $\bar{\rho}(\sigma_1\sigma_k^{-1})$ commutes with either $\bar{\rho}(\sigma_1^2)$ (when $k > 2$) or $\bar{\rho}(\sigma_4^2)$ (when $k = 2$). It follows that each $\bar{\rho}(\sigma_1\sigma_k^{-1})$ lies in the centralizer of $\bar{\rho}(\sigma_1^2)$ in \bar{B}_n .

Gorin–Lin [32, p. 7] gave a finite presentation for B'_n . A consequence of their presentation is that B'_n is generated by the braids $\{\sigma_i\sigma_1^{-1} \mid 2 \leq i \leq n-1\}$ (their generating set includes elements v and w , but their relations (1.16) and (1.20) show that these elements are products of the other generators). It follows that the group B_n^2 is generated by these and the σ_i^2 .

We already showed that the image of each of the generators for B_n^2 given in the previous paragraph lies in the centralizer of $\bar{\rho}(\sigma_1^2)$ in \bar{B}_n . By Lemma 7.2, this centralizer is abelian. Therefore, the image of $\bar{\rho}$ is abelian. It follows that the image of ρ is abelian, hence it has cyclic image by Lemma 9.2 and the analogue of Lemma 8.2 for B_n^2 (the proof is the same).

Next, we assume that $\bar{\rho}(\sigma_1^2)$ is periodic. As in the proof of Lemma 8.3, we have that $\bar{\rho}(\sigma_1^2)$ and $\bar{\rho}(\sigma_3^2)$ generate a finite abelian subgroup of \bar{B}_n that is conjugate to a subgroup of either $\langle \bar{\alpha}_1 \rangle$ or $\langle \bar{\alpha}_2 \rangle$. Since σ_1^2 is conjugate to σ_3^2 in B_n^2 by Lemma 9.3, we must have $\bar{\rho}(\sigma_1^2) = \bar{\rho}(\sigma_3^2)$. In other words, $\bar{\rho}(\sigma_1\sigma_3^{-1})^2 = 1$. This implies that $\rho(\sigma_1\sigma_3^{-1})^2 = z^m$ for some m , hence that z^m lies in the commutator subgroup of B_n . It follows that $m = 0$. In other words, $\rho(\sigma_1\sigma_3^{-1})^2 = 1$. Since B_n is torsion-free, we have that $\rho(\sigma_1\sigma_3^{-1}) = 1$. We may then conclude that all $\bar{\rho}(\sigma_i^2)$ are equal and thus that $\bar{\rho}$, hence ρ , has cyclic image. \square

The following lemma (and a proof) appears in the paper by the second and third authors [28, Lemma 3.2].

Lemma 9.7. *Let $n \geq 4$, let c and d be disjoint curves in \mathbb{D}_n that surround exactly two marked points each, and suppose that the braid $(H_c H_d^{-1})^\ell$ has a k th root f . Then ℓ is divisible by k and*

$$f = (H_c H_d^{-1})^{\ell/k}.$$

We require one final lemma.

Lemma 9.8. *Let $n \geq 5$, and let $\rho : B_n^2 \rightarrow B_n$ be a homomorphism. Suppose that $\bar{\rho}(\sigma_i^2) = \sigma_i^2$ for all i . Then ρ is a central transvection of the standard inclusion.*

Proof. The group B_n^2 is generated by B'_n together with the σ_i^2 . By assumption, and the fact that the σ_i^2 are conjugate in B_n^2 , there is an s so that $\rho(\sigma_i^2) = \sigma_i^2 z^s$ for all i . Since the signed word length of each element of B'_n is 0, it suffices to show that the restriction $\rho|_{B'_n}$ is the standard inclusion.

As in the proof of Lemma 9.6, B'_n is generated by the braids $\{\sigma_i\sigma_1^{-1} \mid 2 \leq i \leq n-1\}$. To complete the proof we will show that $\rho(\sigma_i\sigma_j^{-1}) = \sigma_i\sigma_j^{-1}$ for all $1 \leq i < j \leq n-1$.

If $|i-j| > 1$ then

$$\rho(\sigma_i\sigma_j^{-1})^2 = \rho(\sigma_i^2\sigma_j^{-2}) = \sigma_i^2\sigma_j^{-2} = (\sigma_i\sigma_j^{-1})^2.$$

It now follows from Lemma 9.7 that $\rho(\sigma_i\sigma_j^{-1}) = \sigma_i\sigma_j^{-1}$, as desired.

If $|i - j| = 1$, we choose some k with $|i - k| > 1$ and $|j - k| > 1$. We then have

$$\rho(\sigma_i\sigma_j^{-1}) = \rho(\sigma_i\sigma_k^{-1})\rho(\sigma_k\sigma_j^{-1}) = (\sigma_i\sigma_k^{-1})(\sigma_k\sigma_j^{-1}) = \sigma_i\sigma_j^{-1},$$

as desired. \square

Proof of Theorem 9.1. As in the proof of Theorem 8.1 we consider three cases, according to whether $\bar{\rho}(z)$...

- (1) is pseudo-Anosov,
- (2) is periodic, or
- (3) has non-empty canonical reduction system.

Case 1: $\bar{\rho}(z)$ is pseudo-Anosov. By the same argument as in Proposition 7.1, we have that $\bar{\rho}$ has cyclic image (the image of $\bar{\rho}$ lies in $C_{\bar{B}_n}(\bar{\rho}(z))$, which is abelian by Lemma 7.2). Lemma 9.2 gives that $(B_n^2)' = B_n'$ and $B_n^2/(B_n^2)' \cong \mathbb{Z}$. Thus, the image of $\bar{\rho}$ is cyclic. By the same argument as in Lemma 8.2, ρ itself has cyclic image.

Case 2: $\bar{\rho}(z)$ is periodic. Assume that ρ does not have cyclic image. As in Case 1, this implies that the image of $\bar{\rho}$ is not cyclic.

We first claim that, up to replacing ρ with a conjugate homomorphism, $\bar{\rho}(\sigma_i^2) = H_{c_i}^\ell$, where each c_i is a curve surrounding exactly two marked points. The argument is essentially the same as the argument in the first seven paragraphs of Case 2 of the proof of Theorem 8.1, except with $\bar{\rho}(\sigma_1)$ replaced by $\bar{\rho}(\sigma_1^2)$, with Proposition 6.1(2) replaced by Lemma 9.5, with Lemma 8.3 replaced by Lemma 9.6, and—in the case of n even—with α_1 replaced by α_2 . As in that proof, the steps are:

- (1) $\bar{\rho}(\alpha_1) = \bar{\alpha}_1^k$ with $\gcd(k, n) = 1$,
- (2) the canonical reduction system M of $\bar{\rho}(\sigma_1^2)$ is nonempty,
- (3) $k = 1$,
- (4) M consists of a single curve c_1 surrounding two marked points, and
- (5) $\bar{\rho}(\sigma_i^2) = H_{c_i}^\ell$.

In order, these steps rely on Lemma 9.5, Lemma 9.6, Proposition 5.1, Proposition 5.3, and the Nielsen–Thurston classification theory.

By Lemma 9.3, we have for each $1 \leq i \leq n-1$ that σ_i^2 is conjugate to σ_1^2 in B_n^2 . Combining this with the previous claim, it follows that there exist curves c_i , each surrounding exactly two marked points, so that $\bar{\rho}(\sigma_i^2) = H_{c_i}^\ell$ for $1 \leq i \leq n-1$.

As in the proof of Case 2 of Theorem 8.1, we continue by treating two cases, according to whether or not the c_i are pairwise distinct.

Suppose first the c_i are not distinct, that is, $c_j = c_k$ for some $j < k$. We claim the c_i are all equal. Indeed, if $k = j + 1$, then by the same argument used to prove Lemma 9.3, the ordered pair (σ_j^2, σ_k^2) is conjugate in B_n^2 to each pair $(\sigma_i^2, \sigma_{i+1}^2)$, and the claim follows. Similarly, if $k > j + 1$ then (σ_j^2, σ_k^2) is conjugate in B_n^2 to each pair $(\sigma_i^2, \sigma_{i+\ell}^2)$ with $\ell \geq 2$. Since $n \geq 5$, the claim again follows. Indeed, given distinct $p, q \in \{1, \dots, n-1\}$, there is a sequence $p = p_0, \dots, p_N = q$ with $|p_i - p_{i+1}| > 1$. Thus, the c_{p_i} are all equal, as desired.

Given the claim we obtain that $\bar{\rho}(\sigma_1^2\sigma_3^{-2}) = 1$. It follows that $\rho(\sigma_1^2\sigma_3^{-2}) = 1$. Since B_n is torsion free, $\rho(\sigma_1\sigma_3^{-1}) = 1$. We also obtain $\rho(\sigma_1\sigma_2^{-1}) = \rho(\sigma_1\sigma_4^{-1})\rho(\sigma_4\sigma_2^{-1}) = 1$. Thus the image of ρ is cyclic, contrary to assumption.

We may now assume henceforth that the c_i are pairwise distinct. We claim that ℓ is even and that

$$\rho(\sigma_2\sigma_4^{-1}) = (H_{c_2}H_{c_4}^{-1})^r$$

where $\ell = 2r$, and that c_2 is disjoint from c_4 . As above, we have $\bar{\rho}(\sigma_2^2) = H_{c_2}^\ell$ and so $\rho(\sigma_2^2) = H_{c_2}^\ell z^s$. Since σ_2^2 commutes with σ_4^2 , we have that c_2 is disjoint from c_4 , which is the second statement of the claim. It follows that H_{c_2} and H_{c_4} commute, and so

$$\rho(\sigma_2\sigma_4^{-1})^2 = \rho(\sigma_2^2\sigma_4^{-2}) = \rho(\sigma_2^2)\rho(\sigma_4^{-2}) = (H_{c_2}H_{c_4}^{-1})^\ell.$$

It follows that $\rho(\sigma_2\sigma_4^{-1})$ is equal to a square root of $(H_{c_2}H_{c_4}^{-1})^\ell$. The claim follows now from Lemma 9.7.

Our next claim is that, up to conjugation of ρ , we have $\ell = 2r = 2$ and $i(c_1, c_2) = 2$. Since σ_4 commutes with σ_1 and σ_2 , and since σ_1 and σ_2 satisfy the braid relation, the braids $\sigma_1\sigma_4^{-1}$ and $\sigma_2\sigma_4^{-1}$ also do. It follows that their ρ -images satisfy the braid relation. Since c_4 is disjoint from c_1 and c_2 (the previous claim), it follows that $H_{c_1}^r$ and $H_{c_2}^r$ satisfy the braid relation. As in Case 2 of the proof of Theorem 8.1, the claim then follows from the result of Bell and the third author cited there.

We now claim that, up to post-composing ρ by an automorphism of B_n , we have $\rho(\sigma_i^2) = \sigma_i^2 z^s$. By the argument used to prove Lemma 9.3, each ordered pair $(\sigma_i^2, \sigma_{i+1}^2)$ is conjugate in B_n^2 to (σ_1^2, σ_2^2) . Since $i(c_1, c_2) = 2$, it follows that $i(c_i, c_{i+1}) = 2$ for all $1 \leq i \leq n-1$. For $1 \leq i, j \leq n-1$ the braids σ_{2i}^2 and σ_{2j}^2 commute, and so $i(c_{2i}, c_{2j}) = 0$. Combining the last two sentences, we conclude the claim as in the proof of Case 2 of Theorem 8.1.

Using the previous claim, an application of Lemma 9.8 completes the proof of Case 2.

Case 3: $\bar{\rho}(z)$ has nonempty canonical reduction system. Let M denote the canonical reduction system of $\bar{\rho}(z)$. As in Case 3 of the proof of Theorem 8.1, we have that $\rho(B'_n)$ lies in $\text{Fix}_{B_n}(M)$. Also as in that proof, $\Pi_i^c \circ \rho(B'_n)$ and $\Pi_e^c \circ \rho(B'_n)$ are trivial by the work of Lin. This implies that ρ has cyclic image. This completes the proof. \square

10. CABLING

As in Section 2, a map $\rho : B_n \rightarrow B_{2n}$ is a *2-fold cabling map* if there is a multicurve M in \mathbb{D}_{2n} that has n components and so that the action of $\rho(B_n)$ on the set of components of M is (conjugate to) the standard action through $B_n \rightarrow S_n$ the symmetric group. We refer to M as the *cabling multicurve* for ρ . We note that each component of M surrounds exactly two marked points in \mathbb{D}_{2n} . The goal of this section is to prove the following proposition.

Proposition 10.1. *Let $n \geq 2$ and let $\rho : B_n \rightarrow B_{2n}$ be a 2-fold cabling map. Then ρ is equivalent to one of the standard k -twist cabling maps.*

Let $C = \{c_1, c_3, \dots, c_{2n-1}\}$ be the standard multicurve in \mathbb{D}_{2n} , so that $H_{c_i} = \sigma_i$. By Lemma 3.2 we have associated to C a homomorphism $\Pi_e^C : \text{Stab}_{B_{2n}}(C) \rightarrow B_n$.

Lemma 10.2. *Let $n \geq 2$ and let $\rho : B_n \rightarrow B_{2n}$ be a 2-fold cabling map. Up to equivalence, ρ satisfies the following:*

- (1) ρ is a 2-fold cabling map with cabling multicurve $C = \{c_1, c_3, \dots, c_{2n-1}\}$, and
- (2) ρ is a section of the map $\Pi_e^C : \text{Stab}_{B_{2n}}(C) \rightarrow B_n$.

Proof. Up to conjugation of ρ , we may assume that the cabling multicurve for ρ is C , as per the first statement. We will modify ρ so that it also satisfies the second. By Lemma 3.2, we

have a split short exact sequence

$$1 \rightarrow \prod_{i=1}^n B_2 \rightarrow \text{Stab}_{B_{2n}}(C) \xrightarrow{\Pi_e^C} B_n \rightarrow 1.$$

Since C is the cabling multicurve for ρ we have $\rho(B_n) \subset \text{Stab}_{B_{2n}}(C)$. The composition $\Pi_e^C \circ \rho$ is an endomorphism of B_n .

By the definition of a 2-fold cabling map, we have that the post-composition of $\Pi_e^C \circ \rho$ by the projection $B_n \rightarrow S_n$ is standard. In particular $\Pi_e^C \circ \rho$ does not have cyclic image. It then follows from Theorem 8.1 that $\Pi_e^C \circ \rho$ is equivalent to the identity. In other words, there is an $A \in \text{Aut } B_n$ and a $t \in \mathbb{Z}(B_n)$ so that

$$A \circ (\Pi_e^C \circ \rho)^t$$

equals the identity map $B_n \rightarrow B_n$.

Say that A is induced by the (possibly orientation-reversing) homeomorphism f . There is a homeomorphism \tilde{f} of \mathbb{D}_{2n} that fixes the components of C and induces f under the operation of collapsing the disks bounded by the components of C (this is analogous to the map Π_e^C , but allowing for orientation-reversing maps). Conjugation by \tilde{f} induces an automorphism $\tilde{A} \in \text{Aut } B_{2n}$. This \tilde{A} has the property that $A \circ \Pi_e^C = \Pi_e^C \circ \tilde{A}$. Let $u = z^k \in B_{2n}$ be the unique such element so that $\Pi_e^C(u) = A(t)$.

We claim that

$$A \circ (\Pi_e^C \circ \rho)^t = \Pi_e^C \circ (\tilde{A} \circ \rho^{\tilde{A}^{-1}(u)}).$$

Since the former is the identity, the claim implies that the latter is the identity, which gives the second statement.

By evaluating on the generators σ_i , we have that

$$A \circ (\Pi_e^C \circ \rho)^t = (A \circ \Pi_e^C \circ \rho)^{A(t)} = (\Pi_e^C \circ \tilde{A} \circ \rho)^{A(t)}$$

The latter is a homomorphism because the first one is. By evaluating on the generators σ_i , we know that

$$(\Pi_e^C \circ \tilde{A} \circ \rho)^{A(t)} = \Pi_e^C \circ (\tilde{A} \circ \rho)^u.$$

The map $(\tilde{A} \circ \rho)^u$ is a homomorphism since it is a central transvection of a homomorphism. Similarly by evaluating on the generators, we also have

$$(\tilde{A} \circ \rho)^u = \tilde{A} \circ \rho^{\tilde{A}^{-1}(u)}$$

Combining the above equalities gives the claim. \square

Proof of Proposition 10.1. By Lemma 10.2 we may assume that the cabling multicurve for ρ is the standard multicurve C , and that ρ is a section of Π_e^C . As per Section 3, the kernel of $\Pi_e^C : \text{Stab}_{B_{2n}}(C) \rightarrow B_n$ is isomorphic to $B_2 \times \cdots \times B_2 \cong \mathbb{Z}^n$. With respect to the semi-direct product decomposition for $\text{Stab}_{B_{2n}}(C)$ from Lemma 3.2 we can thus write the standard k -twist cabling map ρ_k as

$$\rho_k(\sigma_i) = (\sigma_i, (0, \dots, 0, k, 0, \dots, 0))$$

where k lies in the i th entry. Similarly, since $\rho(B_n)$ also lies in $\text{Stab}_{B_{2n}}(C)$, it follows from Lemma 10.2(2) that we may describe each $\rho(\sigma_i)$ as

$$\rho(\sigma_i) = (\sigma_i, (k_{i,1}, \dots, k_{i,n}))$$

for some $(k_{i,1}, \dots, k_{i,n}) \in \mathbb{Z}^n$. In the statement of the following claim we denote $k_{1,4}$ by a .

Claim. Whenever $j \notin \{i, i+1\}$ we have $k_{i,j} = a$. In other words, for all i we have

$$\rho(\sigma_i) = (a, \dots, a, k_{i,i}, k_{i,i+1}, a, \dots, a).$$

Proof of claim. We first consider the commuting relations and then the braid relations. If $|i-j| > 1$, then σ_i commutes with σ_j . Thus

$$\rho(\sigma_i)\rho(\sigma_j) = \rho(\sigma_j)\rho(\sigma_i).$$

Using the formulas for $\rho(\sigma_i)$ and $\rho(\sigma_j)$ above and using the definition of the semi-direct product structure on $B_n \rtimes \mathbb{Z}^n$, we conclude that

$$\begin{aligned} k_{i,i} + k_{j,i+1} &= k_{j,i} + k_{i,i} \quad \text{and} \\ k_{j,j+1} + k_{i,j} &= k_{i,j+1} + k_{j,j+1}. \end{aligned}$$

(The commuting relation gives n relations between the $k_{i,p}$ and the $k_{j,q}$, but $n-4$ are trivial and of the remaining four, two of the relations differ from the other two by interchanging i and j .)

In the same way, we obtain equalities from the braid relations $\sigma_i\sigma_{i+1}\sigma_i = \sigma_{i+1}\sigma_i\sigma_{i+1}$. For $\ell \notin \{i, i+1, i+2\}$ we obtain from the ℓ th cable the equation

$$2k_{i,\ell} + k_{i+1,\ell} = k_{i,\ell} + 2k_{i+1,\ell},$$

and we conclude that

$$k_{i,\ell} = k_{i+1,\ell} \quad \text{for } \ell \notin \{i, i+1, i+2\}.$$

From the i th and $(i+1)$ st cables we obtain the equalities

$$\begin{aligned} k_{i,i} + k_{i+1,i+1} + k_{i,i+2} &= k_{i+1,i} + k_{i,i} + k_{i+1,i+1} \quad \text{and} \\ k_{i,i+1} + k_{i+1,i} + k_{i,i} &= k_{i+1,i+1} + k_{i,i+2} + k_{i+1,i+2}. \end{aligned}$$

Thus

$$\begin{aligned} k_{i,i+2} &= k_{i+1,i} \quad \text{and} \\ k_{i,i+1} + k_{i,i} &= k_{i+1,i+1} + k_{i+1,i+2}. \end{aligned}$$

From the four sets of equations

$$k_{j,i+1} = k_{j,i} \quad \text{for } |i-j| > 1, \quad k_{i,i+2} = k_{i+1,i} \quad \text{and} \quad k_{i,\ell} = k_{i+1,\ell} \quad \text{for } \ell \notin \{i, i+1, i+2\},$$

the claim now follows.

We now use the claim to complete the proof. Let $t = (\sigma_1\sigma_3 \cdots \sigma_{2n-1})^{-a}$. This element lies in the center of $\text{Stab}_{B_{2n}}(C)$ and hence centralizes $\rho(B_n)$. The transvection of ρ by t has the effect of subtracting a from each coordinate of the second factor of each $\rho(\sigma_i)$. Thus

$$\rho^t(\sigma_i) = (\sigma_i, (0, \dots, 0, k_{ii} - a, k_{i,i+1} - a, 0, \dots, 0)).$$

By basic linear algebra, the system of equations

$$\{x_{i+1} - x_i = a - k_{i,i+1}\},$$

in the variables x_1, \dots, x_n has infinitely many integer solutions. We fix one such vector of solutions (e_1, \dots, e_n) .

Let A denote the inner automorphism of B_{2n} corresponding to conjugation by

$$h = \sigma_1^{e_1} \sigma_3^{e_2} \cdots \sigma_{2n-1}^{e_n}.$$

Then

$$A \circ \rho^t(\sigma_i) = (\sigma_i, (0, \dots, 0, a_i, b_i, 0, \dots, 0))$$

where

$$\begin{aligned} a_i &= e_i - e_{i+1} + k_{i,i} - a & \text{and} \\ b_i &= e_{i+1} - e_i + k_{i,i+1} - a. \end{aligned}$$

By our choice of the e_i , we have that the b_i are all zero and for each i we have

$$\begin{aligned} a_i &= e_i - e_{i+1} + k_{i,i} - a \\ &= (k_{i,i+1} - a) + k_{i,i} - a \\ &= (k_{i,i+1} + k_{i,i}) - 2a. \end{aligned}$$

Since $k_{i,i+1} + k_{i,i} = k_{i+1,i+1} + k_{i+1,i+2}$, the latter is independent of i , as desired. \square

11. PROOF OF THE MAIN THEOREM

Theorem 1.1 says that every homomorphism $B_n \rightarrow B_{2n}$ is equivalent to exactly one standard homomorphism. In this section we first prove the uniqueness statement (Proposition 11.1). Then we complete the proof of Theorem 1.1 using both Theorems 8.1 and 9.1.

Proposition 11.1. *Let $n \geq 5$. The standard homomorphisms $B_n \rightarrow B_{2n}$ are pairwise inequivalent.*

Proof. If two standard homomorphisms $B_n \rightarrow B_{2n}$ are equivalent, then their restrictions to B'_n are almost-conjugate. Indeed, this follows from three facts: the fact that transvections of standard homomorphisms are central (Corollary 4.4), the fact that central transvections are given by the formula $\rho^t(g) = \rho(g)t^{L(g)}$ (where L is the abelianization), and the fact that the restriction $L|_{B'_n}$ is trivial.

By the previous paragraph, it suffices to show that the restrictions to B'_n of the standard homomorphisms are pairwise not almost-conjugate. To this end, we consider the image of $\sigma_1\sigma_3^{-1}$ under each standard homomorphism.

- (1) trivial map: *identity*
- (2) inclusion: $\sigma_1\sigma_3^{-1}$
- (3) diagonal inclusion: $\sigma_1\sigma_3^{-1}\sigma_{n+1}\sigma_{n+3}^{-1}$
- (4) flip diagonal inclusion: $\sigma_1\sigma_3^{-1}\sigma_{n+1}^{-1}\sigma_{n+3}$
- (5) k -twist cabling map: $(\sigma_2\sigma_1\sigma_3\sigma_2\sigma_1^k)(\sigma_6\sigma_5\sigma_7\sigma_6\sigma_5^k)^{-1}$

Let c_{1234} and c_{5678} be the round curves in \mathbb{D}_{2n} surrounding the first four and next four marked points, respectively. The square of the last element is the multitwist

$$(\sigma_1^k\sigma_3^k T_{c_{1234}})(\sigma_5^k\sigma_7^k T_{c_{5678}})^{-1}.$$

For distinct k , the corresponding multitwists do not differ by an automorphism of B_{2n} (cf. [35, Lemma 1]), and so the k -twist cabling maps are pairwise inequivalent.

The canonical reduction systems for the above images are as follows.

- (1) trivial map:
- (2) inclusion: $\{c_1, c_3\}$
- (3) diagonal inclusion: $\{c_1, c_3, c_{n+1}, c_{n+3}\}$
- (4) flip diagonal inclusion: $\{c_1, c_3, c_{n+1}, c_{n+3}\}$
- (5) k -twist cabling map: $\{c_1, c_3, c_{1234}, c_5, c_7, c_{5678}\}$

Simply by counting the numbers of curves in these canonical reduction systems, we see that the only two standard homomorphisms that can possibly be equivalent are the diagonal inclusion and the flip diagonal inclusion. So it remains to show that their restrictions to B'_n

are not almost-conjugate. For this we consider the images of $\sigma_1^{L(z)} z^{-1}$. Let d_0 and d_1 be the round curves surrounding the first n and last n marked points, as in the previous subsection. The images are as follows.

- (1) diagonal inclusion: $(\sigma_1 \sigma_{n+1})^{L(z)} (T_{d_0} T_{d_1})^{-1}$
- (2) flip diagonal inclusion: $(\sigma_1 \sigma_{n+1}^{-1})^{L(z)} T_{d_0}^{-1} T_{d_1}$

These do not differ by an automorphism of B_{2n} , and so the proof is complete. \square

We now prove the main theorem.

Proof of Theorem 1.1. As in the statement, we fix some $n \geq 5$. By Proposition 11.1, the standard homomorphisms $B_n \rightarrow B_{2n}$ are pairwise inequivalent. Also, by Corollary 4.4, a trasvection of a standard homomorphism is central, and so the second statement of the theorem follows from the first. It remains to show that any homomorphism $B_n \rightarrow B_{2n}$ is equivalent a standard homomorphism.

To this end, we will prove by strong induction on m the following statement: for $m \leq 2n$, every homomorphism $B_n \rightarrow B_m$ is equivalent to a standard homomorphism, and in particular if $m < 2n$ then it is centrally equivalent to either the trivial map or to the inclusion map. The base case is $m = n$, which is Theorem 8.1. Therefore, we may assume that $n < m \leq 2n$.

Denote the canonical reduction system of $\rho(z)$ by M . It follows from Corollary 6.3 and Proposition 7.1 that if M is empty then the induced map $\bar{\rho}$ has cyclic image, and then from Lemma 8.2 that ρ has cyclic image (hence is equivalent to the trivial map). Thus, we may henceforth assume that M is non-empty.

As in the proof of Theorem 8.1, the map ρ induces an action of B_n on the set of components of M . We may write M as a union of multicurves M_p , where each component of M_p is a curve surrounding exactly p marked points. The action of B_n further restricts to an action on each M_p . Each M_p has at most m/p components. Since $m \leq 2n$ and $p \geq 2$, each M_p has at most n components.

For each p , the action of B_n on the set of components of M_p factors through a homomorphism

$$\Pi_p : B_n \rightarrow B_k$$

where $k = |M_p|$. The map Π_p is the composition of two maps. The first is the map

$$\Pi_e^{M_p} : B_n \rightarrow B_{n-kp+k}$$

from Section 3 and the second is the map $B_{n-kp+k} \rightarrow B_k$ obtained by forgetting the marked points not coming from M_p .

Since $k < m \leq 2n$, it follows from induction that Π_p is equivalent to either the trivial map or the identity map. There are two possibilities:

- (1) there is a p so that Π_p is equivalent to the identity map, or
- (2) all of the Π_p are equivalent to the trivial map.

In the first case it must be that $p = 2$ and the action of $\rho(B_n)$ on the set of components of M_2 is standard. By Proposition 10.1, ρ is equivalent to a k -twist cabling map.

We may assume henceforth that we are in the second case. In this case, it must be that the action of $\rho(B_n)$ on the set of components of each M_p , hence on the set of components of M , is cyclic.

Let P be the largest number so that M_P is nonempty. If $P < n$, then we may use a similar argument to the one used in Case 3 of the proof of Theorem 8.1 to show that ρ has cyclic image. Indeed, since Π_p is equivalent to the trivial map, it has cyclic image. Thus, the image

of B'_n under Π_p is trivial. As in the proof of Theorem 8.1, a theorem of Lin gives that $\rho(B'_n)$ is trivial, and hence $\rho(B_n)$ has cyclic image.

So we may henceforth assume that $P \geq n$. We now complete the proof in three separate cases:

- (1) M_P has one component.
- (2) M_P has two components, both fixed by ρ .
- (3) M_P has two components, interchanged by the action of ρ .

In both of the latter cases, it must be that $m = 2n$.

Case 1: M_P has a single component. The argument here is based on the argument for Case 3 in the proof of Theorem 8.1. The main difference is that we must use our strong inductive hypothesis instead of Lin's theorem.

Since M_P has a single component, we may apply the interior/exterior decomposition from Section 3. Let $\rho_e^{M_P}$ and $\rho_i^{M_P}$ be the corresponding interior and exterior components of ρ . If $k = m - P + 1$, these maps have target B_k and B_P , respectively. We observe that $k \leq n$ since $P \geq n$ and $m \leq 2n$.

We claim that $\rho_e^{M_P} : B_n \rightarrow B_k$ has cyclic image. If $k < n$, then we may conclude as above that $\rho_e^{M_P}$ has cyclic image. If $k = n$ then we know by Theorem 8.1 that $\rho_e^{M_P}$ has cyclic image or is equivalent to the identity map. But since $\rho_e^{M_P}(B_n)$ lies in $B_{k-1,1} \subsetneq B_k = B_n$, it must be that ρ_e has cyclic image, as desired.

By strong induction, $\rho_i^{M_P}$ is equivalent to the standard inclusion $B_n \rightarrow B_P$. Since $\rho_e^{M_P}$ has cyclic image, we may modify ρ by a transvection so that $\rho_e^{M_P}$ is the trivial map. It follows from Lemma 3.1 that ρ is equal to the post-composition of $\rho_i^{M_P}$ with the inclusion $B_P \rightarrow B_m$. In particular, ρ is equivalent to the standard inclusion.

Case 2: M_P has two components, both fixed by ρ . Since the components of M_P are both fixed by ρ , we may again apply Lemma 3.1.

The exterior map $\rho_e^{M_P}$ has cyclic image, since its image is a subgroup of the cyclic group PB_2 . Therefore, after modifying ρ by a transvection, we may assume that $\rho_e^{M_P}$ is trivial.

The interior component of $\rho_i^{M_P}$ is

$$\rho_i : B_n \rightarrow B_n \times B_n.$$

It follows from Theorem 8.1 (or induction) and the fact that each element of $\text{Aut}(B_n)$ is induced by a homeomorphism of \mathbb{D}_n that, up to almost-conjugation, each of the two components of ρ_i is a transvection of either the identity map, the inversion map, or the trivial map, and further that at most one of the factors is the inversion map. It now follows from Lemma 3.1 that ρ is equivalent to either the trivial homomorphism, the diagonal inclusion, or the flip-diagonal inclusion.

Case 3: M_P has two components, interchanged by the action of ρ . Let us denote the components of M_P by d_0 and d_1 . Up to conjugating ρ , we may assume that these are the standard curves surrounding the first and last n marked points, respectively. For $1 \leq i \leq n - 1$, let c_i and c'_i denote the standard curves in \mathbb{D}_{2n} surrounding the marked points $\{i, i + 1\}$ and $\{n + i, n + i + 1\}$, respectively (so σ_i and σ_{n+i} are the half-twists about c_i and c'_i , respectively).

In the present case Lemma 3.2 gives the semi-direct product decomposition

$$\text{Stab}_{B_{2n}}(M_P) \cong B_2 \rtimes (B_n \times B_n).$$

where the generator σ_1 for $B_2 \cong \mathbb{Z}$ acts on $B_n \times B_n$ by interchanging the factors. In terms of this decomposition, the assumption that $\rho(B_n)$ acts nontrivially on the components of M_P translates to the fact that $\rho(B_n)$ projects to a subgroup of B_2 that is not contained in PB_2 . In particular, there is an odd ℓ so that each $\rho(\sigma_i)$ projects to $\sigma_1^\ell \in B_2$.

Since $\rho(B_n)$ maps to $\text{Stab}_{B_{2n}}(M_P)$ by assumption, we may write elements of $\rho(B_n)$ in terms of the semi-direct product decomposition. So elements of $\rho(B_n)$ will be written as $(\sigma_1^\ell, (\alpha, \beta))$, where k is an integer, and α and β are elements of B_n .

Consider the restriction $\rho^2 = \rho|_{B_n^2}$ (this is not to be confused with $\rho^{\circ 2} = \rho \circ \rho$). The image of ρ^2 lies in

$$\text{Fix}_{B_{2n}}(M_P) \cong B_2^2 \times (B_n \times B_n) = PB_2 \times (B_n \times B_n).$$

This group has index 2 in $\text{Stab}_{B_{2n}}(M_P)$. As in Section 3, we may post-compose ρ^2 with the projections to PB_2 and $B_n \times B_n$, and we denote the resulting homomorphisms by ρ_e^2 and ρ_i^2 . We may further post-compose ρ_i^2 with the projections to the two factors of $B_n \times B_n$ in order to obtain homomorphisms ρ_0^2 and ρ_1^2 .

By Theorem 9.1 we may assume (up to almost-conjugation) that ρ_0^2 is a central transvection of either the trivial map or the inclusion map, and that ρ_1^2 is a central transvection of the trivial map, the inclusion map, or the (restriction of the) inversion map; we refer to the latter as the inverse inclusion map.

The braid $T_{d_0}T_{d_1}$ centralizes the image of ρ . We may modify ρ by the transvection associated to a power of $T_{d_0}T_{d_1}$ so that ρ_0^2 is either the trivial map or inclusion map and ρ_1^2 is a transvection of the trivial map, the inclusion map, or the restriction of the inversion map.

It follows from the semi-direct product decomposition for $\text{Stab}_{B_{2n}}(M_P)$ and the fact that $\rho(\sigma_1)$ projects to an element of $B_2 \setminus PB_2$ that ρ_1^2 is trivial if and only if ρ_0^2 is. If both are trivial, then by the direct product decomposition for $\text{Fix}_{B_{2n}}(M_P)$ it follows that ρ^2 has cyclic image. By Lemma 9.2 it further follows that ρ has cyclic image. Thus, we may henceforth assume that ρ_0^2 is inclusion and ρ_1^2 is a transvection of either the inclusion map or the inverse inclusion map.

We claim now that ρ_1^2 is the inclusion map. Since ρ_1^2 is a transvection of either the inclusion map or the inverse inclusion map, we have that $\rho(\sigma_1^2) = \rho'(\sigma_1^2)$ is of the form

$$(\sigma_1^{2\ell}, (\sigma_1^2, \sigma_1^{2\epsilon} z^k))$$

where $\epsilon \in \{\pm 1\}$. Since $\rho(\sigma_1)$ commutes with $\rho(\sigma_1^2)$, the former preserves the canonical reduction system of the latter. Since c_1 and c_1' are the only curves in the canonical reduction system of $\rho(\sigma_1^2)$ that surround exactly two marked points, and since $\rho(\sigma_1)$ permutes the two components of M_P , it follows that $\rho(\sigma_1)$ interchanges c_1 and c_1' . Again using the fact that $\rho(\sigma_1)$ commutes with $\rho(\sigma_1^2)$, it follows that $\epsilon = 1$. By the same reasoning applied to d_0 and d_1 , we have $k = 0$, whence the claim.

We have shown that ρ' is the restriction of the diagonal inclusion map. We will use this to show that ρ is a transvection of the diagonal inclusion map.

We claim that for $1 \leq i \leq n-1$ we have

$$\rho(\sigma_i) = (\sigma_1^\ell, (\sigma_i, \sigma_i))$$

The canonical reduction system for $\rho(\sigma_i)$ is the same as that for $\rho(\sigma_i^2)$, namely, $\{c_i, c_{n+i}, d_0, d_1\}$. Since $n \geq 5$ there is a σ_j that commutes with σ_i and has disjoint canonical reduction system $\{c_i, c_{n+i}, d_0, d_1\}$. Since $\rho(\sigma_i)$ preserves the latter, it follows that $\rho(\sigma_i)$ is of the form $(\sigma_1^p, (\sigma_i^q, \sigma_i^r))$ (it cannot be pseudo-Anosov or a nontrivial rotation on the region between c_i and d_0 or the region between c_{n+i} and d_1 since it preserves c_j and c_{n+j}). We can then

conclude that p , q , and r are ℓ , 1, and 1 since the square is $(\sigma_1^{2\ell}, (\sigma_1, \sigma_1^2))$. This completes the proof of the claim.

We now claim that the transvection of ρ by

$$t = (\sigma_1^{-\ell}, (id, id))$$

is diagonal inclusion. First of all, the braid t lies in the centralizer of $\rho(B_n)$. Indeed, for $1 \leq i \leq n-1$ we have that $t\rho(\sigma_i)$ and $\rho(\sigma_i)t$ are both equal to

$$(1, (\sigma_i, \sigma_i)).$$

This calculation also implies the claim, and hence completes the proof of the theorem. \square

12. PROOF OF COROLLARY 1.3

In this section we prove Corollary 1.3, which states that if $n \geq 7$ and $\rho : B'_n \rightarrow B_{2n-5}$ is a nontrivial homomorphism, then ρ is almost-conjugate to the inclusion map. The argument given here is a simplification of an argument suggested by an anonymous referee for the paper by the second and third authors [28].

Proof of Corollary 1.3. There is an inclusion $\nu : B_{n-2} \rightarrow B'_n$ given by $\nu(\sigma_i) = \sigma_i\sigma_{n-1}^{-1}$ for $i = 1, \dots, n-3$. By Theorem 1.1, the composition

$$B_{n-2} \xrightarrow{\nu} B'_n \xrightarrow{\rho} B_{2n-5}$$

is centrally equivalent to either the trivial map or the inclusion map. By post-composing with an automorphism of B_{2n-5} we may assume that the composition is a central transvection of either the trivial map or the inclusion map. We will show in the first case that ρ is trivial and that in the second case ρ is a transvection of the inclusion map.

Assume first that the composition is a central transvection of the trivial map. Such a map has cyclic image, and so $\rho \circ \nu(\sigma_i)$ is independent of i . It follows that $\rho \circ \nu(\sigma_1\sigma_2^{-1}) = \rho(\sigma_1\sigma_2^{-1})$ is trivial. Since the normal closure of $\sigma_1\sigma_2^{-1}$ in B'_n is B'_n (Lemma 9.4), the map ρ is trivial, as desired.

We now assume that the composition is a central transvection of the inclusion map. This means that there is some β in the centralizer of the image of $\rho \circ \nu$ so that $\rho \circ \nu(\sigma_i) = \sigma_i\beta$ for $i \in \{1, \dots, n-3\}$. As in the previous paragraph, it follows that $\rho \circ \nu(\sigma_1\sigma_j^{-1}) = \rho(\sigma_1\sigma_j^{-1})$ is equal to $\sigma_1\sigma_j^{-1}$ for $j \in \{2, \dots, n-3\}$. As in the proof of Lemma 9.6, the group B'_n is generated by $\sigma_1\sigma_j^{-1}$ for $j \in \{2, \dots, n-1\}$, and so we focus our attention on the ρ -images of $\sigma_1\sigma_j^{-1}$ for $j = n-2, n-1$. It is enough to show, after possibly post-composing ρ by an inner automorphism of B_{2n-5} , that $\rho(\sigma_1\sigma_j^{-1}) = \sigma_1\sigma_j^{-1}$ for $j \in \{2, \dots, n-1\}$.

We claim that $\rho(\sigma_1\sigma_{n-1}^{-1})$ equals $\sigma_1H_d^{-1}$ for some curve d surrounding two marked points. Since $\sigma_1\sigma_{n-1}^{-1}$ is conjugate to $\sigma_1\sigma_3^{-1}$ inside B'_n and since we have $\rho(\sigma_1\sigma_3^{-1}) = \sigma_1\sigma_3^{-1}$, we know that $\rho(\sigma_1\sigma_{n-1}^{-1}) = H_{a_1}H_{b_1}^{-1}$ where a_1 and b_1 are disjoint curves surrounding two marked points each. For the same reason, we have for any for $j \in \{3, \dots, n-3\}$ that $\rho(\sigma_j\sigma_{n-1}^{-1}) = H_{a_j}H_{b_j}^{-1}$ where a_j and b_j are disjoint curves surrounding two marked points each. We have

$$\sigma_1\sigma_j^{-1} = \rho(\sigma_1\sigma_j^{-1}) = \rho(\sigma_1\sigma_{n-1}^{-1})\rho(\sigma_j\sigma_{n-1}^{-1})^{-1} = H_{a_1}H_{b_1}^{-1}H_{a_j}^{-1}H_{b_j}.$$

Since $\sigma_1\sigma_{n-1}^{-1}$ commutes with $\sigma_j\sigma_{n-1}^{-1}$, it must be that the curves a_1 , b_1 , a_j , and b_j have trivial intersection pairwise. In particular, both $\sigma_1\sigma_j^{-1}$ and $H_{a_1}H_{b_1}^{-1}H_{a_j}^{-1}H_{b_j}$ are multitwists, that is, each is the product of powers of half-twists about pairwise disjoint curves. If multitwists

are equal in B_n , then (up to reordering terms) they must be formally the same (they use the same curves and the same power on each curve); see [35, Lemma 1]. Thus in the expression $H_{a_1}H_{b_1}^{-1}H_{a_j}^{-1}H_{b_j}$ it must be that exactly two twists cancel, and the remaining twists are σ_1 and σ_j^{-1} . As $a_1 \neq b_1$ and $a_j \neq b_j$ there are two possibilities. The first is that $a_1 = a_j$, in which case $b_j = c_1$ and $b_1 = c_j$. The second possibility is that $b_1 = b_j$, in which case $a_1 = c_1$ and $a_j = c_j$. Under the first possibility, we have that $\rho(\sigma_1\sigma_{n-1}^{-1})$ is equal to $H_{a_1}H_{c_j}^{-1}$. But taking j to be either 3 or 4 in the last expression, we get two different braids, which is a contradiction. So we must have the second possibility, which means that $\rho(\sigma_1\sigma_{n-1}^{-1})$ is equal to $H_{c_1}H_{b_1}^{-1} = \sigma_1H_{b_1}^{-1}$, as desired.

We next claim that the curve d has the following intersection numbers:

$$i(d, c_j) = 0 \quad \text{for } 1 \leq j \leq n-3$$

Fix one such j . We have that $\sigma_j\sigma_{n-1}^{-1}$ is conjugate in B'_n to $\sigma_1\sigma_3^{-1}$. The ρ -image of the latter is $\sigma_1\sigma_3^{-1}$ and so the ρ -image of $\sigma_j\sigma_{n-1}^{-1}$ must be a difference of commuting half-twists. We compute this image as follows:

$$\rho(\sigma_j\sigma_{n-1}^{-1}) = \rho(\sigma_j\sigma_1^{-1}\sigma_1\sigma_{n-1}^{-1}) = \rho(\sigma_j\sigma_1^{-1})\rho(\sigma_1\sigma_{n-1}^{-1}) = \sigma_j\sigma_1^{-1}\sigma_1H_d^{-1} = \sigma_jH_d^{-1}.$$

By (a version of) the Thurston construction, the difference of two non-commuting half-twists is a partial pseudo-Anosov braid, and hence is not conjugate to $\sigma_1\sigma_3^{-1}$; see Step 3 of Case 4 of the proof of Theorem 1.1 in the paper by the second and third authors [28]. The claim follows.

By the previous claim, we may assume, up to conjugacy of ρ , that $d = c_{n-1}$ and that $\rho|_{B_{n-2}}$ is still the standard inclusion.

We next claim that $\rho(\sigma_1\sigma_{n-2}^{-1})$ is equal to $\sigma_1H_e^{-1}$ for some curve e surrounding two marked points. For $n \geq 8$, we can use the same argument as the previous paragraph, replacing $n-3$ with $n-4$ (in this case $j=3$ and $j=4$ both lie in $\{3, \dots, n-4\}$). For $n=7$ we require a different argument to rule out the analogue of the first possibility in the previous claim, namely, that $\rho(\sigma_1\sigma_5^{-1})$ is equal to $H_{a_1}\sigma_3^{-1}$ for some curve a_1 that surrounds two marked points and is disjoint from c_3 . By the previous claim, we have

$$\rho(\sigma_5\sigma_6^{-1}) = \rho((\sigma_1\sigma_5^{-1})^{-1}(\sigma_1\sigma_6^{-1})) = H_{a_1}^{-1}\sigma_3\sigma_1\sigma_6^{-1} = H_{a_1}^{-1}\sigma_6^{-1}\sigma_3\sigma_1.$$

Since $\sigma_5\sigma_6^{-1}$ is conjugate to $\sigma_1\sigma_2^{-1}$ in B'_n and since $\rho(\sigma_1\sigma_2^{-1}) = \sigma_1\sigma_2^{-1}$, it must be that the action of $\rho(\sigma_5\sigma_6^{-1})$ on the set of marked points in \mathbb{D}_{2n-5} is a 3-cycle. On the other hand, the action of $H_{a_1}^{-1}\sigma_6^{-1}\sigma_3\sigma_1$ on the marked points is the product of three disjoint 2-cycles and one additional 2-cycle (the latter corresponding to a_1). Such a permutation cannot be a 3-cycle, and the claim is proved.

Similar to the claim regarding the $i(d, c_j)$, we claim that

$$i(e, c_j) = \begin{cases} 0 & 1 \leq j \leq n-4 \\ 2 & j \in \{n-3, n-1\} \end{cases}$$

For example, Since $\sigma_1\sigma_{n-2}^{-1}$ and $\sigma_1\sigma_{n-1}^{-1}$ satisfy the braid relation, the same is true for their images under ρ . From this it follows that H_e and σ_{n-1} satisfy the braid relation. As in Case 2 of the proof of Theorem 8.1, two half-twists satisfy the braid relation if and only if the corresponding curves intersect in exactly two points. Thus, $i(e, c_{n-1}) = 2$, as desired.

It follows from the last claim that, after post-composing ρ with an inner automorphism of B_{2n-5} , we have $\rho(\sigma_1\sigma_j^{-1}) = \sigma_1\sigma_j^{-1}$ for $j \in \{2, \dots, n-1\}$, as desired. \square

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